

# Multiscale PIC modeling of beams and plasmas.

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**Ecole CEA-EDF-INRIA / CEA-EDF-INRIA School**  
**Kinetic equations. Applications to plasma and beam physics.**  
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**The Heavy Ion Fusion Virtual National Laboratory**



# Outline

- **Who we are. Our interest in multiscale modeling.**
- **Modeling of plasmas: generalities.**
- **AMR**
  - **issues**
  - **Electrostatic**
    - modeling of the High-Current Experiment (HCX)
    - modeling of the Large Hadron Collider (LHC)
  - **Electromagnetic**
    - modeling of laser-plasma interaction
  - **Vlasov**
- **New particle mover for large time steps in magnetic fields**
- **Toward multiscale modeling of plasmas: some methods**
- **Conclusion**

# The U.S. Heavy Ion Fusion Program - Participation

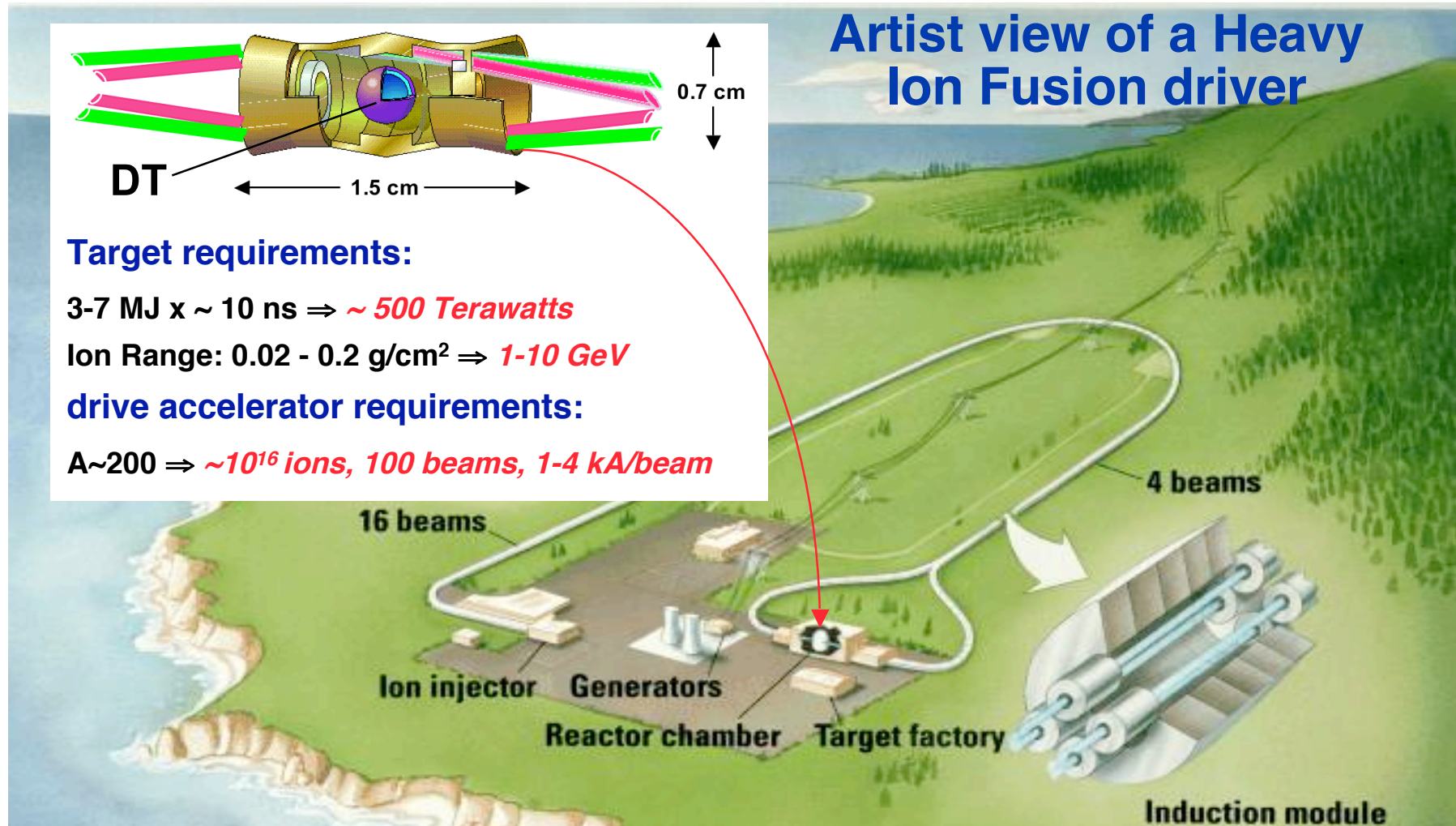
**Lawrence Berkeley National Laboratory  
Lawrence Livermore National Laboratory  
Princeton Plasma Physics Laboratory**

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Idaho National Environmental and Engineering Lab  
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MRTI**

**Employees of LBNL, LLNL, and PPPL form the U.S. Virtual National Laboratory  
for Heavy Ion Fusion**

**Heavy Ion Inertial Fusion (HIF)** goal is to develop an accelerator that can deliver beams to ignite an inertial fusion target

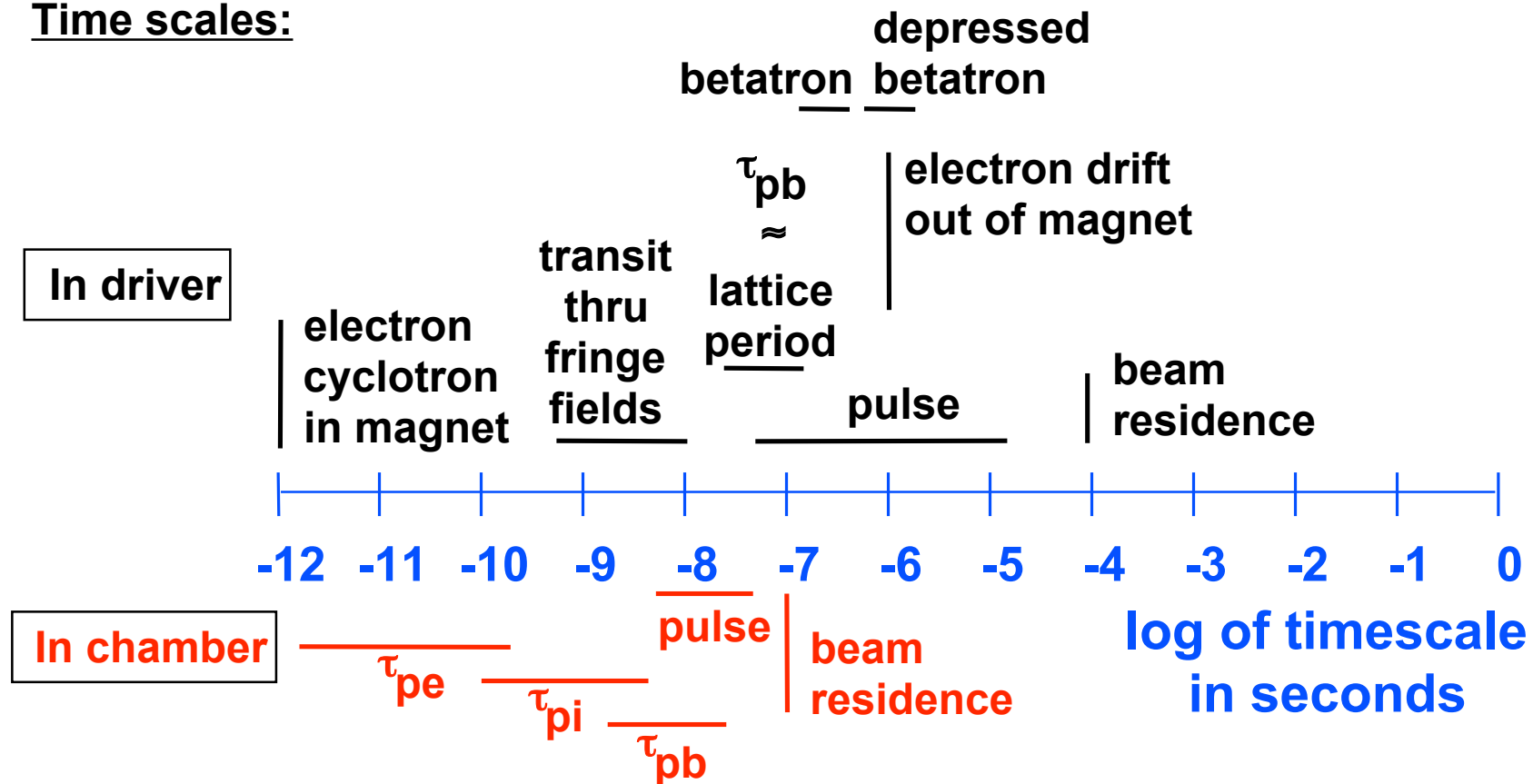


**How near term goal is High-Energy Density Physics (HEDP).**



# Time and length scales in driver and chamber span a wide range

## Time scales:



## Length scales:

- electron gyroradius in magnet  $\sim 10 \mu\text{m}$
- $\lambda_{D, \text{beam}} \sim 1 \text{ mm}$
- beam radius  $\sim \text{cm}$
- machine length  $\sim \text{km's}$

# Outline

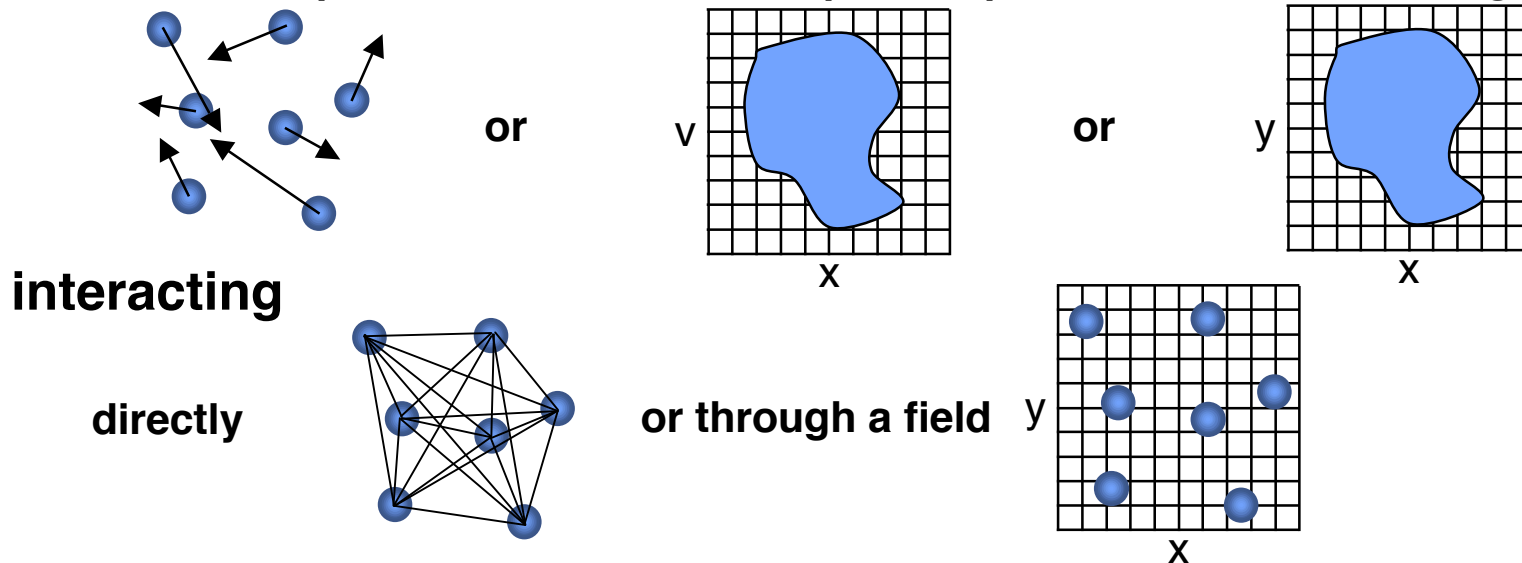
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# Modeling of a plasmas - classification (1)

- Collection of a large number of **interacting** charged **particles**
  - **Particles** mathematically described by
    - Lagrangian approach: evolution of singularities
      - ✿ Klimontovitch eq.
    - Eulerian approach: evolution of an incompressible fluid
      - ✿ in phase-space: Boltzmann/Fokker-Planck eq. (collisions), Vlasov eq. (no collisions)
      - ✿ in real space: fluid/MHD eq.
  - **Interactions** mathematically described by
    - Lagrangian approach: sum from all singularities, instantaneous or with retardation
    - Eulerian approach: fields
      - ✿ instantaneous: Poisson
      - ✿ with retardation: Maxwell

## Modeling of a plasmas - classification (2)

- In summary, the modeling of a plasma implies the modeling of  
a collection of particles      fluid cells in phase-space      fluid cells in configuration space



- The numerical integration leads to further splitting
  - Partial differential equations: finite-differences/volumes/elements, Monte-Carlo, semi-Lagrangian,
  - Time integration: explicit/implicit,
  - Direct interaction: direct summation, multipole expansion (tree-codes),
  - ...

## Modeling of a plasmas - commonalities, speed-up

- All these methods have in common that they must update the status of  $N$  quantities (particle/fluid/field quantities) from time  $t$  to time  $t+\Delta t$
- In order to minimize the computing time,  $N/\Delta t$  should be minimized
  - grids: non-uniform, block-structured, high-order splines, filtering,
  - time steps: non-uniform, different for particle groups (species, velocity, ...), different for grid blocks (with different  $\Delta x$ ), high-order integrators, averaging over smallest time scales,
  - particles: splitting/merging, high-order splines, filtering,
  - hybrids
    - Groups of particles modeled differently, according to species, velocities, momentum, charge state, ...
    - particle-particle-particle-mesh (pp-pm),
    - regions modeled differently (for example implicit in high-density parts, explicit in low-density parts)
  - ...
- The subject is very large. We will focus on a few recent developments.

# Outline

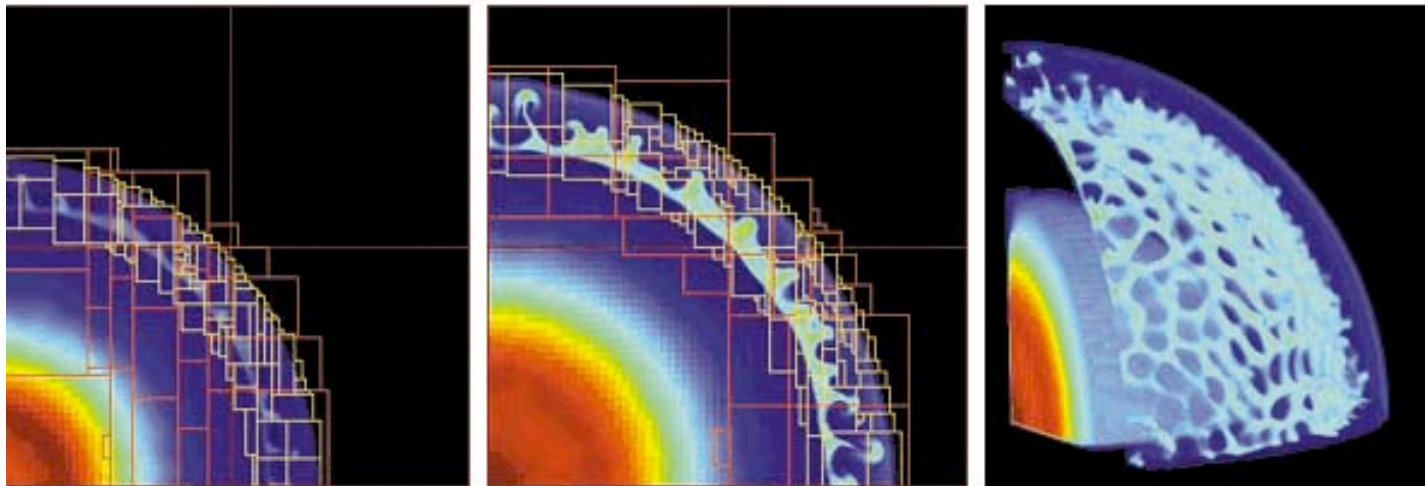
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# The Adaptive-Mesh-Refinement (AMR) method

- addresses the issue of wide range of space scales
- well established method in fluid calculations

3D AMR simulation of an explosion (microseconds after ignition)



AMR concentrates the resolution around the edge which contains the most interesting scientific features.

- however, coupling to PIC/Vlasov/MHD methods has to be done with care

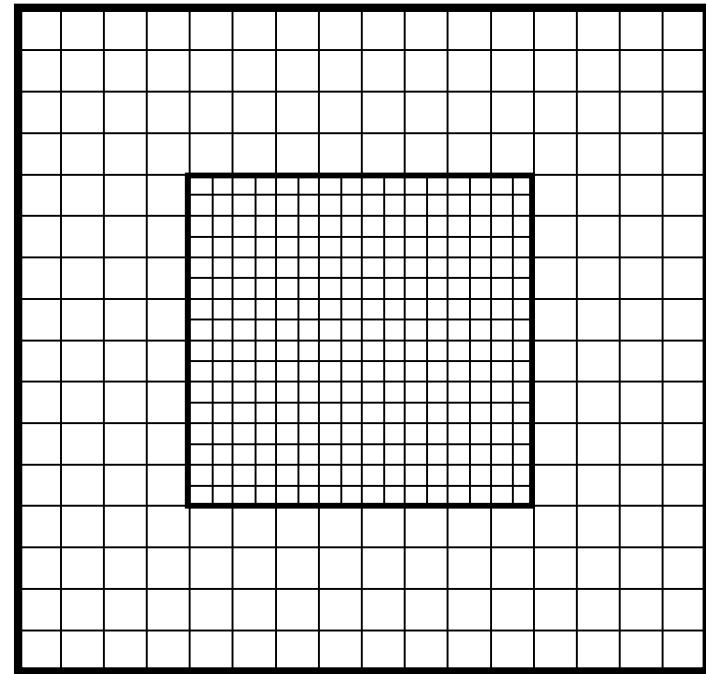
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# Coupling of AMR to PIC/Vlasov/MHD: issues

Mesh refinement implies a jump of resolution and some procedure for coupling the solutions at the interface. What kind of issues can we expect?

- loss of symmetry: self-force?
- conservation laws?
- waves (EM, plasma)?



We will look at some of these aspects using simple schemes in reduced dimensions.

## Example: 2-D PIC-electrostatic

- Given a hierarchy of grids, there exists several ways to solve Poisson.

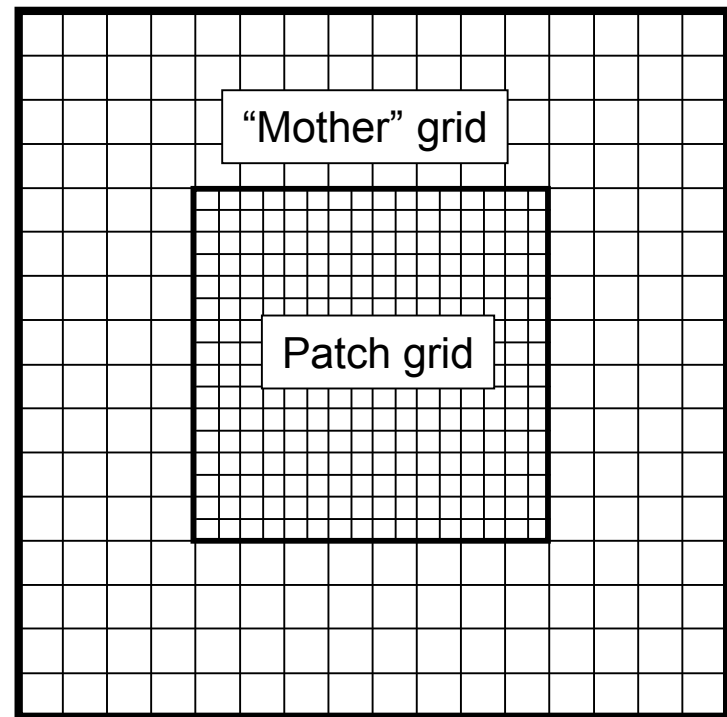
- Two considered here:

### 1. '1-pass'

- solve on coarse grid
- interpolate solution on fine grid boundary
- solve on fine grid
- different values on collocated nodes

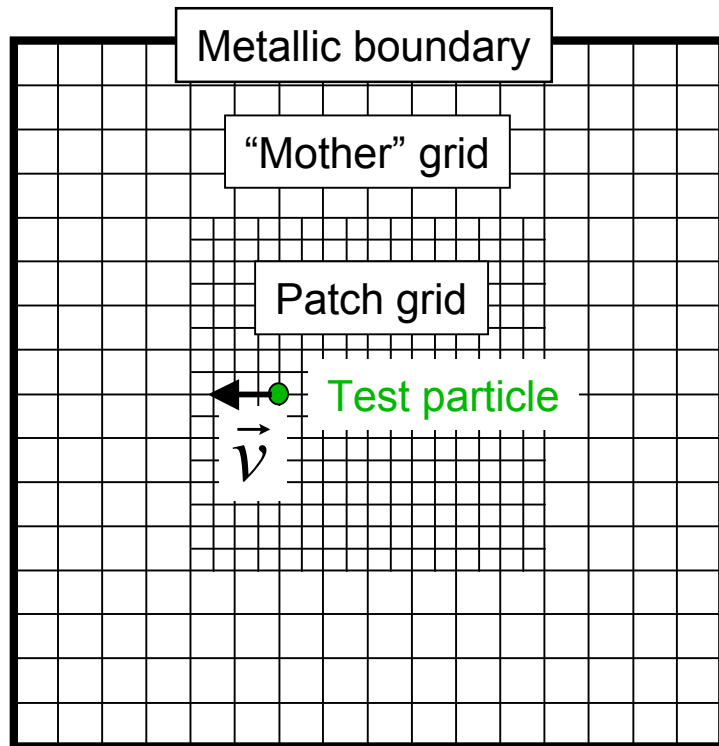
### 2. 'N-pass'

- interleave coarse and fine grid relaxations
- collocated nodes values reconciliation
- same values on collocated nodes

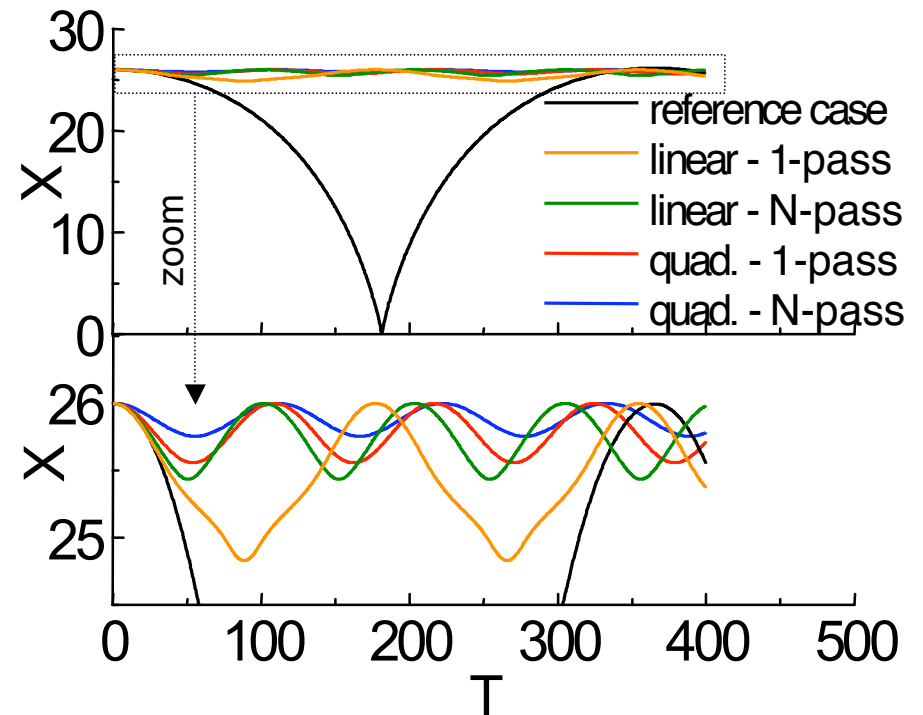


# Illustration of the spurious self-force effect

- 1 grid with metallic boundary + 1 refinement patch



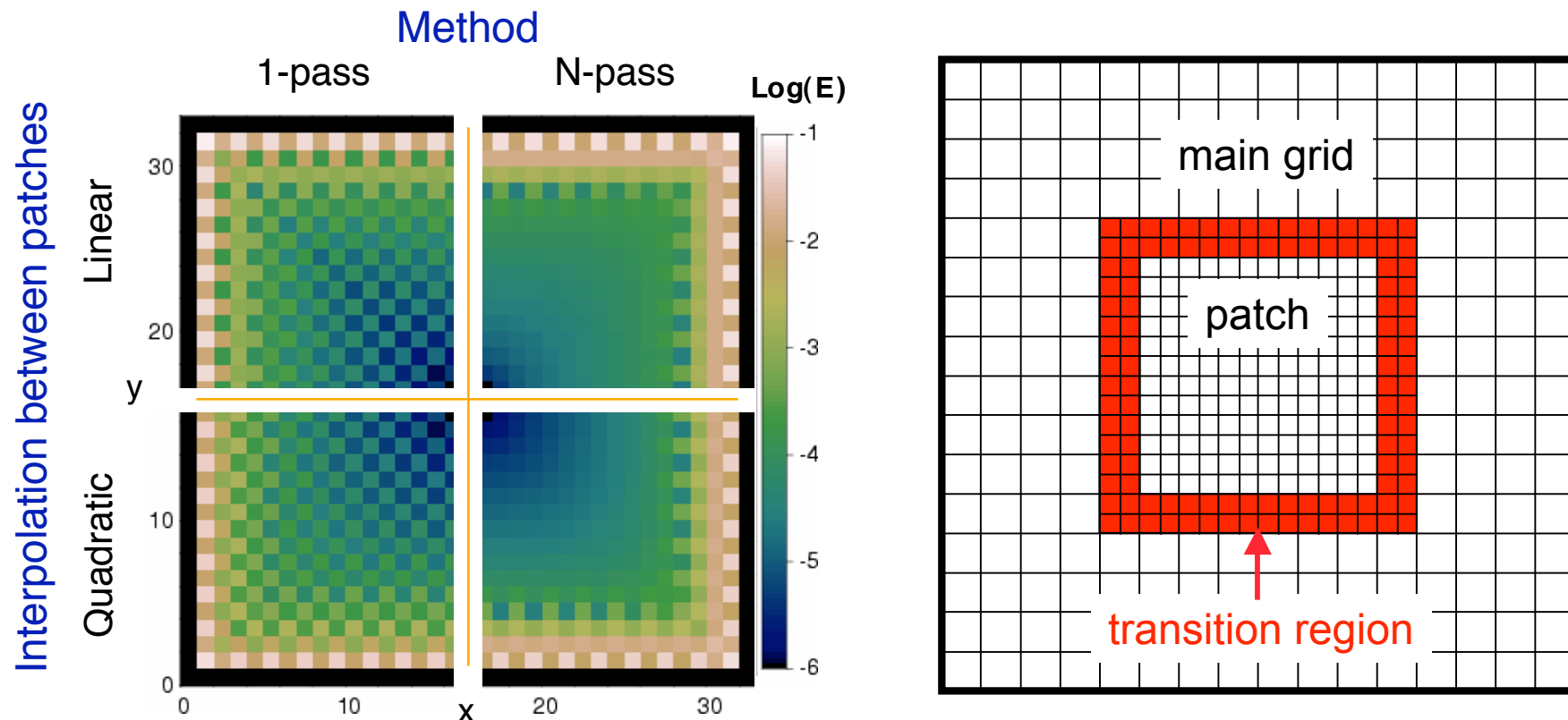
one particle attracted by its image



particle trapped in patch

⇒ MR introduces spurious force,

# Self-force amplitude map and mitigation

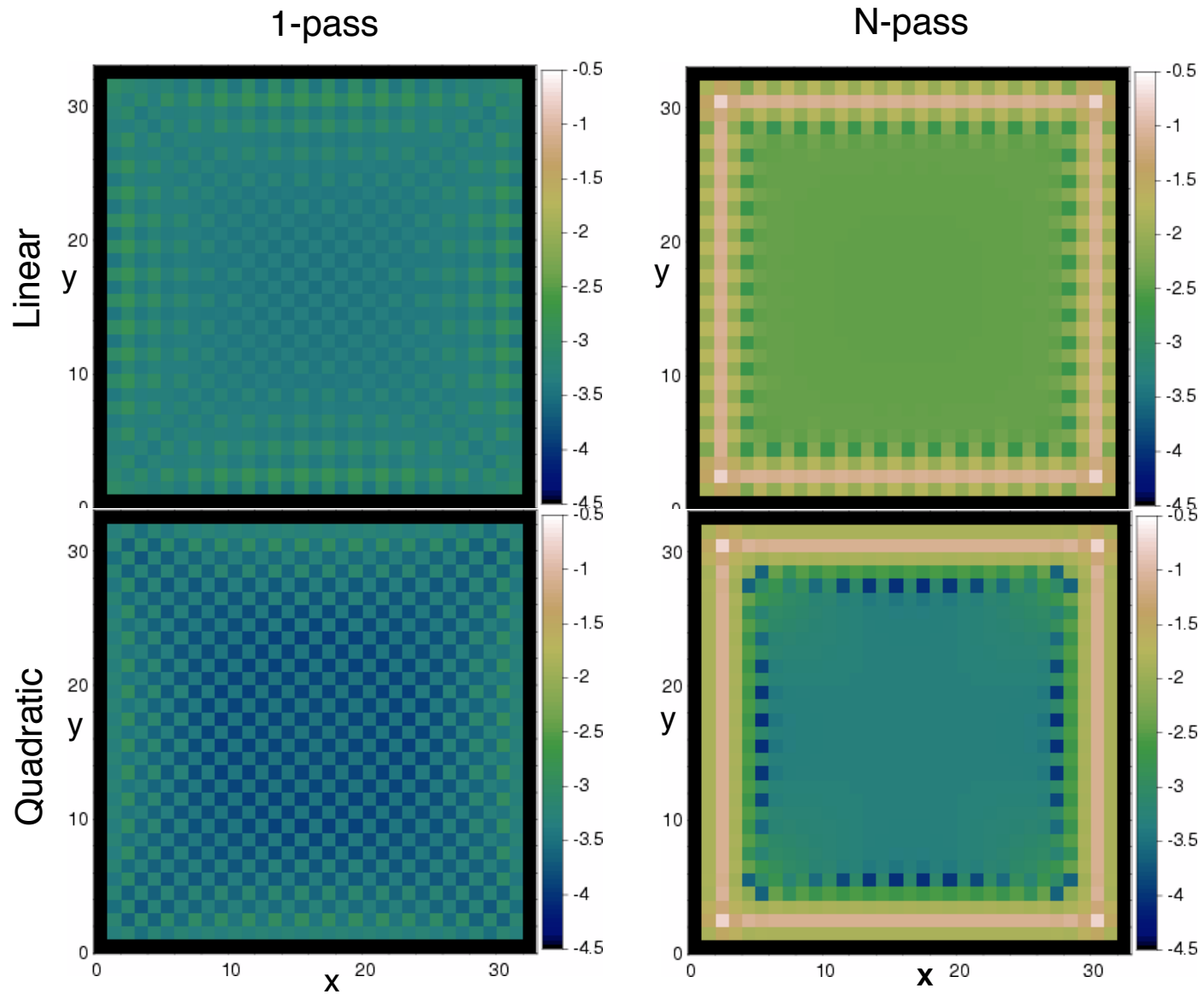


- Magnitude of self force decreases rapidly with distance from edge
- with the 1-pass method, the coarse grid solution is free of self-force:
  - ⇒ the self-force effect can be mitigated by defining a **transition region** surrounding the patch in which deposit charge and solve, but get field from underlying coarse patch
- N-pass method: coarse grid solution has spurious self-force
  - ⇒ no easy mitigation method



## Global error

$$\sum |(\phi - \phi_{\text{ref}}) / \phi_{\text{ref}}| / N$$



⇒ global error larger with N-pass than 1-pass

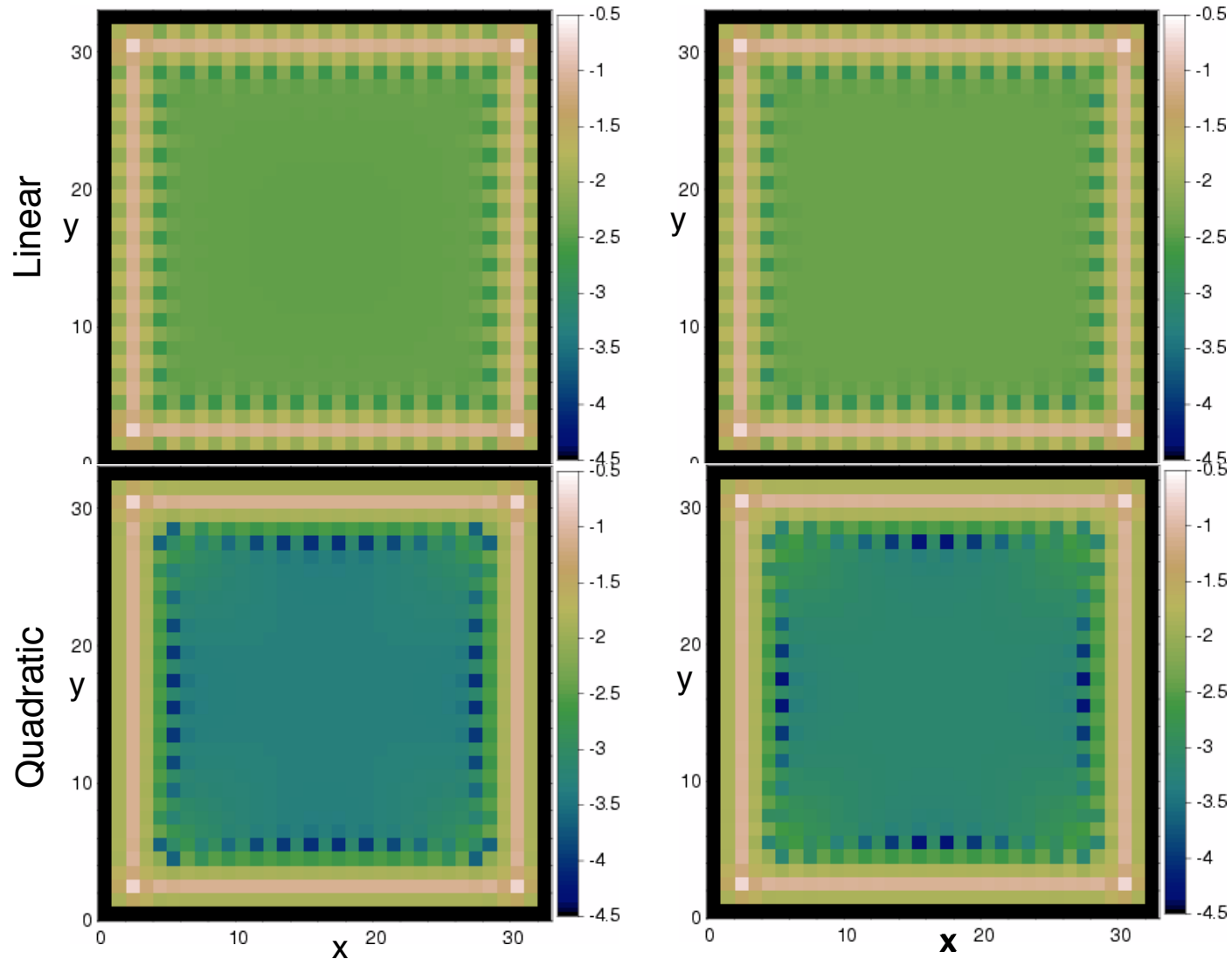
## Global error

$$\sum |(\phi - \phi_{\text{ref}}) / \phi_{\text{ref}}| / N$$

N-pass

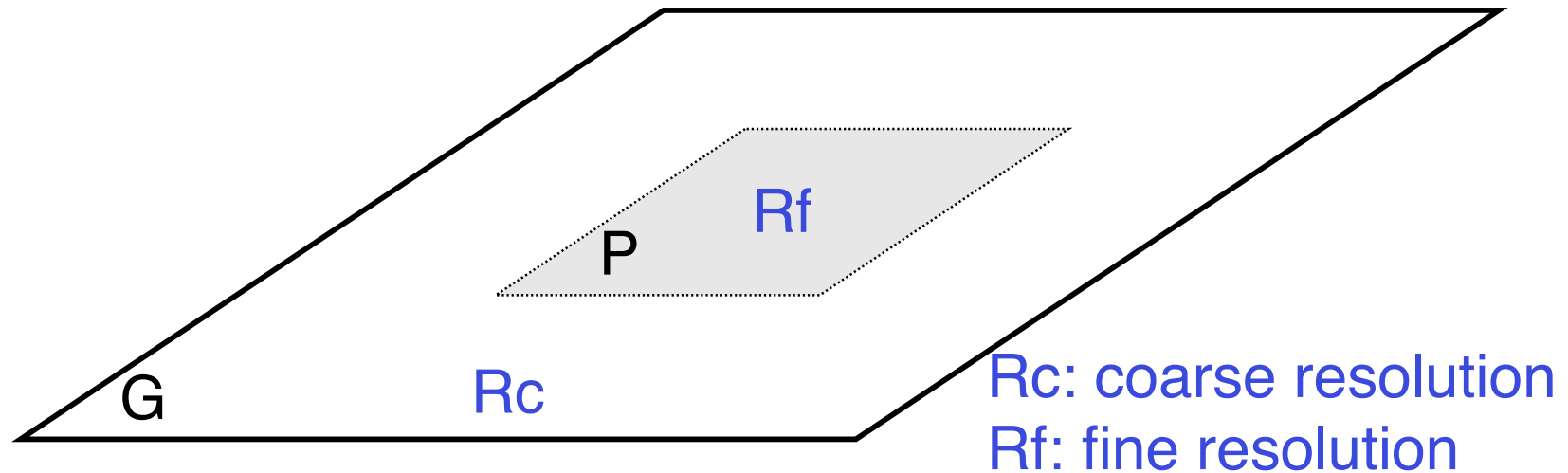
$$\left| \oint_S \vec{D} \cdot d\vec{S} - \iiint_V \rho d\tau \right| / \iiint_V \rho d\tau$$

N-pass



⇒ N-pass: global error due to violation of Gauss' law

## Electromagnetics: usual scheme



- the solution is computed as usual in the main grid and in the patch
- interpolation is performed at the interface

# 1-D AMR-EM

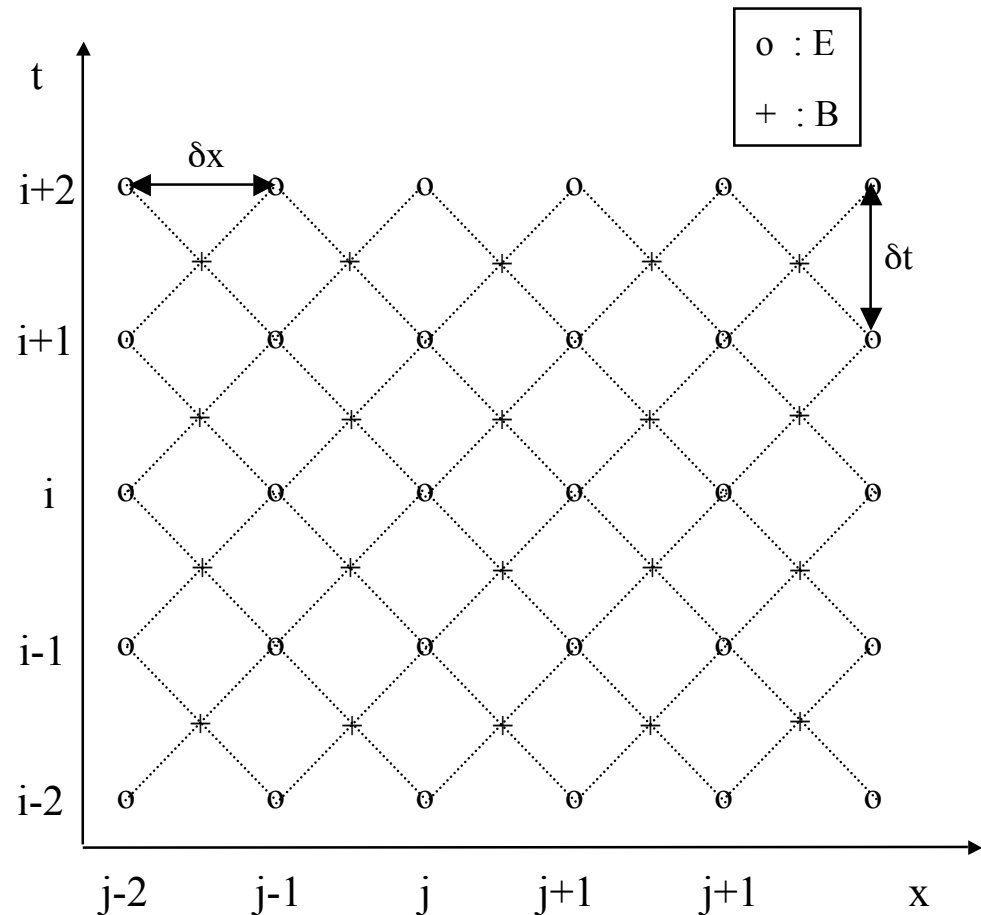
- We consider 1d wave equation

$$\frac{\partial E}{\partial t} = \frac{\partial B}{\partial x}; \quad \frac{\partial B}{\partial t} = -\frac{\partial E}{\partial x}$$

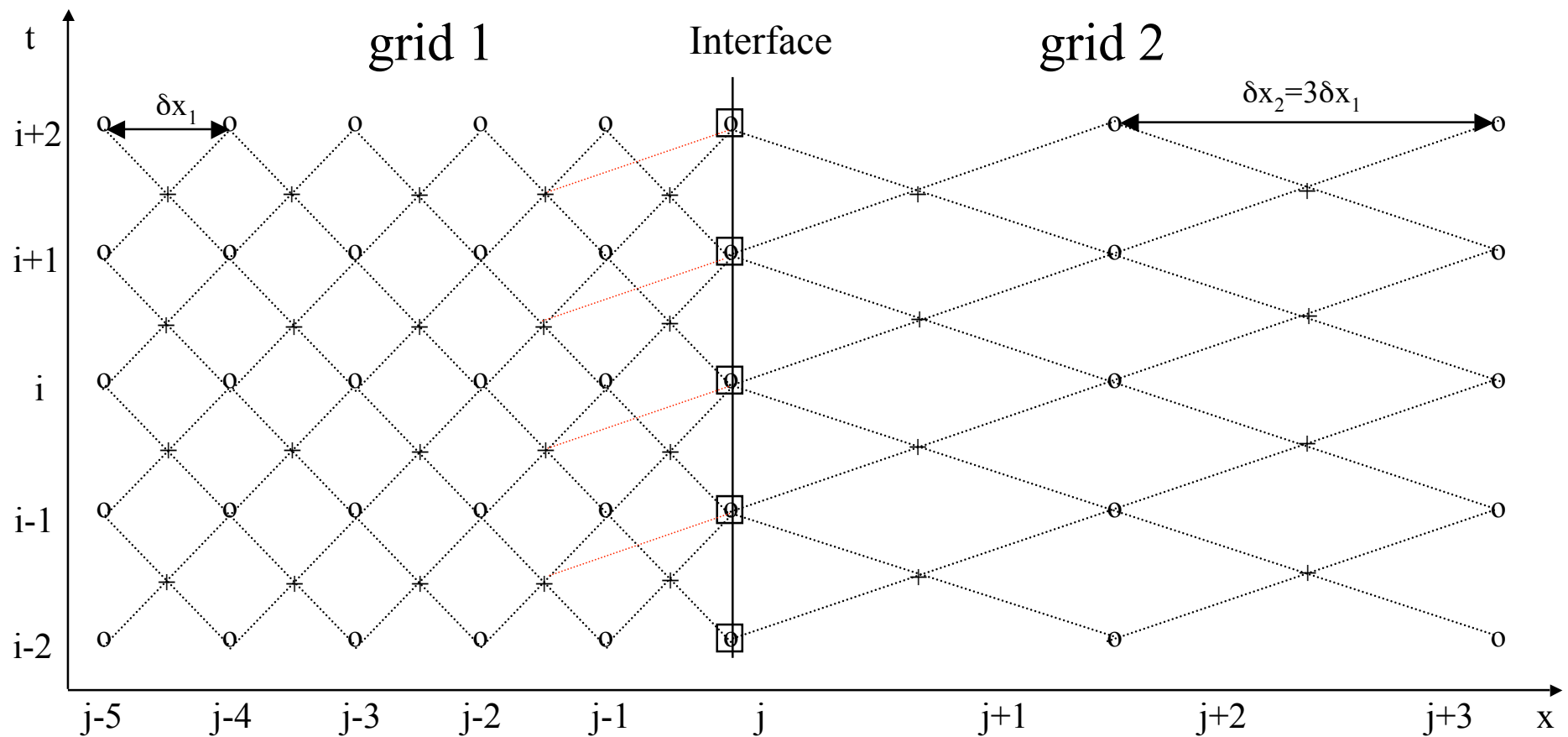
- staggered on a regular space time grid
- We use finite-difference time-centered scheme

$$\frac{E_j^{i+1} - E_j^i}{\delta t} = \frac{B_{j+1/2}^{i+1/2} - B_{j-1/2}^{i+1/2}}{\delta x}$$

$$\frac{B_{j+1/2}^{i+1/2} - B_{j+1/2}^{i-1/2}}{\delta t} = -\frac{E_{j+1}^i - E_j^i}{\delta x}$$



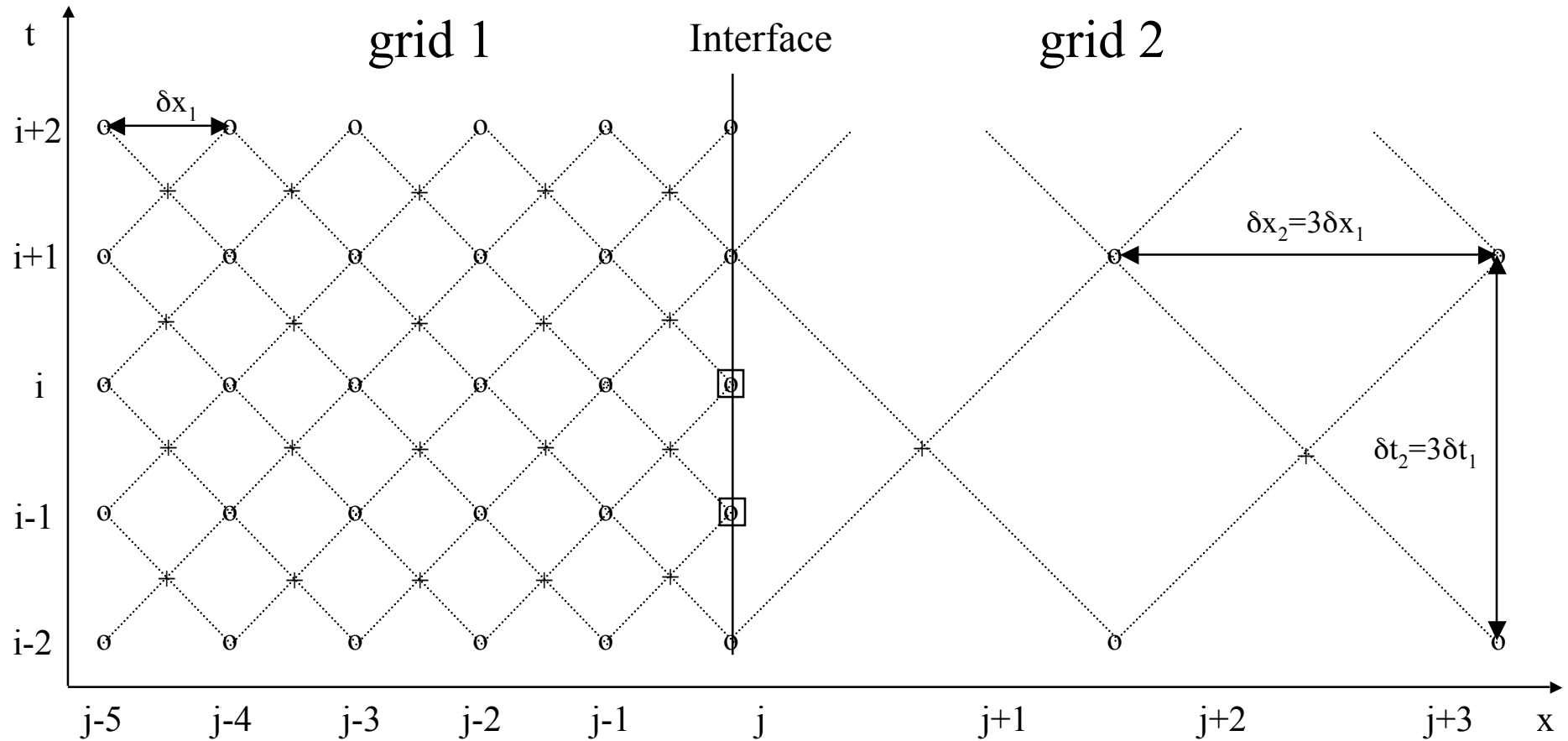
# 1-D AMR-EM: space refinement only (factor 3)



o, + : finite-difference

$\boxed{o}$  : finite-volume or 'jump' inside fine grid

# 1-D AMR-EM: space and time (factor 3)

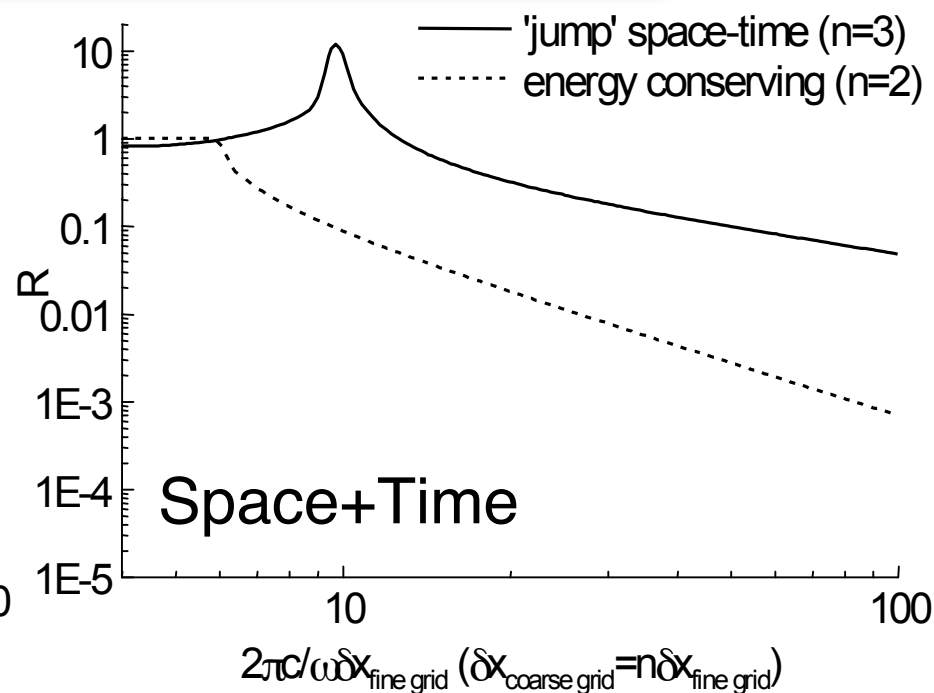
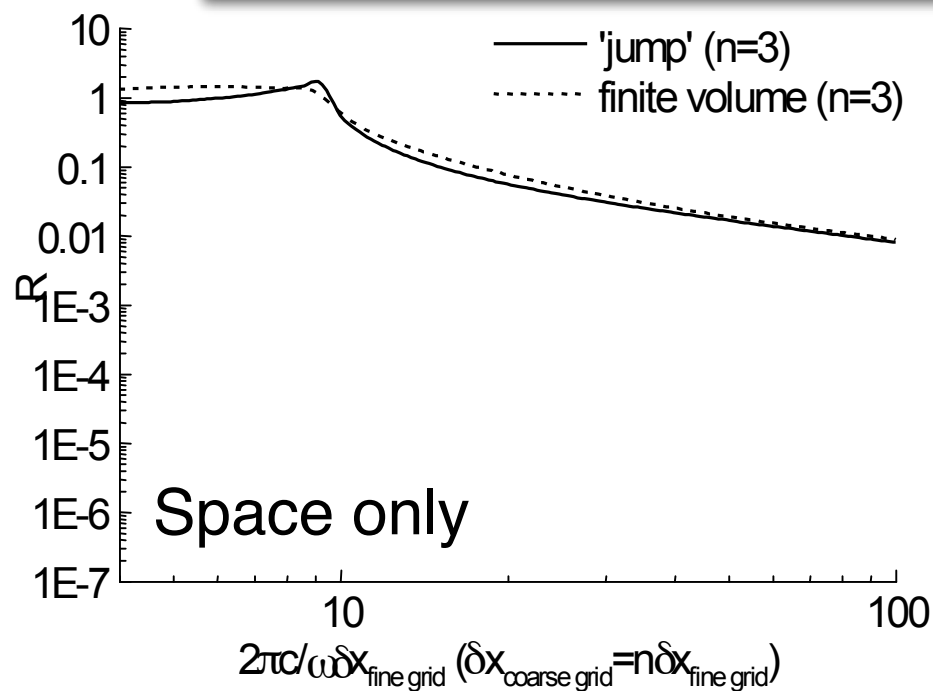
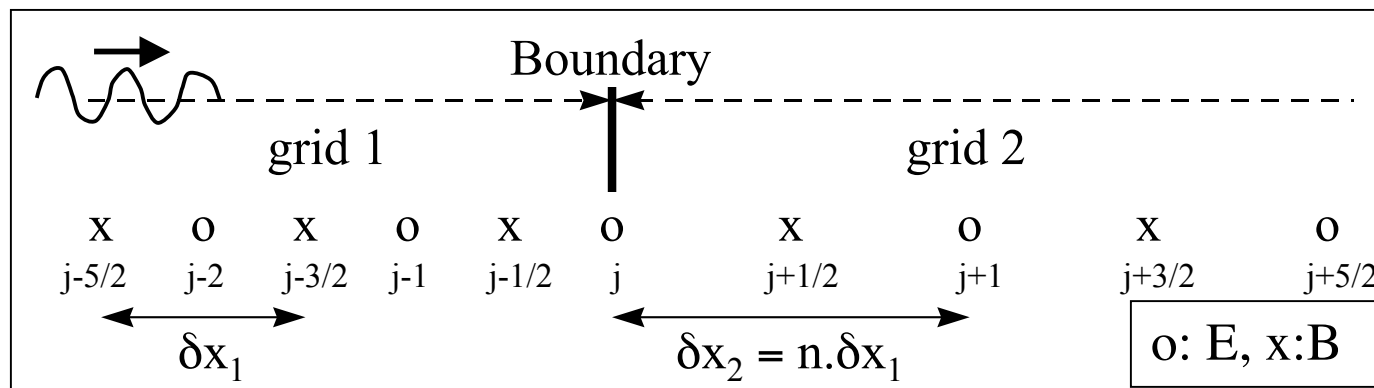


o, + : finite-difference

[o] : interpolated from previous and next computed values



# 1-D AMR-EM: illustration of instability\*

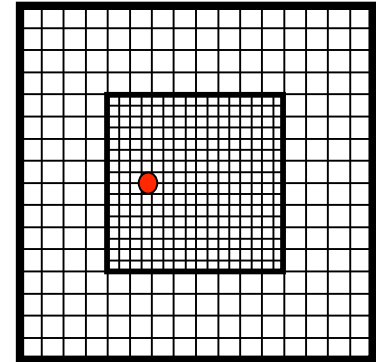


\* J.-L. Vay, JCP (2001)

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# Coupling of AMR to PIC/Vlasov/MHD: Issues (summary)

1. Asymmetry of grid implies asymmetry of field solution for one particle/marker/fluid cell
  - spurious self-force
2. Some implementations may violate Gauss' Law
  - total charge may not be conserved exactly
3. EM: shortest wavelength resolved on fine grid not resolved on coarse grid may reflect at interface
  - if reflection factor  $\leq 1$ , spurious waves
  - if reflection factor  $> 1$ , may cause instability by multiple reflections



Remark: BTW, these are general and apply also to irregular griddings!

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# Current HCX Configuration (High Brightness Beam Transport Campaign, 2005)

Focus of Current  
Gas/Electron Experiments



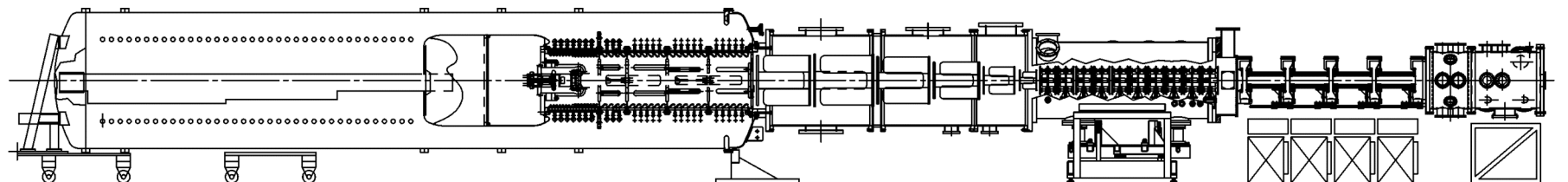
1 MeV, 0.18 A,  $t \approx 5 \mu\text{s}$ ,  
 $6 \times 10^{12} \text{ K}^+/\text{pulse}$

INJECTOR

MATCHING  
SECTION

ELECTROSTATIC  
QUADRUPOLES

MAGNETIC  
QUADRUPOLES



**Additional Experiments: Fill-Factor Measurements,  
Head-Tail Correction, Wave Experiments**

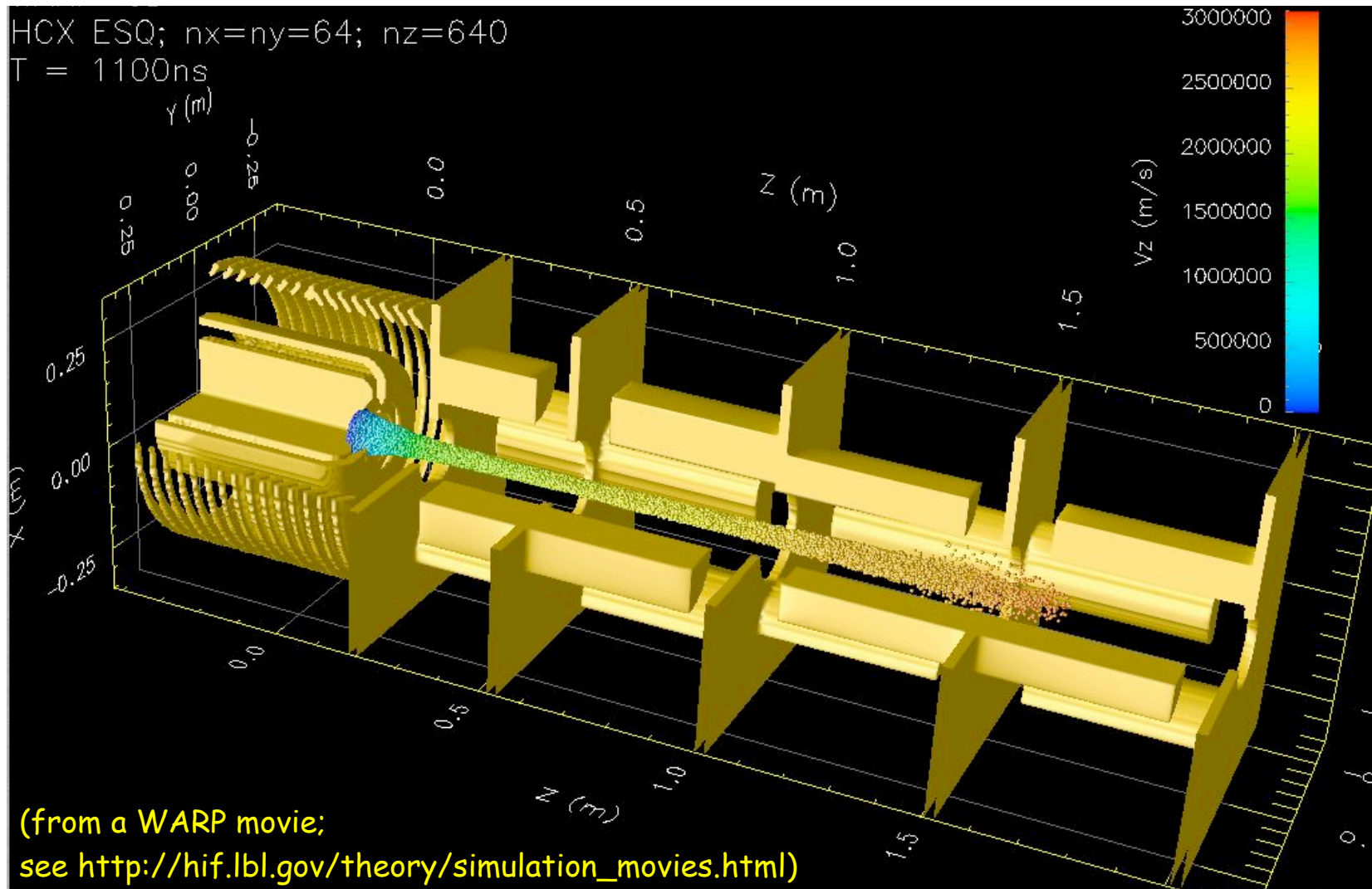
## We are using the accelerator PIC code WARP.

- Geometry: **3D**, (x,y), or (r,z)
- Field solvers: **FFT**, capacity matrix, multigrid
- Particle pusher: **Boris**, subcycling
- Boundaries: “**cut-cell**” --- no restriction to “Legos”
- Bends: “**warped**” coordinates; no “reference orbit”
- Lattice description: **general**; takes **MAD** input
  - **solenoids**, dipoles, quads, sextupoles, ...
  - **arbitrary fields**, acceleration
- Beam injection: **Child-Langmuir**, and other models
- Diagnostics: **Extensive snapshots and histories**
- Parallel: **MPI**
- Python and Fortran: “**steerable**,” input decks are programs
  - a **GUI** is also available

### New advanced features:

- **AMR**, **Electron mover with large time steps**, gas and electrons models, prototype **Vlasov** (soon)

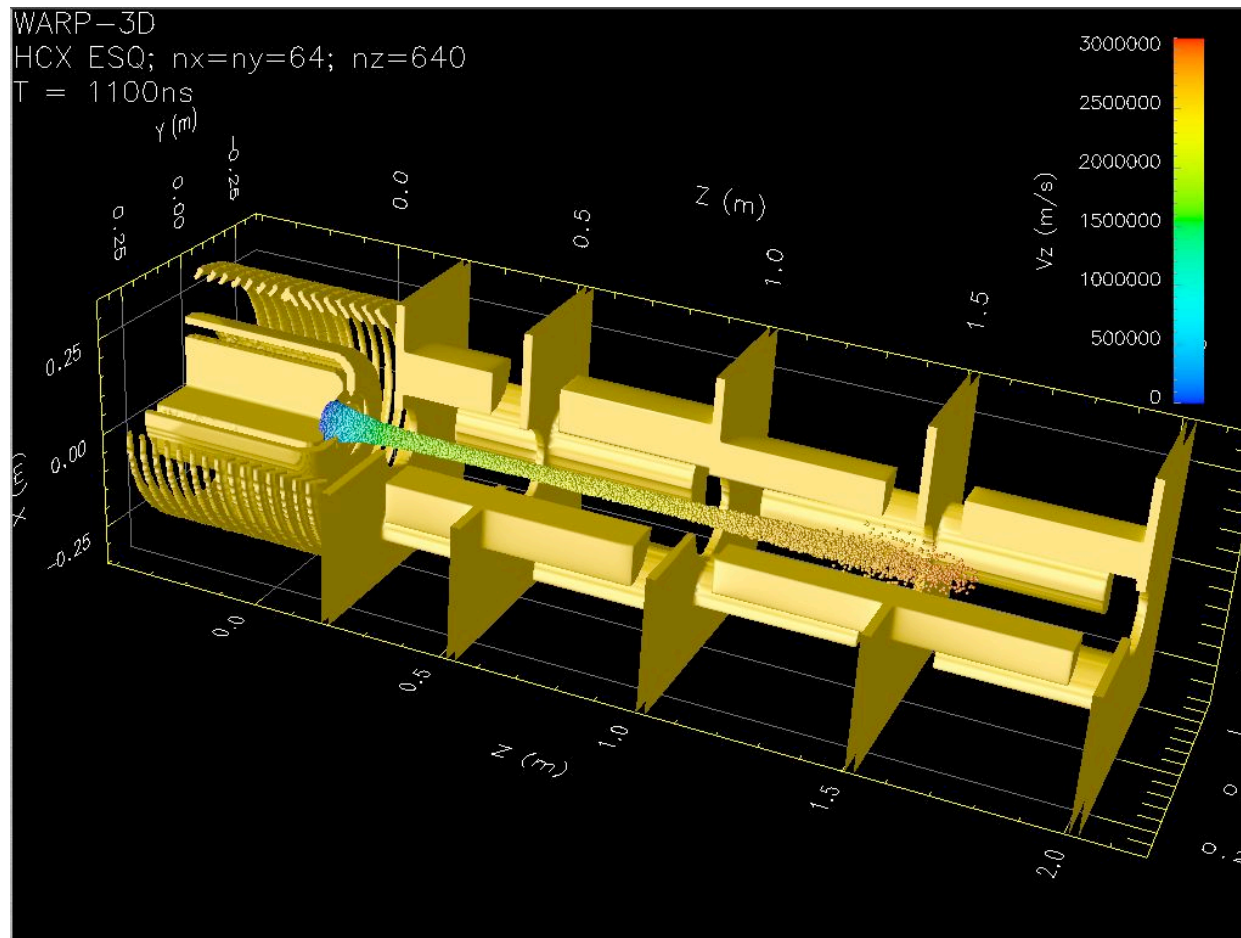
## 3-D WARP simulation of High-Current Experiment (HCX)



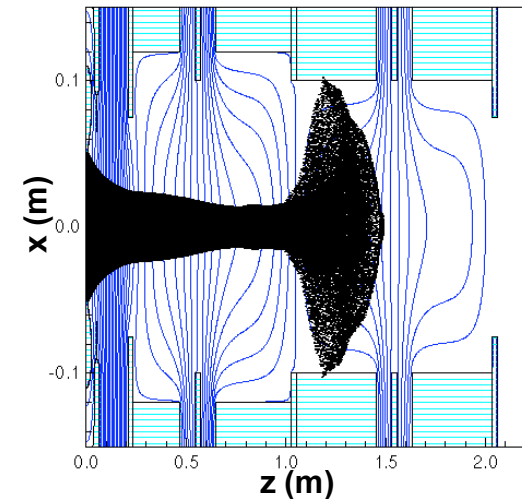
In the following slides, we will follow the story of the why and how we implemented mesh refinement to get to numerical convergence.



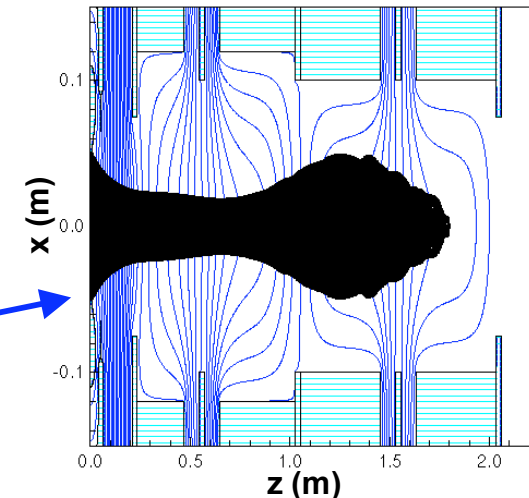
# 3-D WARP simulation of HCX shows beam head scrapping



Rise-time  $\tau = 800$  ns  
beam head particle loss < 0.1%



Rise-time  $\tau = 400$  ns  
zero beam head particle loss

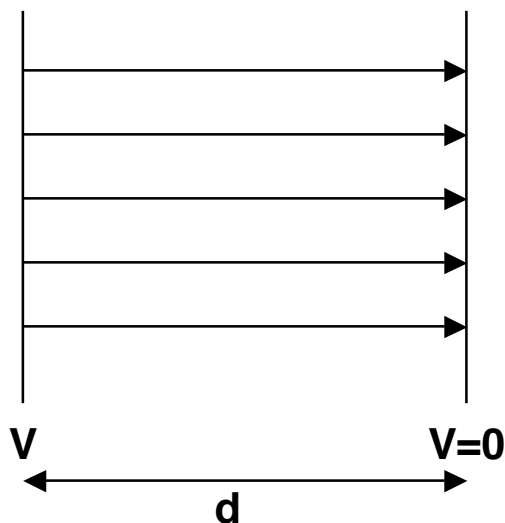


- Head cleaner with shorter voltage rise-time.
- Questions:
  - what is the optimal rise-time?
  - can we produce and model very-fast rise-time?

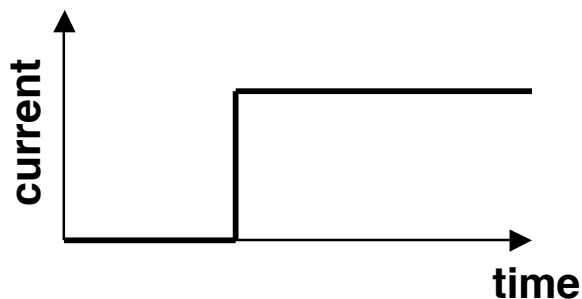
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# Test: 1-D time-dependent modeling of ion diode

Emitter Collector



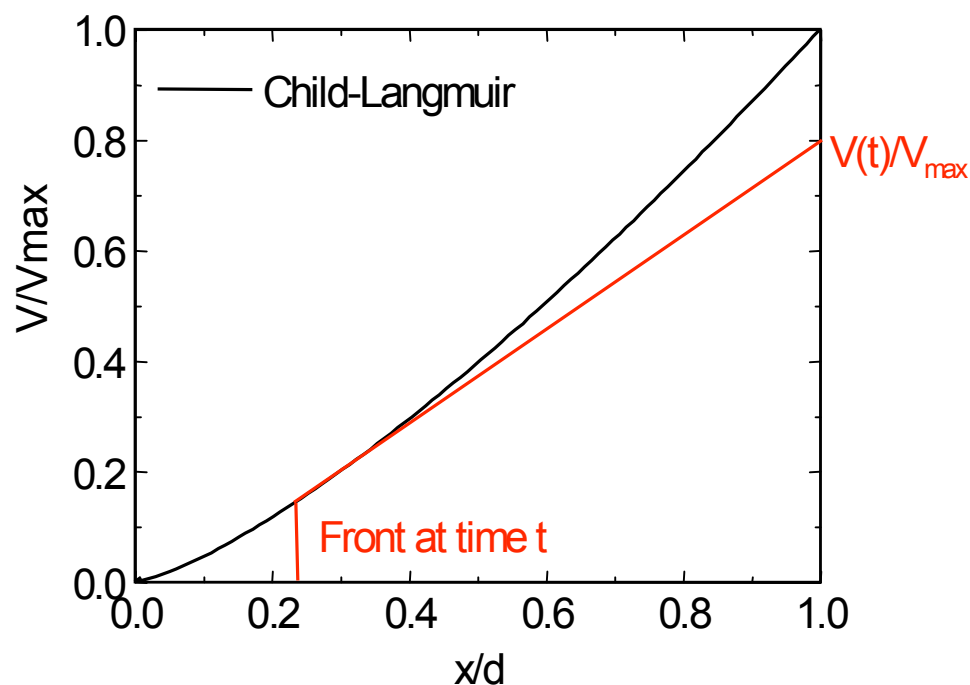
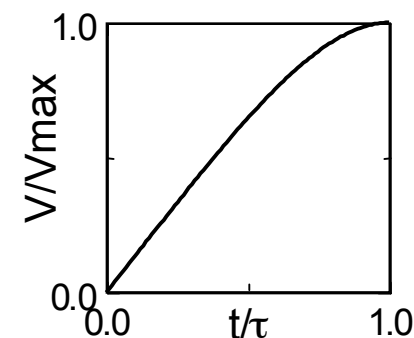
Applied voltage for Heavyside current history?



Analytic solution from Lampel-Tiefenback

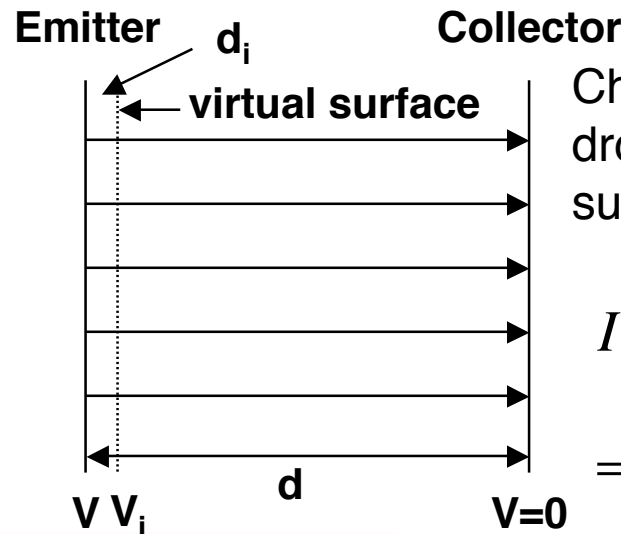
$$V(t) = \frac{t}{3\tau} \left[ 4 - \left( \frac{t}{\tau} \right)^3 \right] V_{\max}$$

( $\tau$ : transit time)



# Test: 1D time-dependent modeling of ion diode (algo 1)

## Injection algorithm

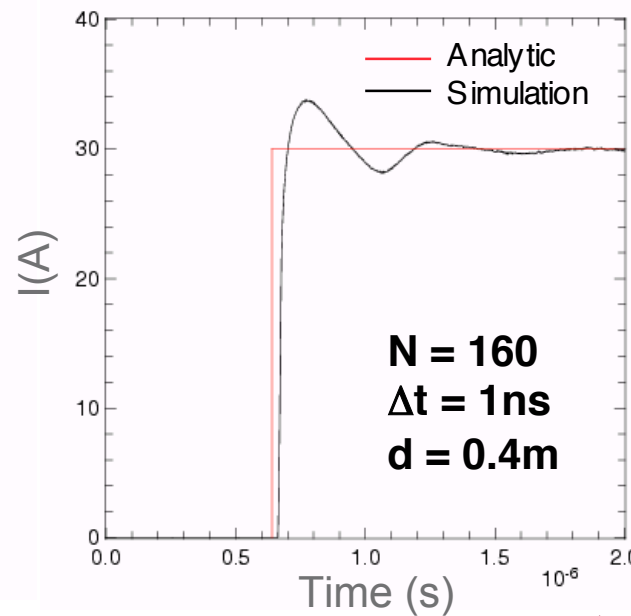
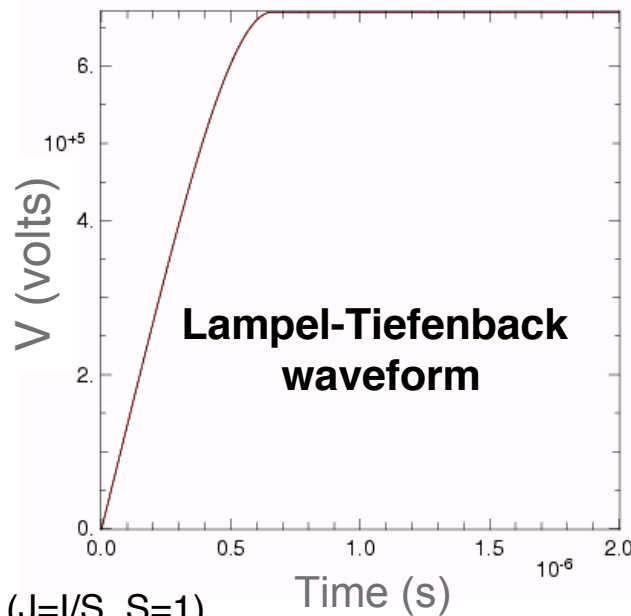


Child-Langmuir solution\* + voltage drop between emitter and virtual surface determines current to inject.

$$I = \chi \frac{(V - V_i)^{3/2}}{d_i^2}; \quad \chi = \frac{4}{9} \epsilon_0 \sqrt{\frac{2q}{m}}$$

$$\Rightarrow \Delta Q = Nq = I\Delta t$$

## Result



Simulation result exhibits large unphysical oscillation.

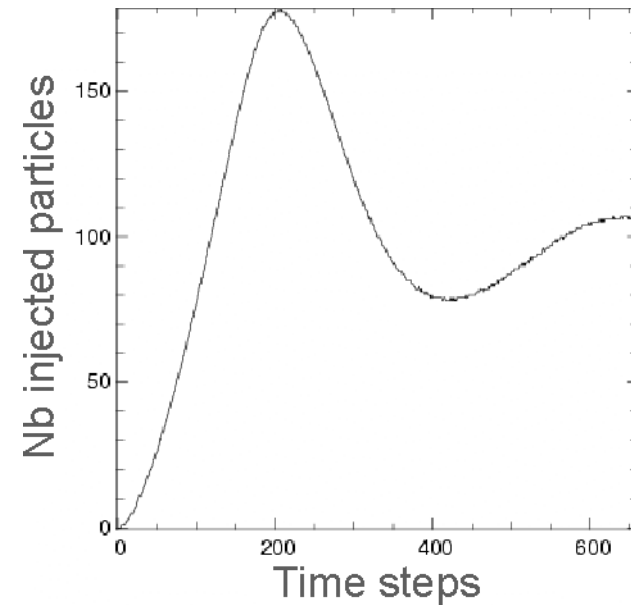
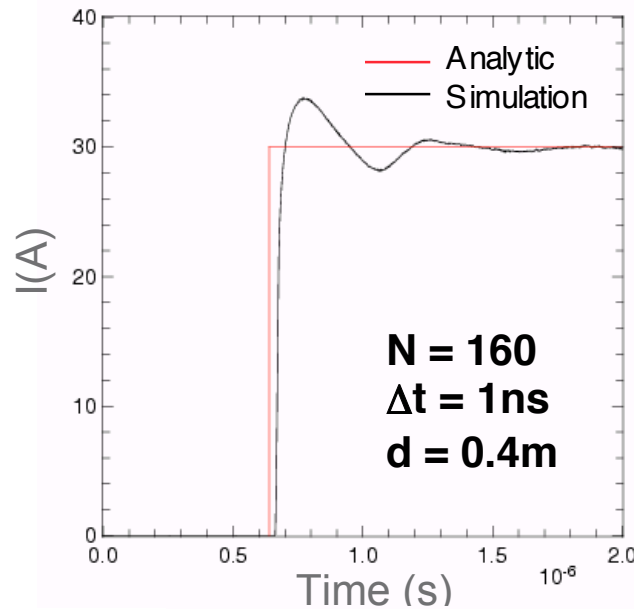
\*1-D;  $\Rightarrow J \equiv I$  ( $J=I/S$ ,  $S=1$ )

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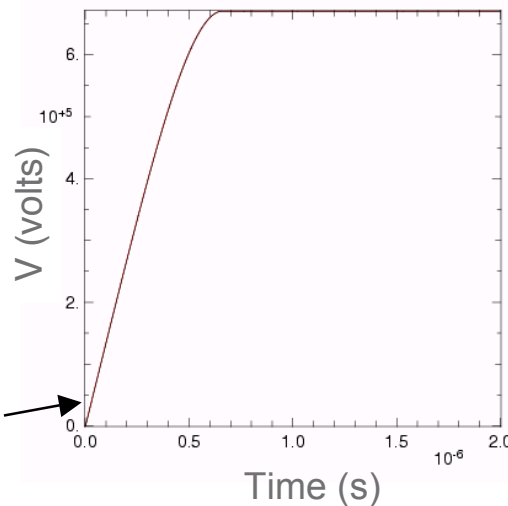
# Unphysical oscillation related to Nb particles injected/time step ( $N_i$ )



Ideally,

$$\frac{N_i}{\Delta t} = \chi \frac{(V - V_i)^{3/2}}{q d_i^2} = Cste$$

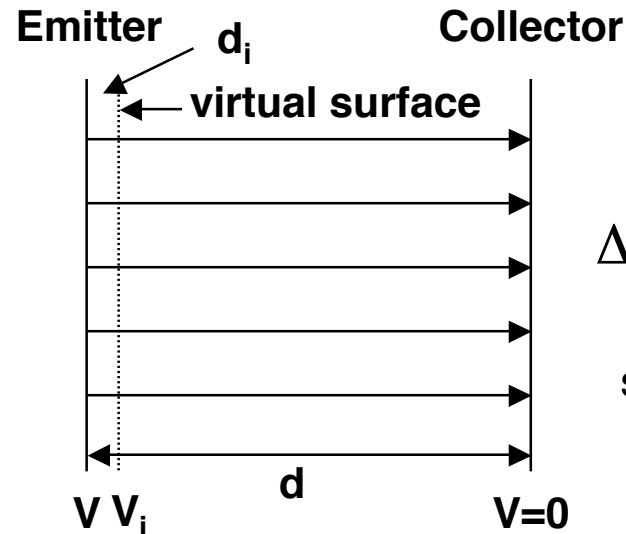
but the driving voltage is a continuous function derived analytically.



⇒ Inconsistency due to infinitesimal solution applied in discrete world.

# Cure: derive voltage history numerically

## Injection algorithm



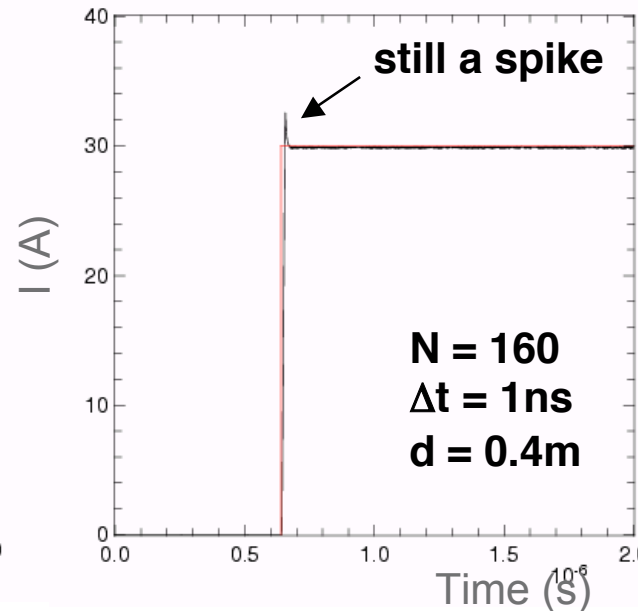
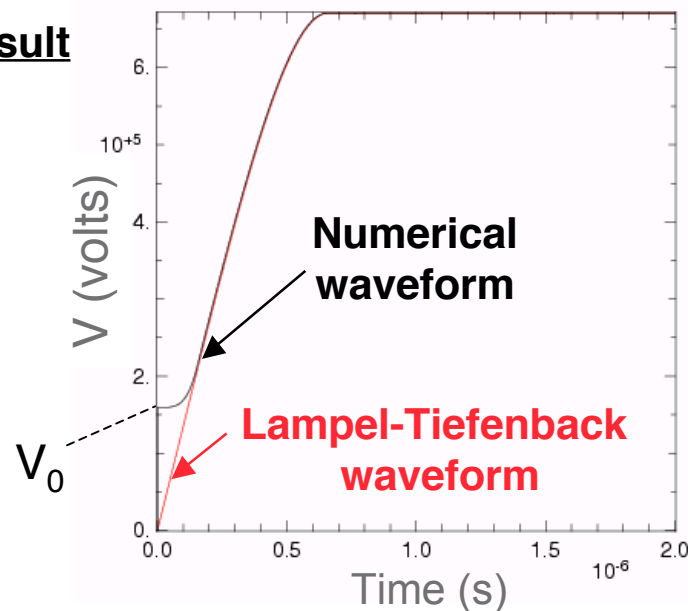
We apply Lampel-Tiefenback method at the discrete level

$$\Delta Q = Nq = I\Delta t \Rightarrow V - V_i = \left( \frac{Id_i^2}{\chi} \right)^{2/3}$$

solve for  $V$  using linearity of Poisson

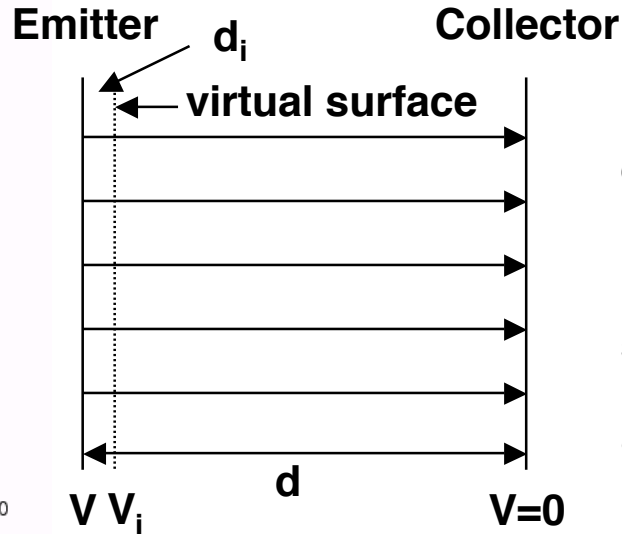
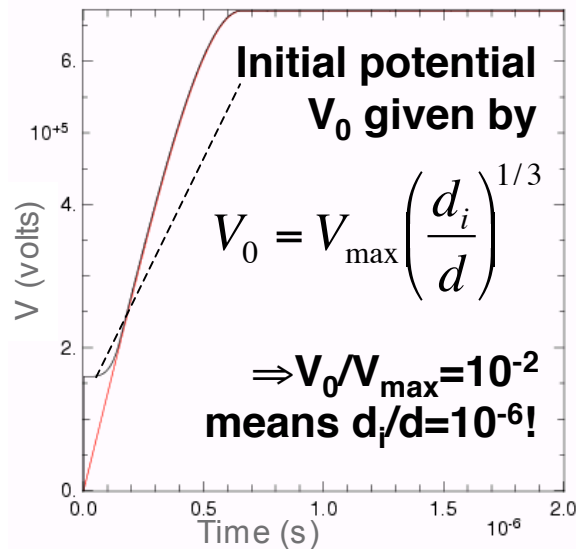
$$(V - V_i) = (V - V_i)_{V=0} + (V - V_i)_{\rho=0}$$

## Result



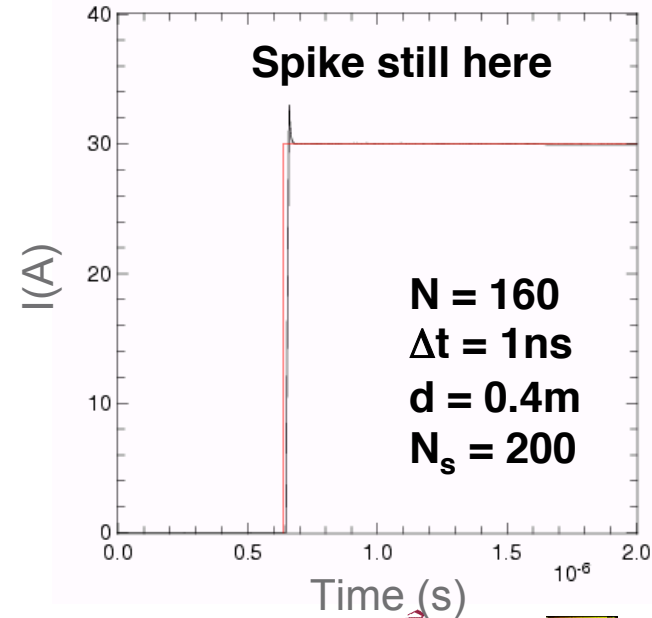
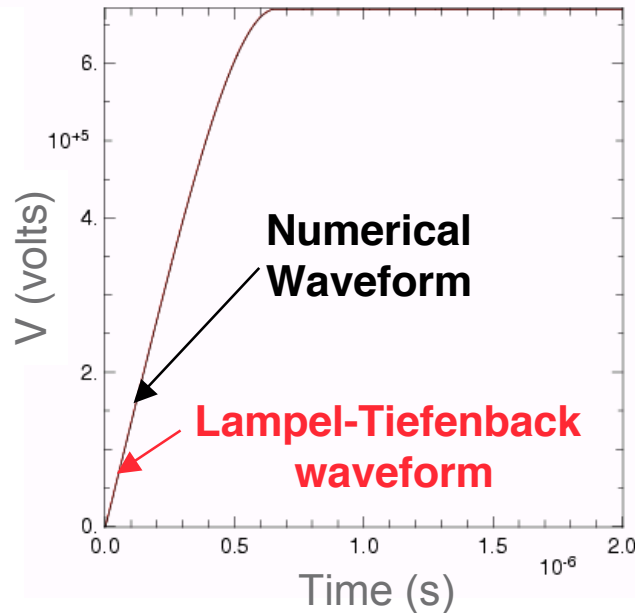
Large unphysical oscillation has been suppressed but there is still a spike. Is it due to initial step  $V_0$  in waveform?

## Cure #2: apply irregular gridded patch around emitter.



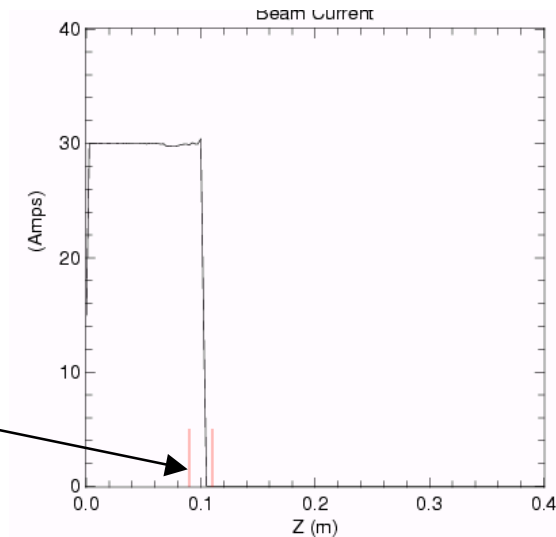
- Apply irregular gridded patch covering  $d_i$
- Mesh sizes such that number of particles per cell is a constant in patch assuming Child-Langmuir solution for  $\rho(z)$
- Apply same injection algorithm as before in patch

### Result

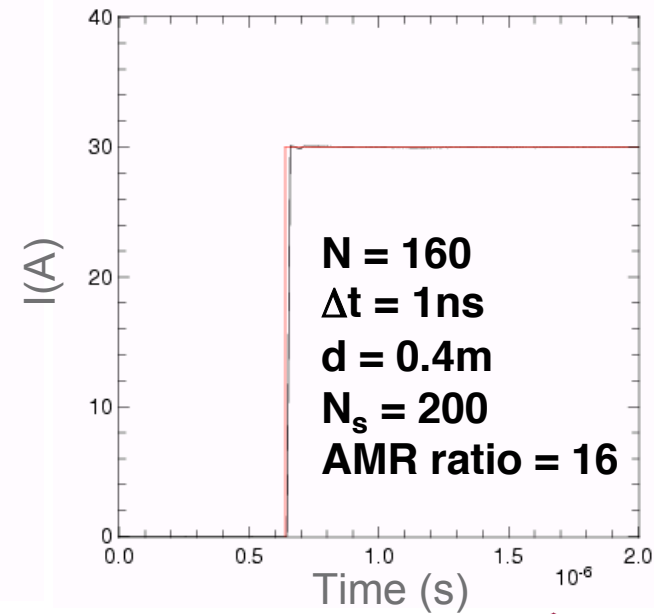
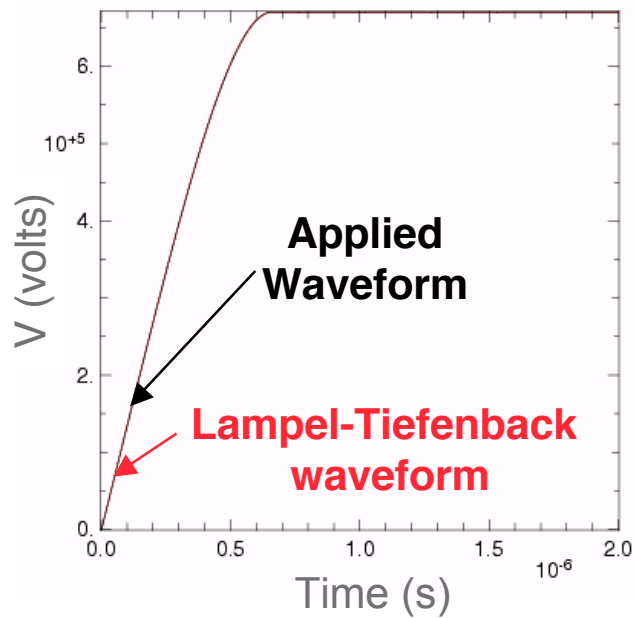


## Cure #3: apply regularly gridded patch following front.

An Adaptive-Mesh-Refinement patch  
Follows the front



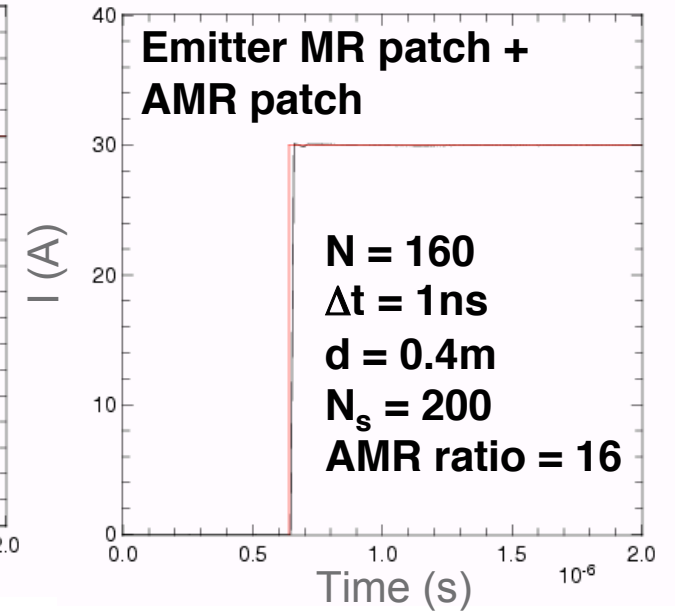
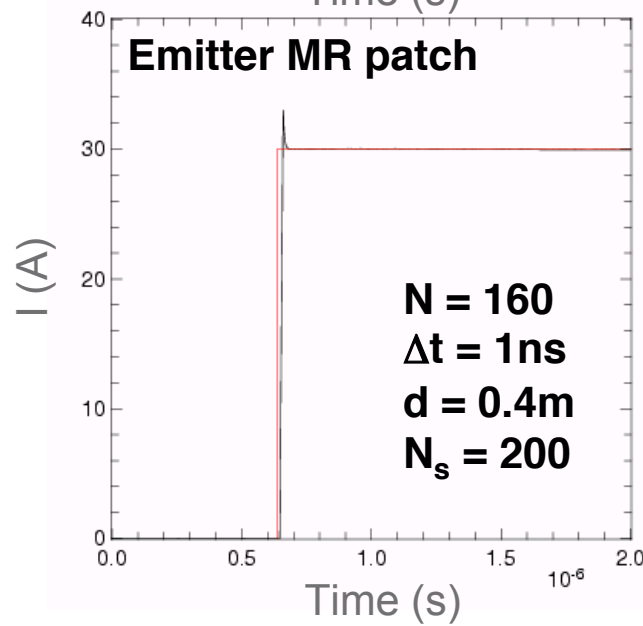
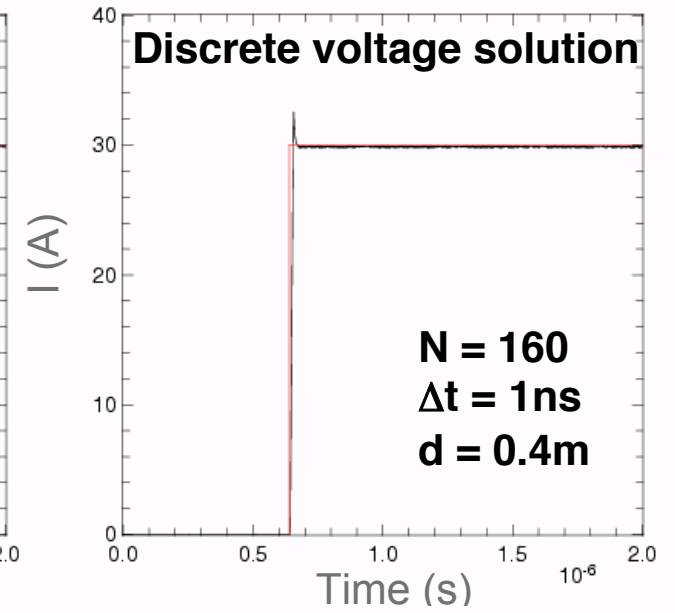
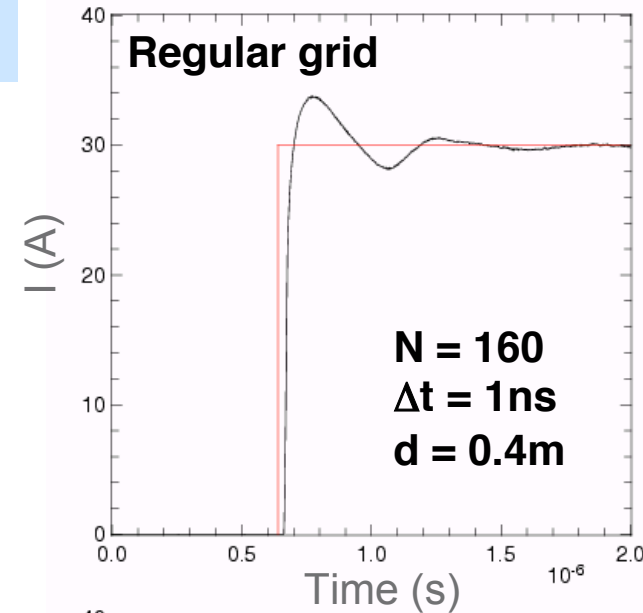
### Result



At this point,  
we declared  
victory!

## Summary

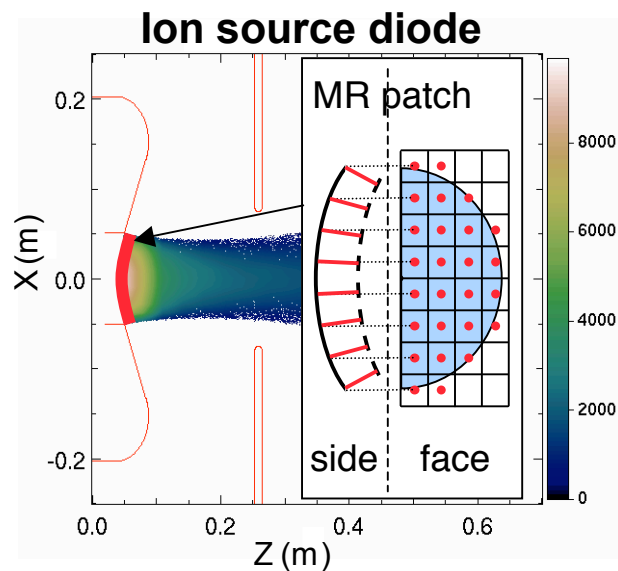
- Discrete voltage solution or MR patch suppressed long wavelength oscillation
- AMR patch suppressed front peak



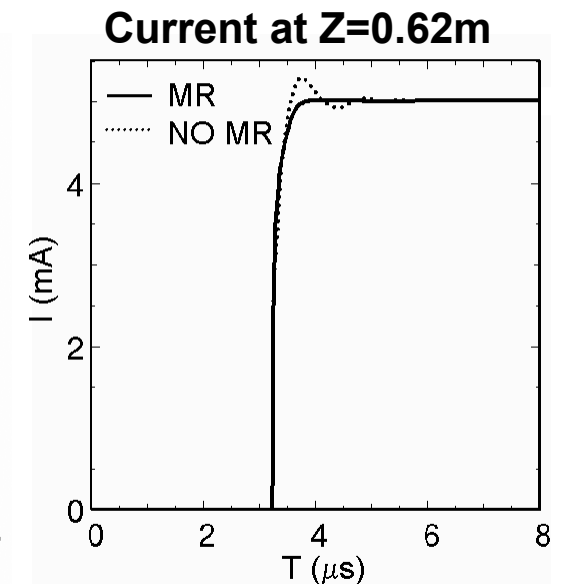
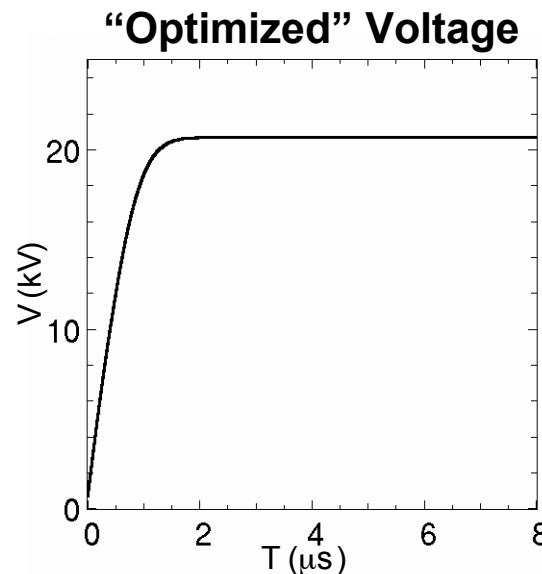


## Extension to three dimensions

- Specialized 1-D patch implemented in 3-D injection routine, as a 2-D array of 1-D patches.

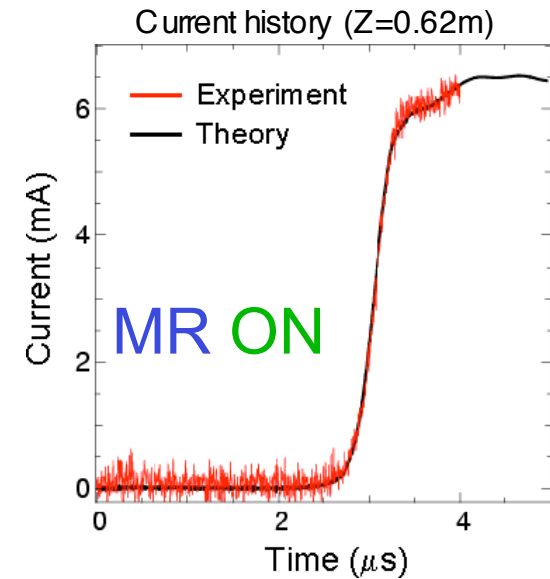
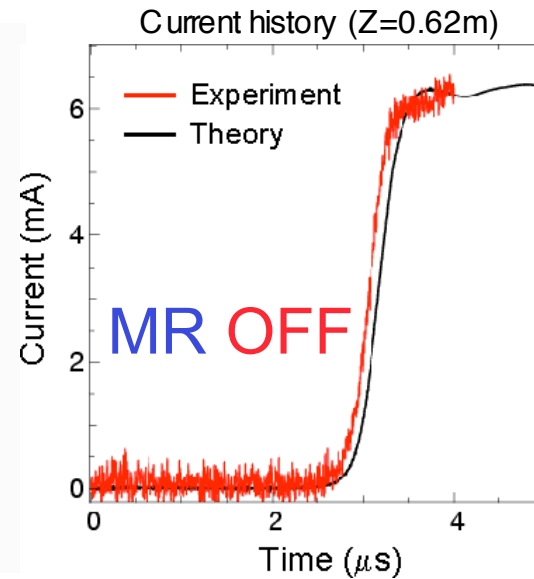
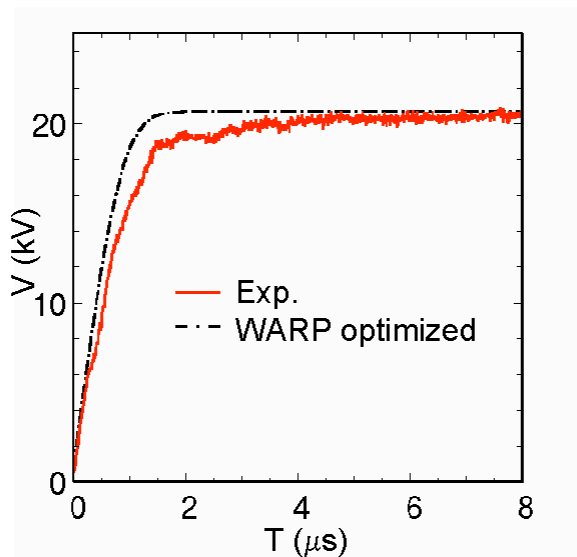
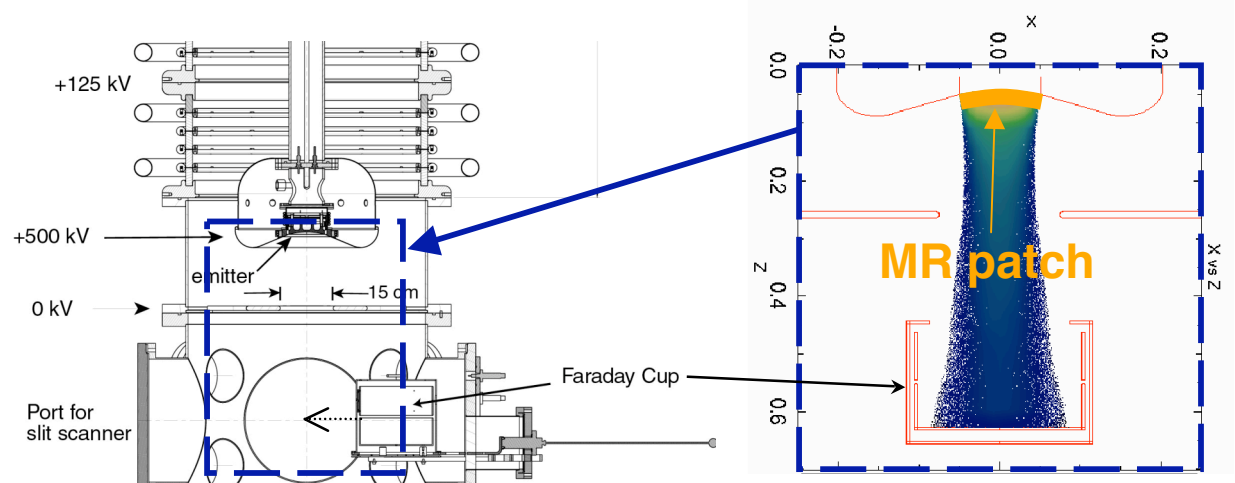
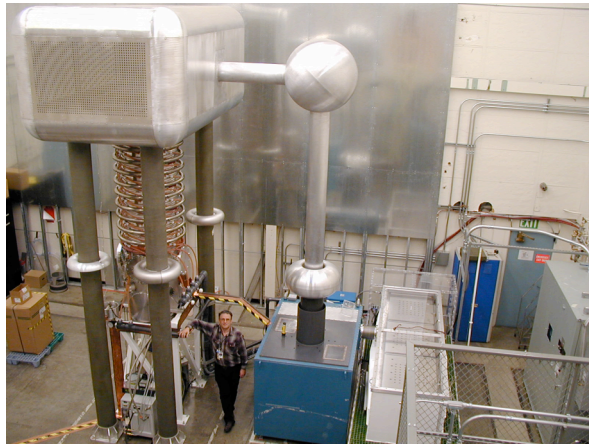


- Extended Lampel-Tiefenback technique to 3-D, and implemented in WARP
  - predicts a voltage waveform which extracts a nearly flat current at emitter



- Without MR, WARP predicts overshoot
- Run with MR predicts very sharp risetime (not square due to erosion)

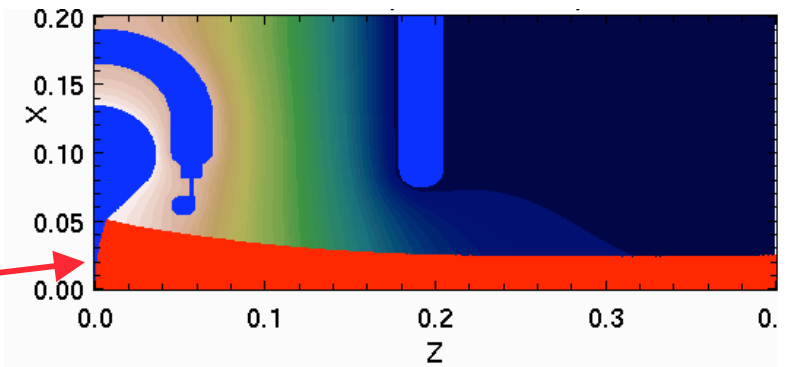
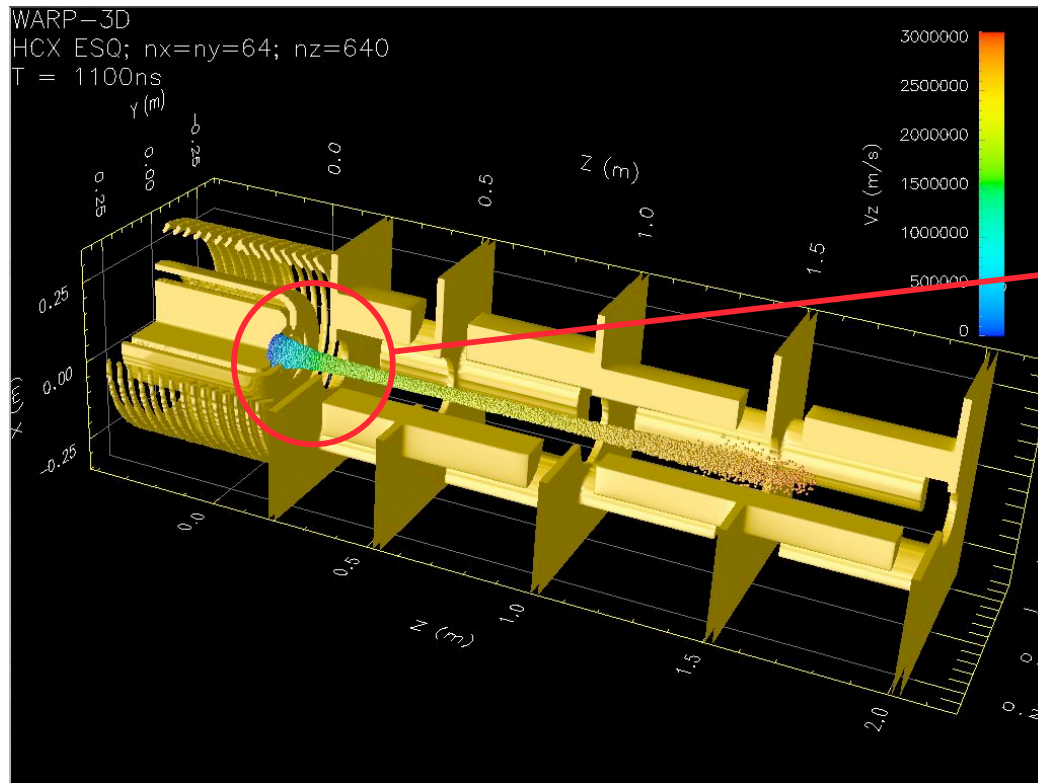
# Test of MR patch on modeling of STS500 Experiment.



\* J.-L. Vay et al, PoP (2003)

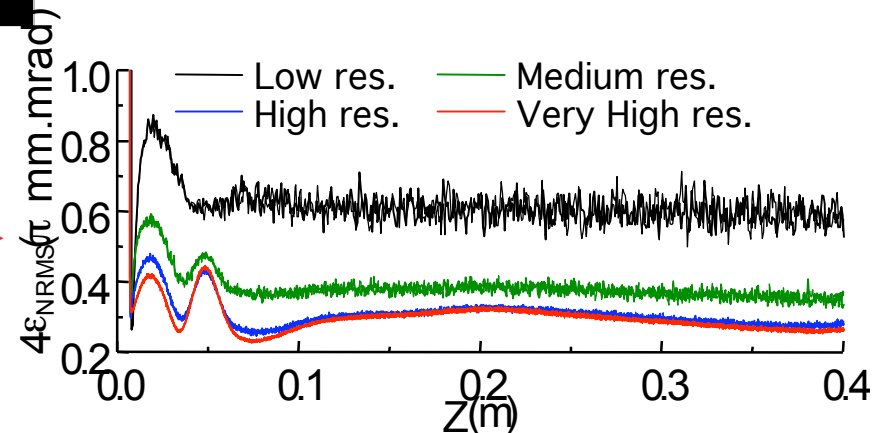
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## Modeling of source critical - determines initial shape of beam.

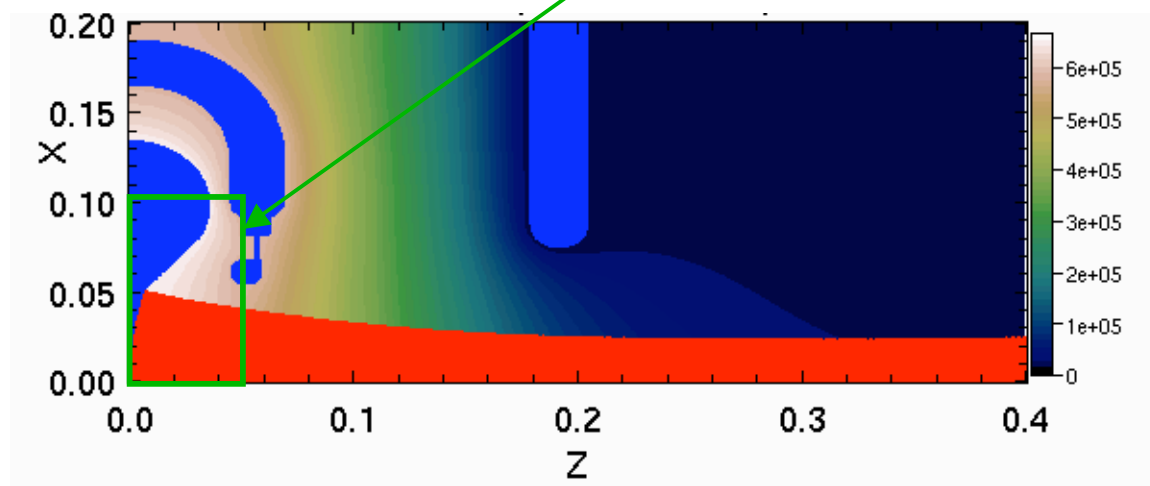


Run	Grid size	Nb particles
Low res.	56x640	~1M
Medium res.	112x1280	~4M
High res.	224x2560	~16M
Very High res.	448x5120	~64M

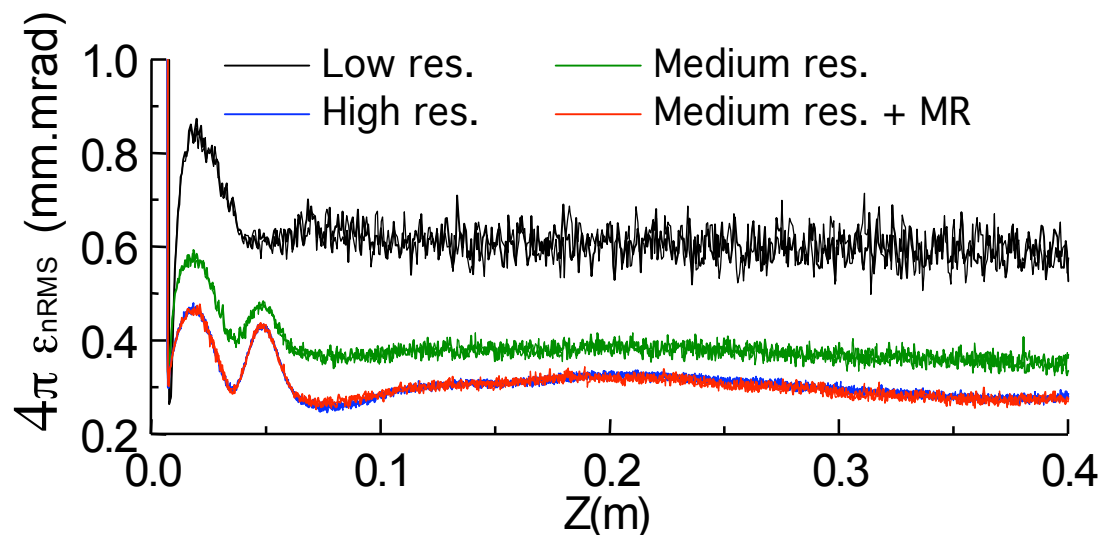
**WARP-RZ (axi-symmetric)**  
simulations show that a fairly  
high resolution is needed to  
reach convergence



## First MR attempt - 1 MR block surrounding emitter.



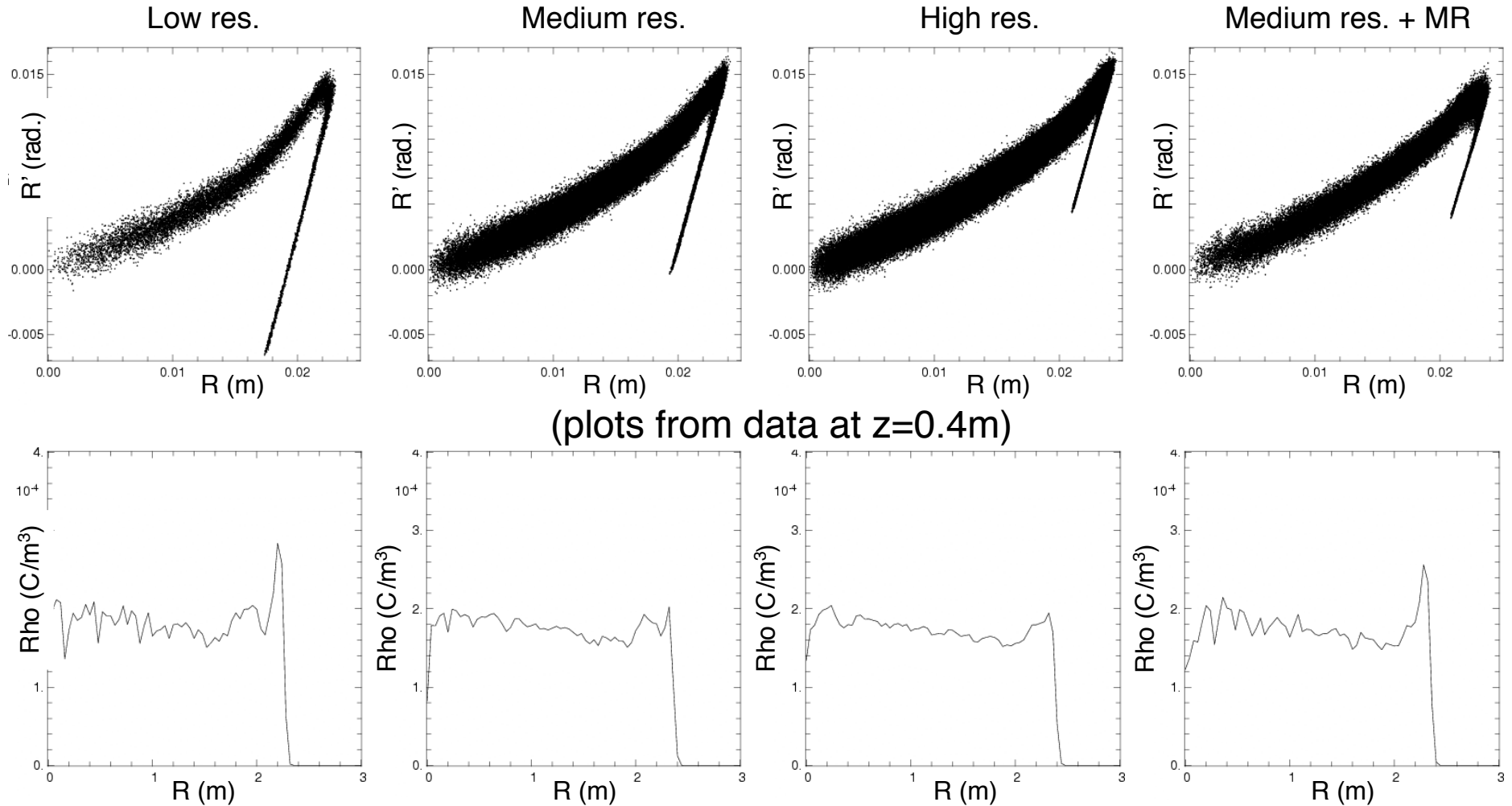
Refining around the emitter area is enough to recover emittance from converged high-resolution case.



Run	Grid size	Nb particles
Low res.	56x640	~1M
Medium res.	112x1280	~4M
High res.	224x2560	~16M
Medium res. + MR	112x1280	~4M

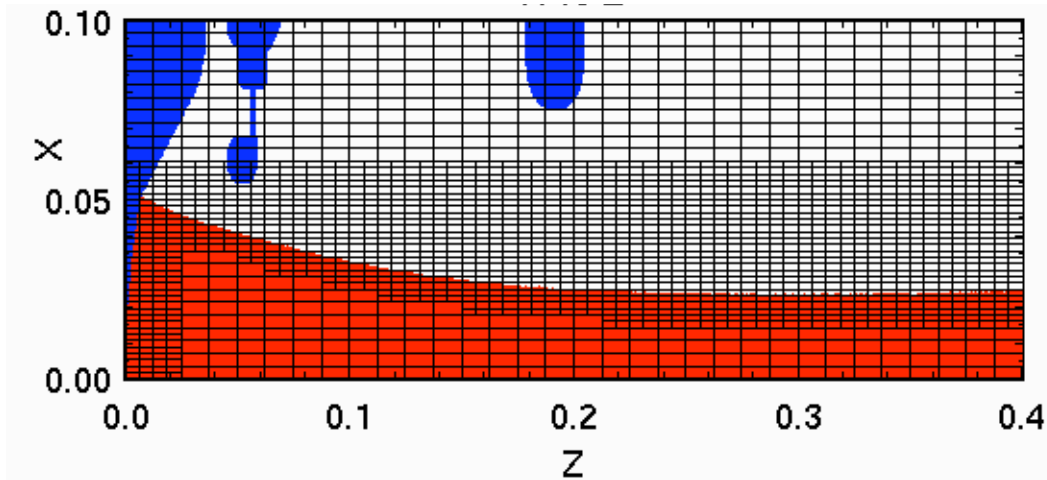
## First MR attempt - 1 MR block surrounding emitter (2).

However, it is not enough for recovering details of distribution.

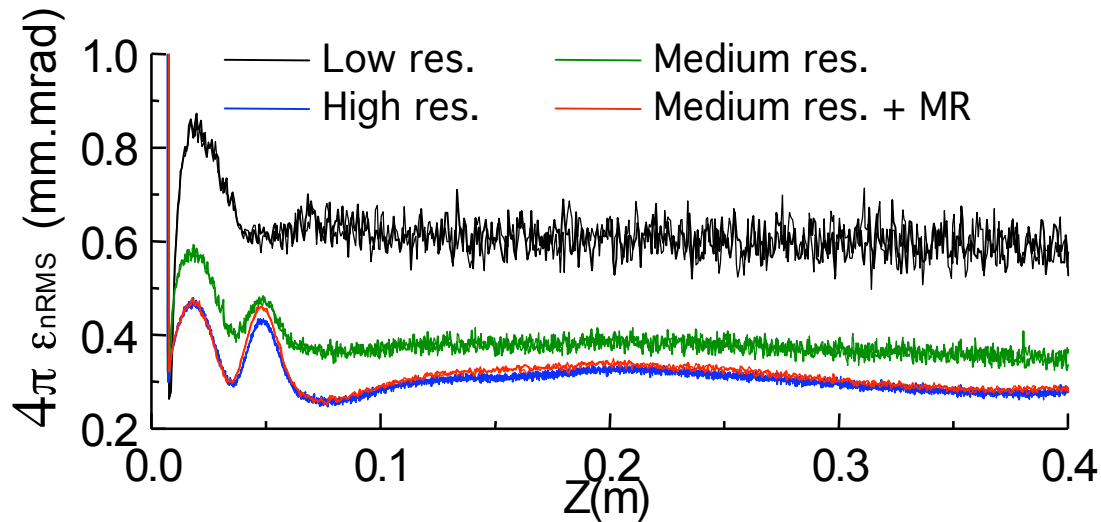


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## Second attempt - 1 MR block with adaptive excavation.



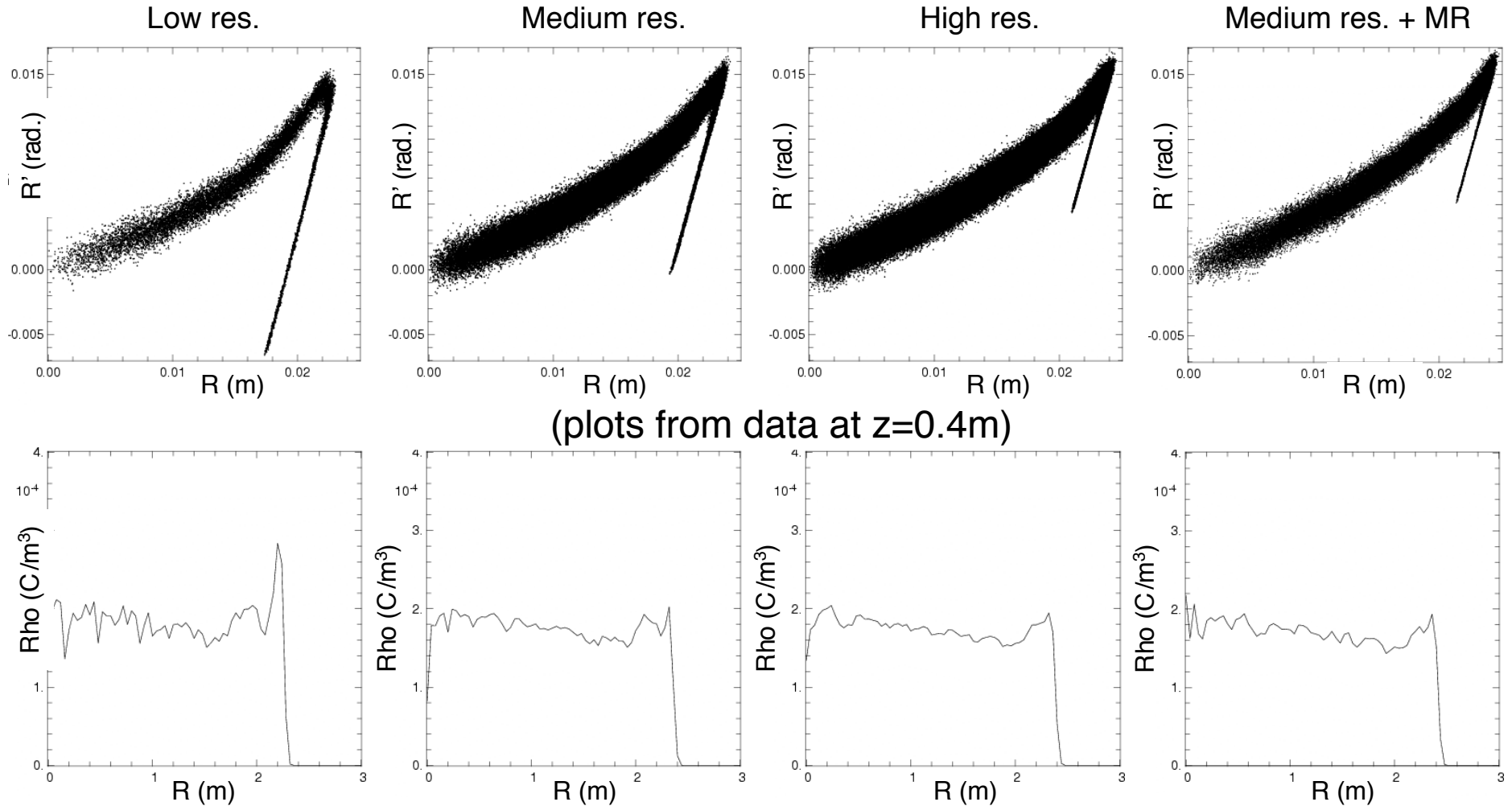
**Emittance recovered,  
again.**



Run	Grid size	Nb particles
Low res.	56x640	~1M
Medium res.	112x1280	~4M
High res.	224x2560	~16M
Medium res. + MR	112x1280	~4M

## Second attempt - 1 MR block with adaptive excavation (2).

Refining emission are AND beam edge sufficient for recovering details of distribution.

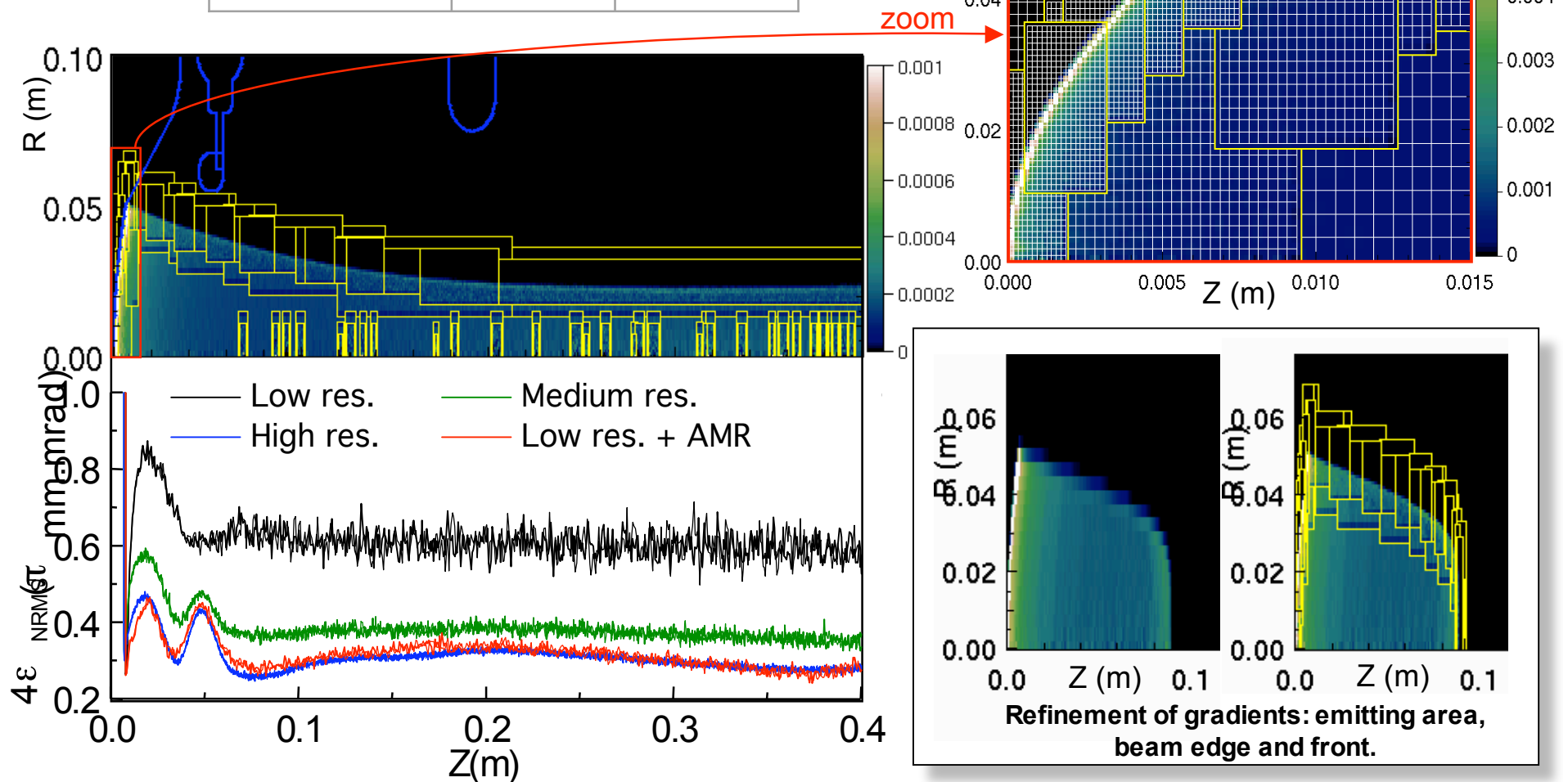


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# Full AMR implementation: speedup ~10.5

Run	Grid size	Nb particles
Low res.	56x640	~1M
Medium res.	112x1280	~4M
High res.	224x2560	~16M
Low res. + AMR	56x640	~1M





# Outline

- Who we are. Our interest in multiscale modeling.
- Modeling of plasmas: generalities.
- **AMR**
  - issues
  - **Electrostatic**
    - modeling of the High-Current Experiment (HCX)
    - **modeling of the Large Hadron Collider (LHC)**
  - **Electromagnetic**
    - modeling of laser-plasma interaction
  - Vlasov
- New particle mover for large time steps in magnetic fields
- Toward multiscale modeling of plasmas: some methods
- Conclusion

# Study of e-cloud in LHC FODO cell

## The problem:

Simulate “multibunch, multiturn” passage of beam through FODO cell (~100 m):

dipoles

quadrupoles

drifts

Electrons  $\Leftarrow$  synchrotron radiation, secondary emission

## Study:

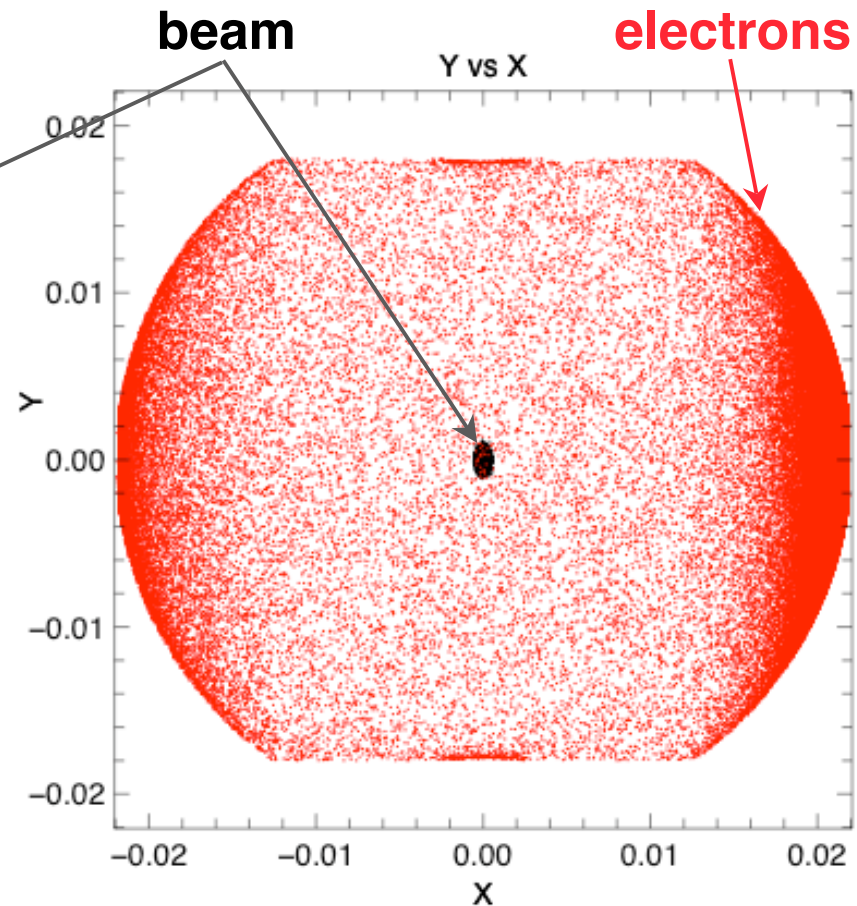
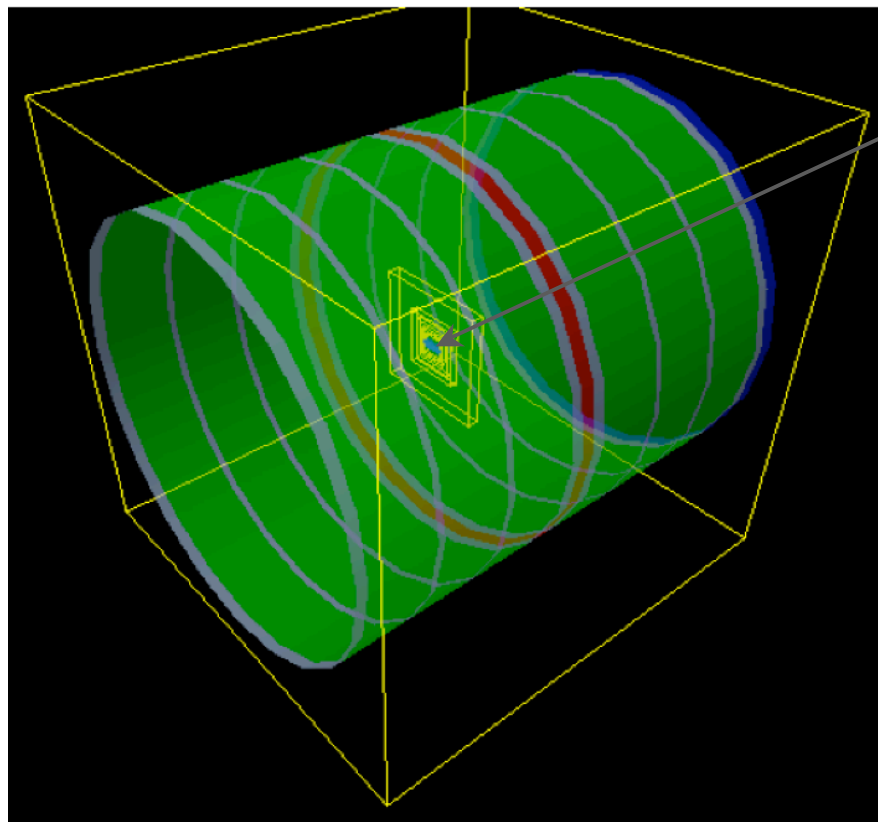
Electron accumulation and trapping in quads

Power deposition from electrons

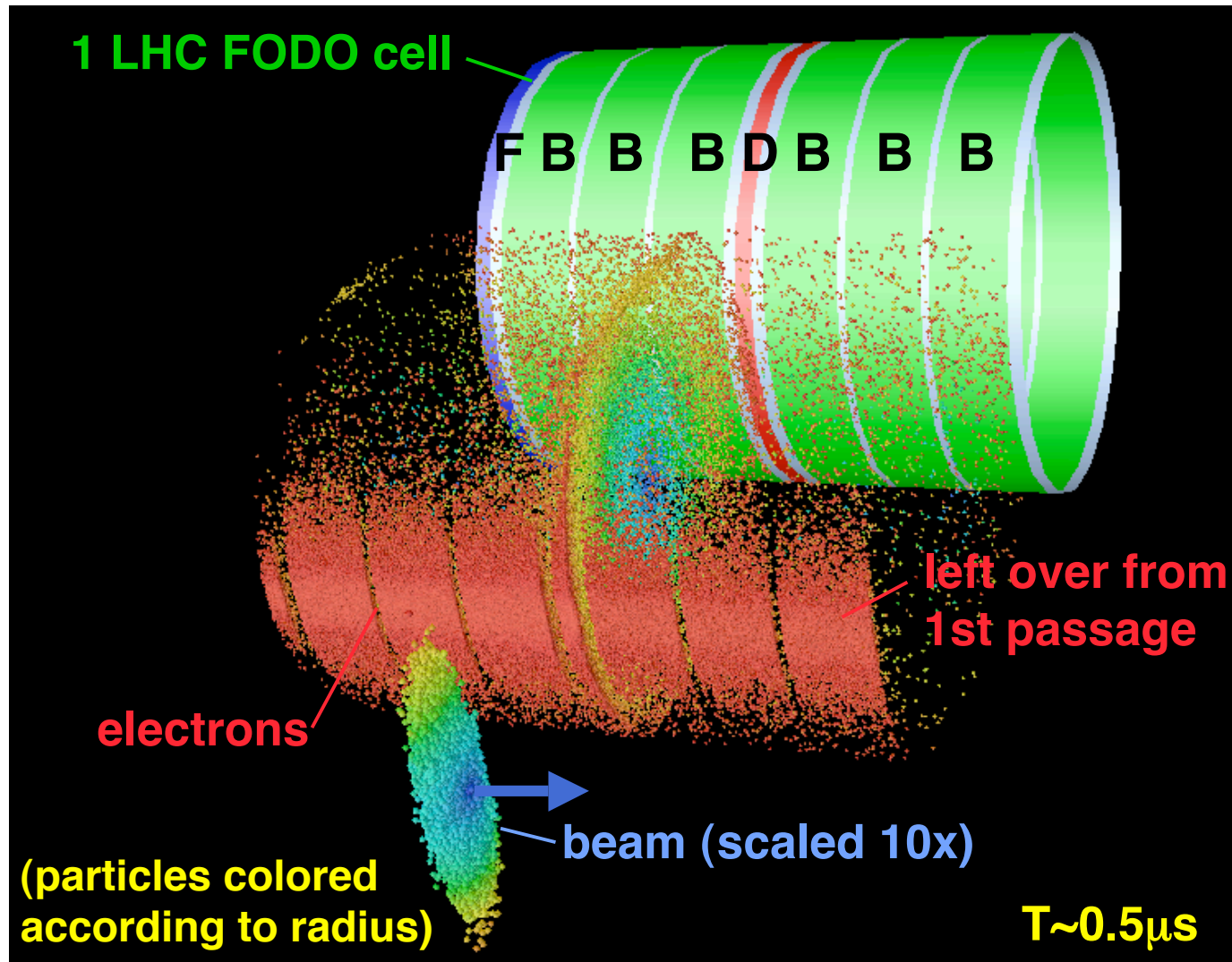
First try with one bunch in periodic FODO cell.

## Frame 2nd passage of bunch through cell - 2

- We use actual LHC pipe shape: beam size  $\ll$  pipe radius
- Mesh Refinement provides speedup of **x20,000** on field solve



# Frame 2nd passage of bunch through cell - 1



# Outline

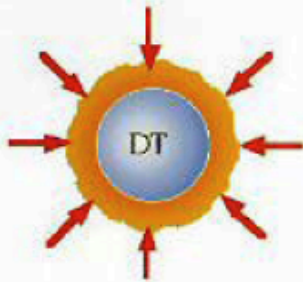
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# A cartoon of fast ignition.

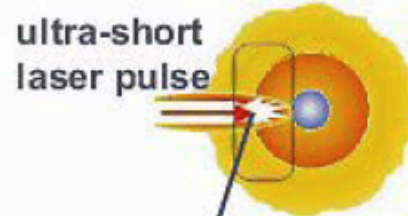
## Fast Ignitor : Electron generation and transport are crucial



1: Classical DT fuel compression by *ns* laser beams



2: Pre-compressed fuel heating using fast electrons



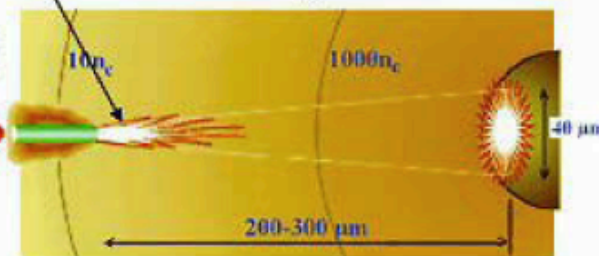
3: Fuel ignition



Fast electrons

(short pulse)

LASER

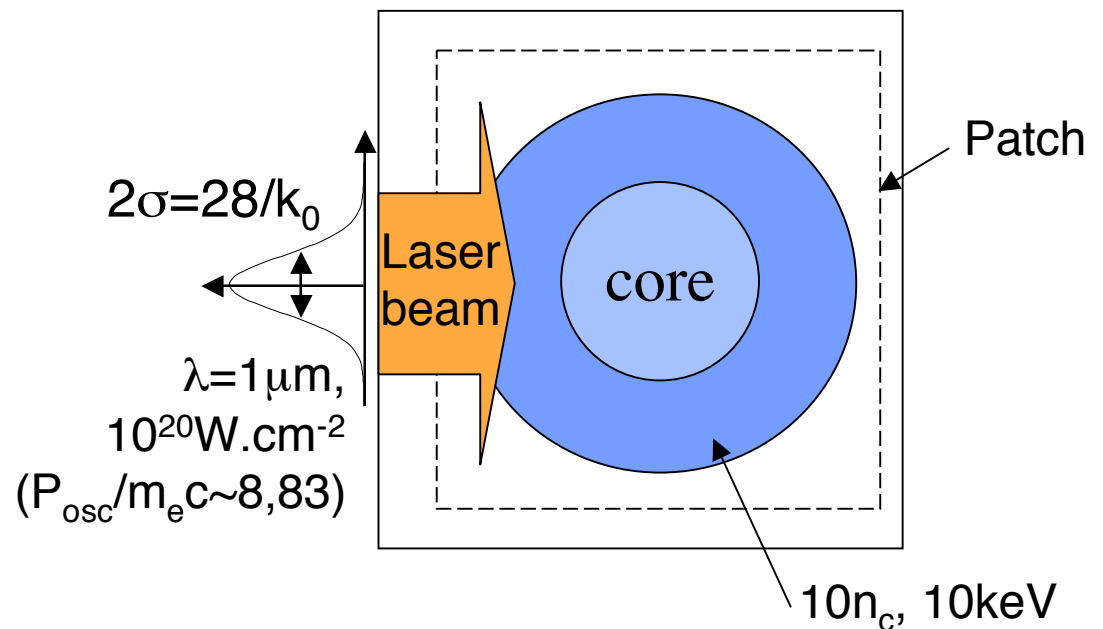


2 crucial problems:

- **electron generation**
- **electron transport**

# Laser-plasma interaction in the context of fast ignition

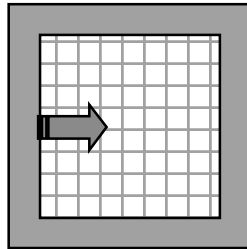
- A laser impinges on a cylindrical target which density is far greater than the critical density.
- The center of the plasma is artificially cooled to simulate a cold high-density core.
- Patch boundary surrounds plasma. Laser launched outside the patch.
- Implemented new MR technique in EM PIC code Emi2d (E. Polytech.)





## EMI2D code

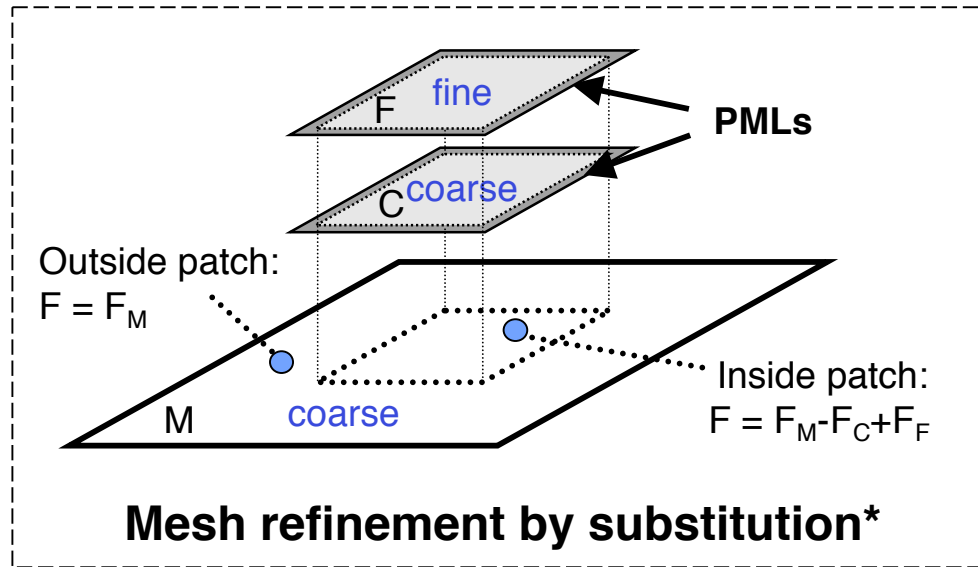
- PIC electromagnetic 2D, cubic splines (- noise, + stable), Esirkepov exact current deposition scheme
- Boundary conditions: open system
  - particles
    - ions leave the box freely
    - electrons reflected until an ion exit (overall charge conserved)
  - EM fields: absorbing layer (“Asymmetric PML”\*) + incoming wave



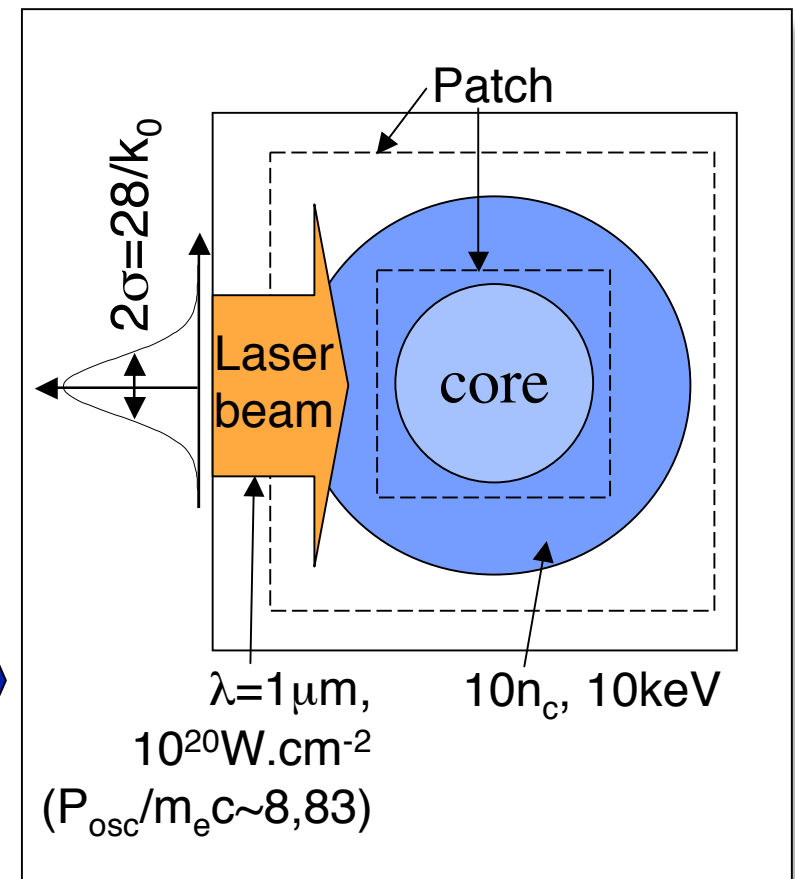
\* Vay, *JCP* (2002)



# New MR method implemented in EM PIC code Emi2d



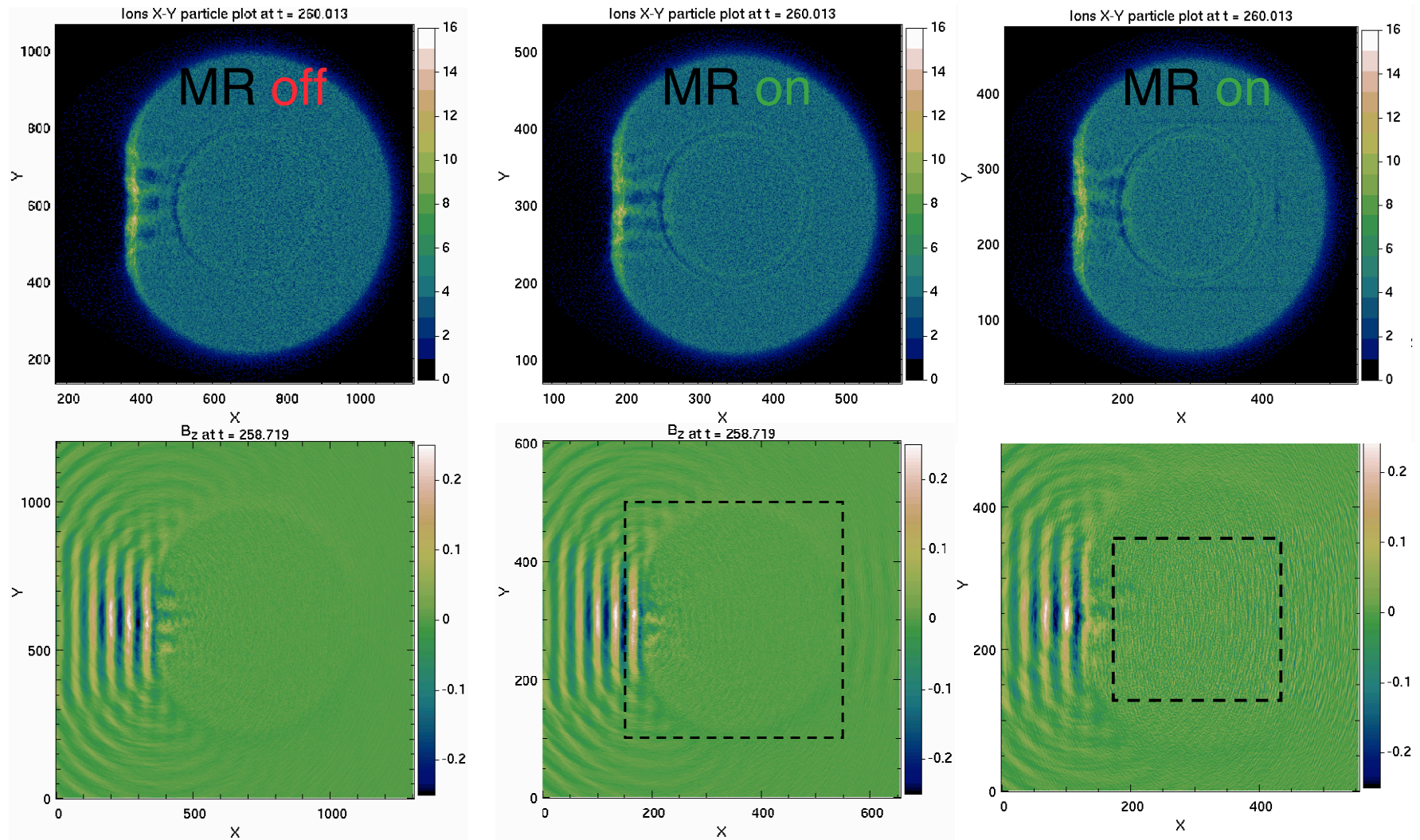
**Applied to Laser-plasma interaction in the context of fast ignition**



\* J.-L. Vay, J.-C. Adam, A. Heron, CPC (2004)

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# Comparison patch on/off

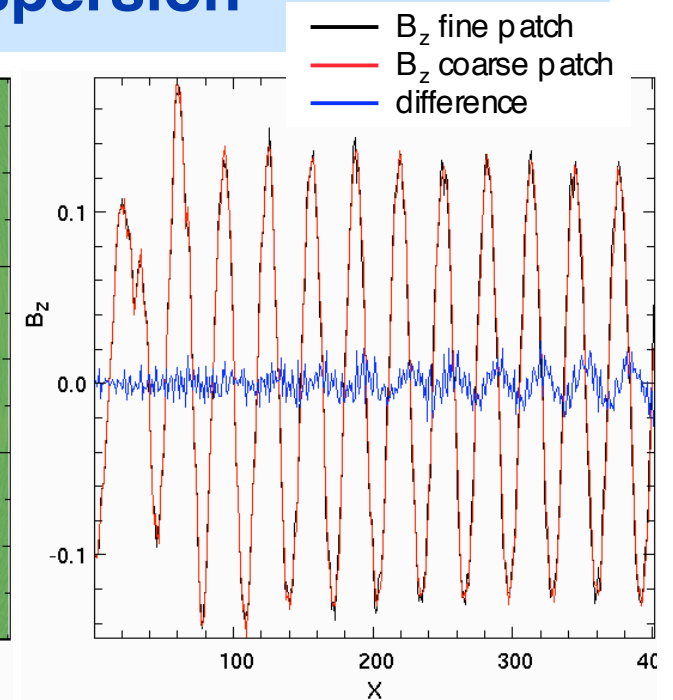
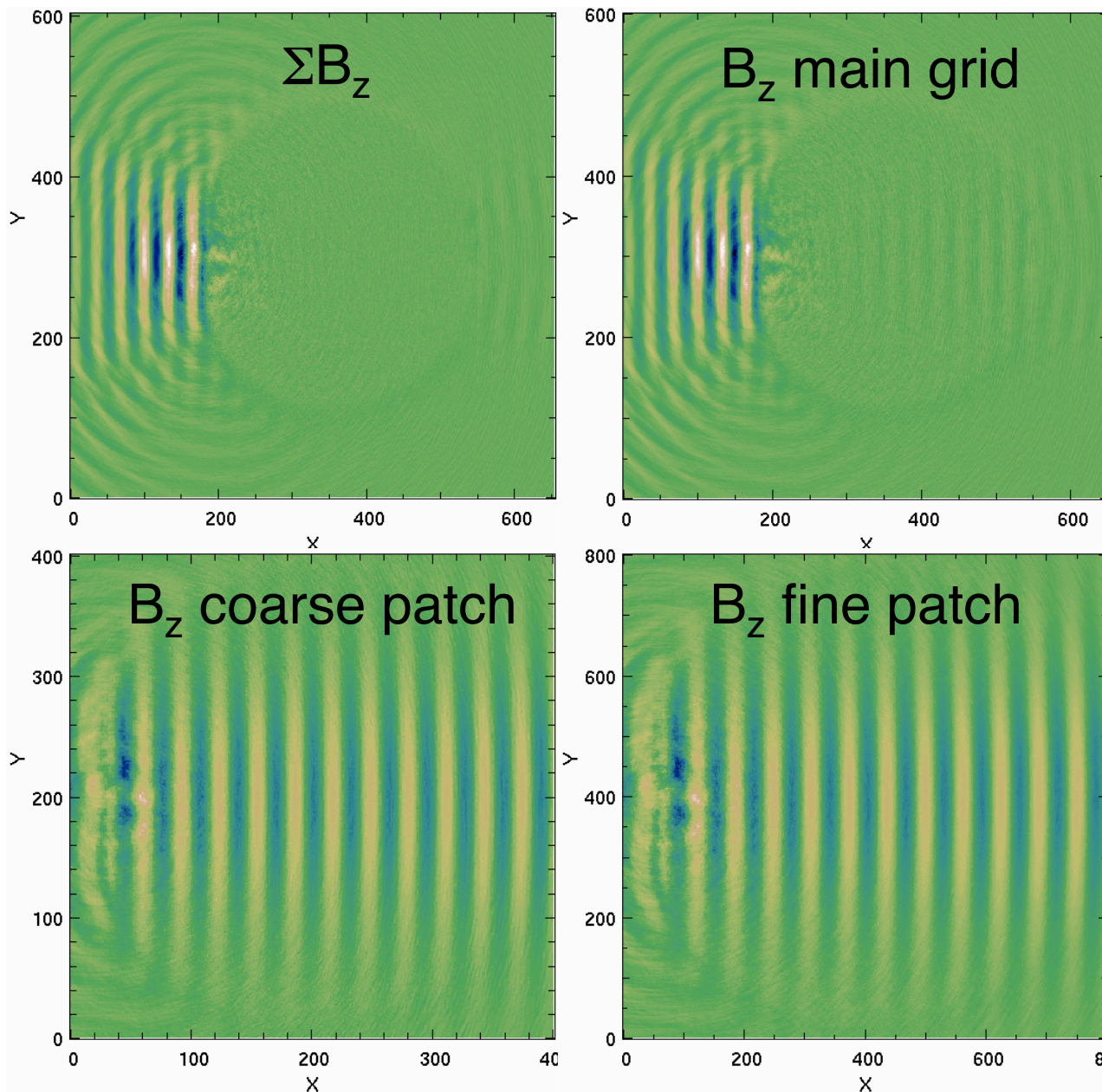


same results except for:

- small residual incident laser at exit of patch when patch englobes target
- dip in density on patch border when patch inside target



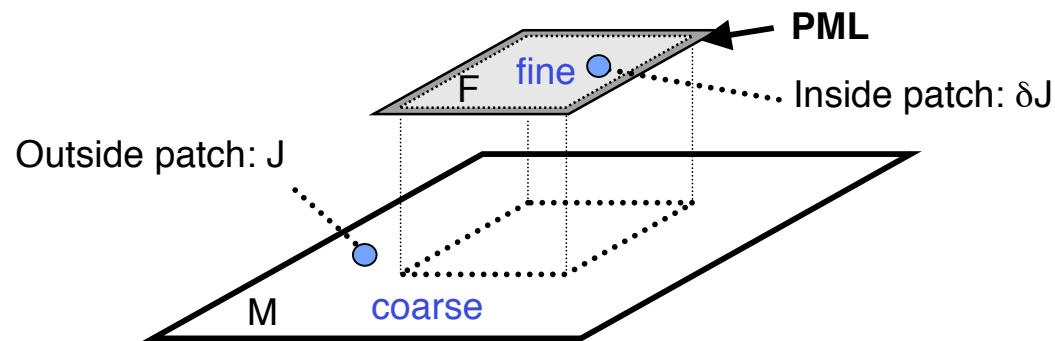
# Partial cancellation due to numerical dispersion



- main grid: laser + plasma response + residual
- patches: plasma response at two slightly different velocities

## Possible paths for better scheme

- Use less dispersive Maxwell solver
- Inject residual of waves on main grid at patch interface
- Do not use coarse patch and solve on fine patch with source term  $\delta J$  as a correction to  $J$

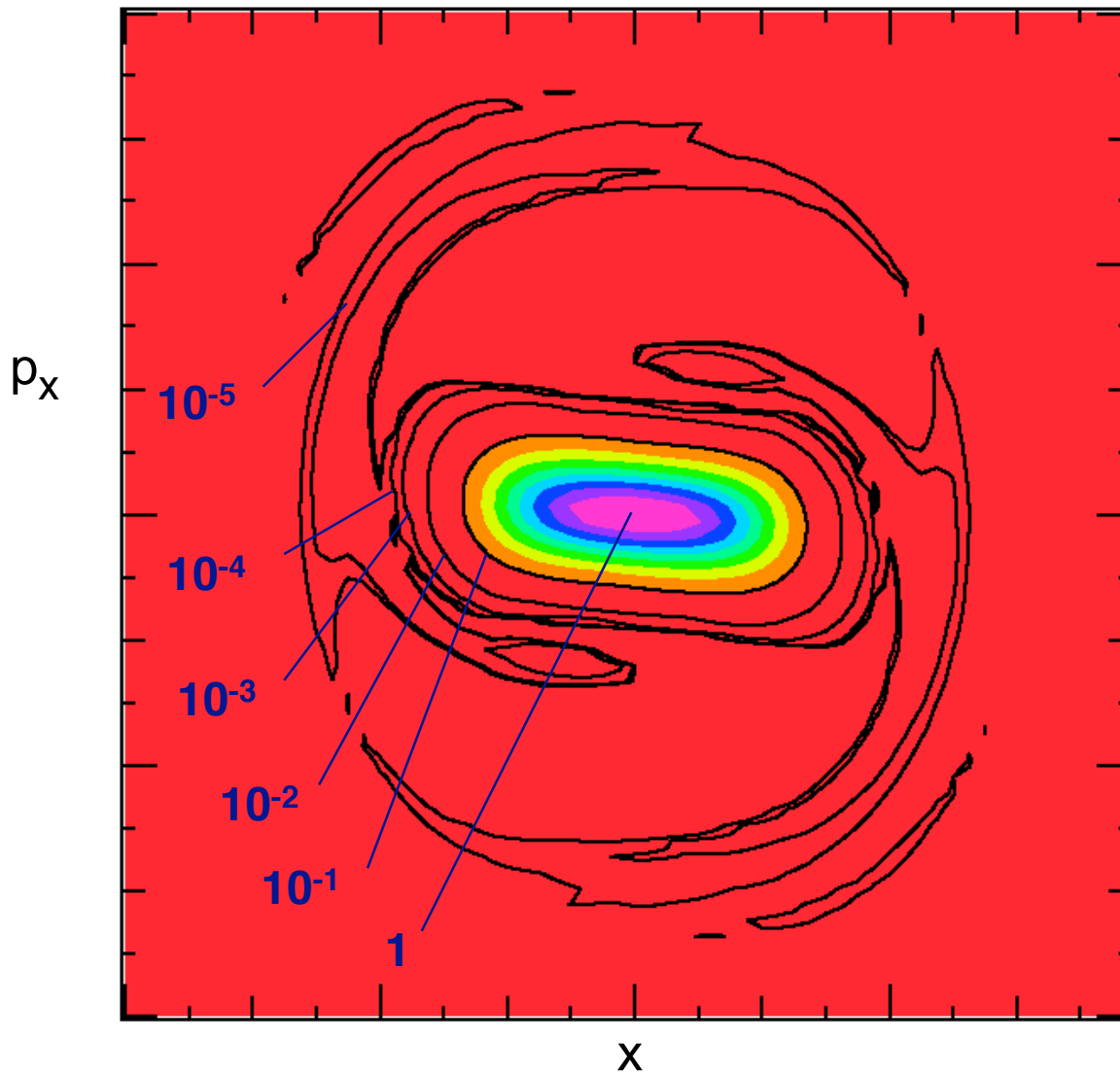


- Go back to usual scheme with a hole in the main grid
  - put PML inside hole and on fine patch border
  - couple using clean cross-injections

# Outline

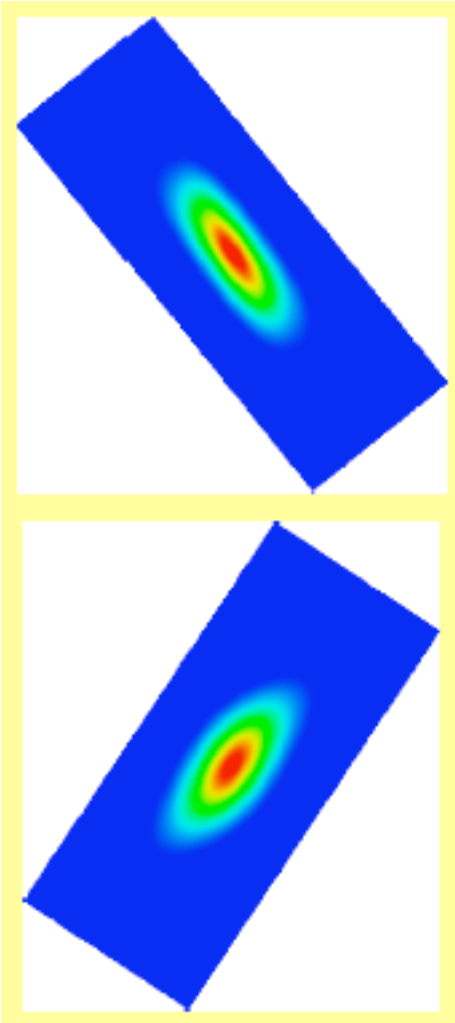
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# Solution of Vlasov equation on a grid in phase space offers low noise, large dynamic range for beam halo studies

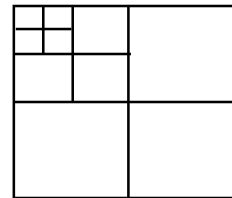


**4D Vlasov testbed  
(with constant  
focusing) showed  
structure of the halo  
in a density-  
mismatched beam**

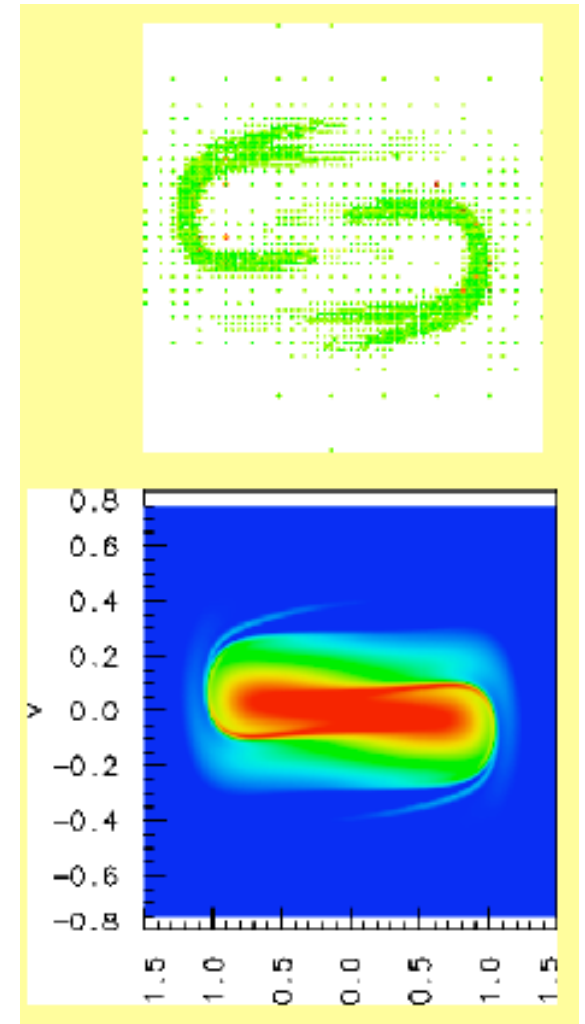
# New ideas: moving grid to model time-dependent applied field, AMR-Vlasov to resolve fine structures



moving phase-space grid,  
based on non-split  
semi-Lagrangian advance  
[E. Sonnendrucker,  
F. Filbet, A. Friedman,  
E. Oudet, J.-L. Vay, *CPC*,  
2004]



adaptive mesh [M. Gutnic, M.  
Haefele, I. Paun , E  
Sonnendrucker, *CPC* 2004]

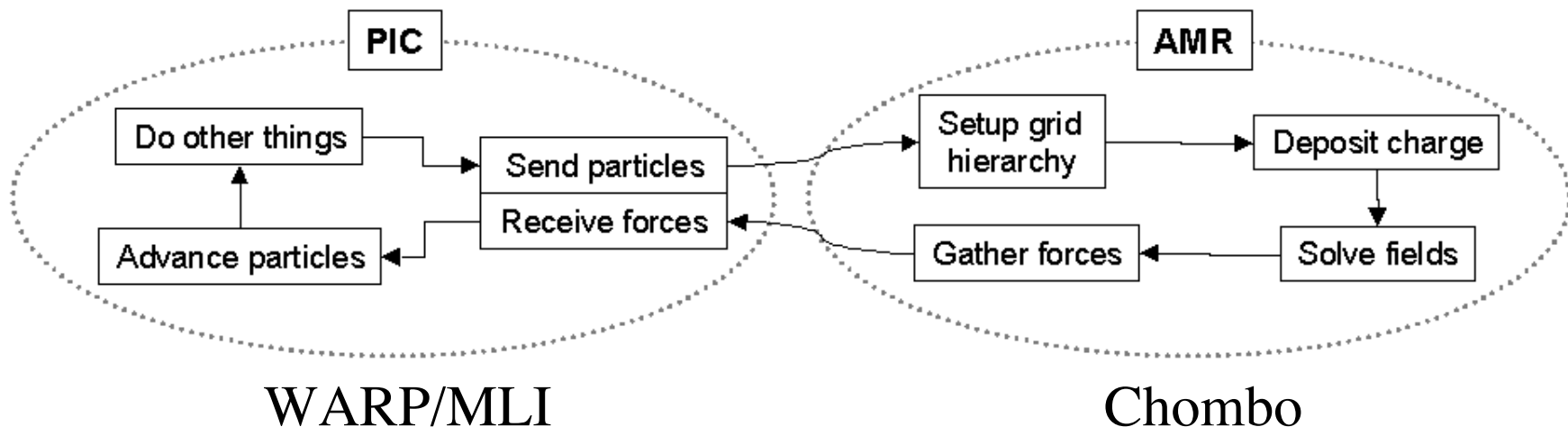


## 3.3 - Development of AMR library for PIC at LBNL



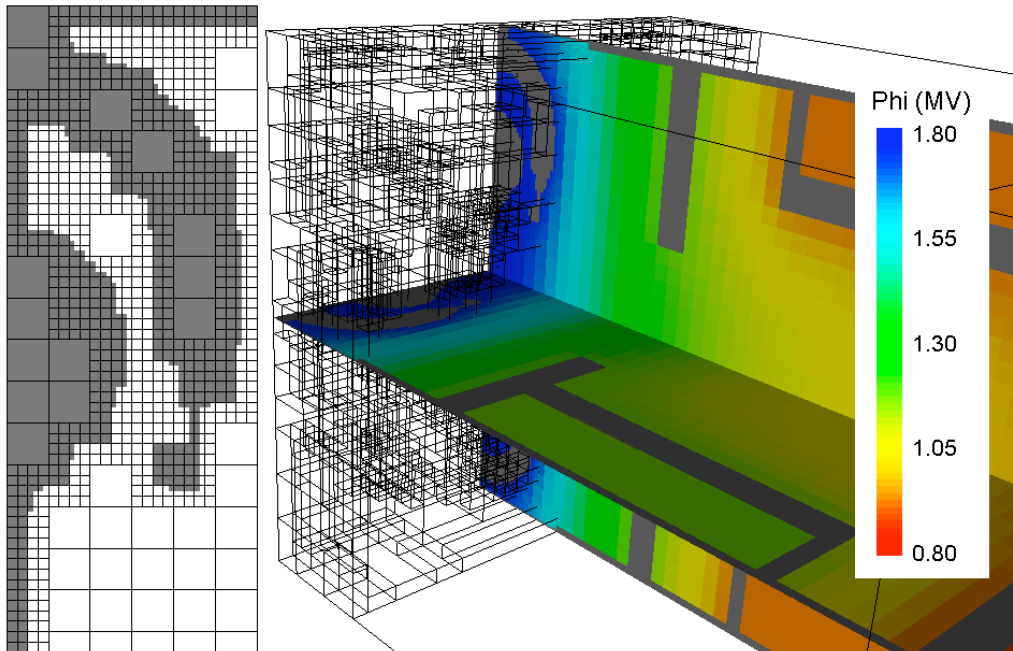
## Effort to develop AMR library for PIC at LBNL

- Researchers from AFRD (PIC) and ANAG (AMR-Phil Colella's group) collaborate to provide a library of tools that will give AMR capability to existing PIC codes (on serial and parallel computers)
- The base is the existing ANAG's AMR library Chombo (<http://seesar.lbl.gov/anag/chombo>)
- The way it works

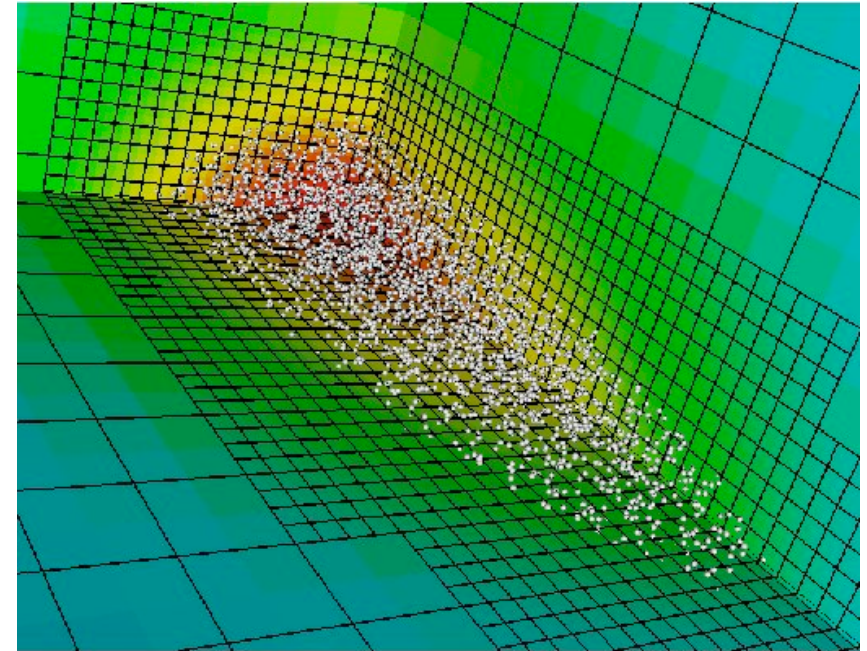


- WARP is test PIC code but library will be usable by any PIC code

# Examples of pre-AMR-PIC simulations using Chombo



**WARP-Chombo injector field calculation\***



**MLI-Chombo beam field calculation**

\* P. McCorquodale, P. Colella, D.P. Grote, J.-L. Vay, JCP (2004)

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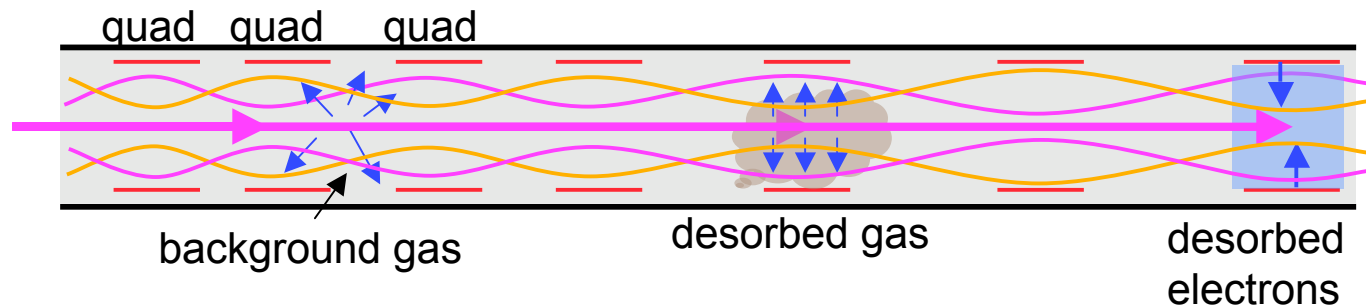
Vay 09/09/05



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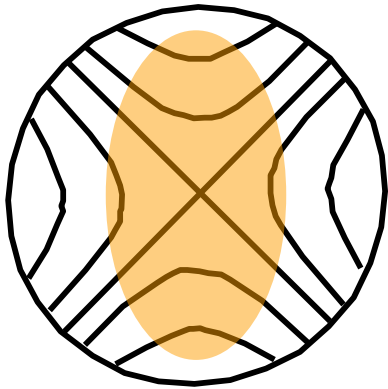
# Motivation



- Our historical motivation: e-clouds in induction accelerators for HIF
    - Need to follow electron orbits both in magnets (strongly magnetized) and in between (unmagnetized).
    - Analytic integration of orbits in B field impossible because beam potential known only numerically and can't be considered as impulsive.
  - Need a way to accurately calculate electron orbits without having to take timesteps small compared to cyclotron period
  - Note:
    - above considerations apply to:
      - other kinds of accelerators
      - plasmas with both strong and weak magnetic fields
- Magnetic-fusion  
Inertial confinement fusion  
Space plasmas

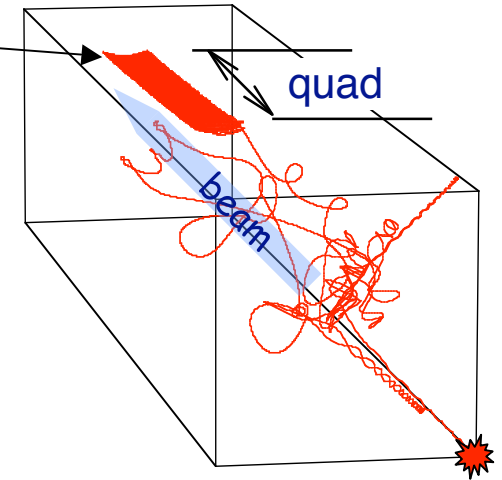
# Statement of the problem

## Magnetic quadrupole



## Sample electron motion in a quad

**Problem:** Electron gyro timescale  
 $\ll$  other timescales of interest  
 $\Rightarrow$  brute-force integration very slow due to small  $\Delta t$



- Historical inspiration: Parker & Birdsall (JCP '91)
  - showed that standard Boris mover at large  $\omega_c \Delta t$  produces correct  $\mathbf{E} \times \mathbf{B}$  and magnetic drifts
  - Price: anomalously large “gyro” radius ( $\sim \rho \omega_c \Delta t$ ) and anomalously low “gyro” frequency (particle orbit advances by almost  $\pi$  in gyrophase per timestep; precesses at frequency  $\sim 1/\omega_c \Delta t^2$ )
  - For our applications, low “gyro” freq. OK but large “gyroradius” is not

## We have developed an interpolation technique that allows us to skip over electron-cyclotron timescale

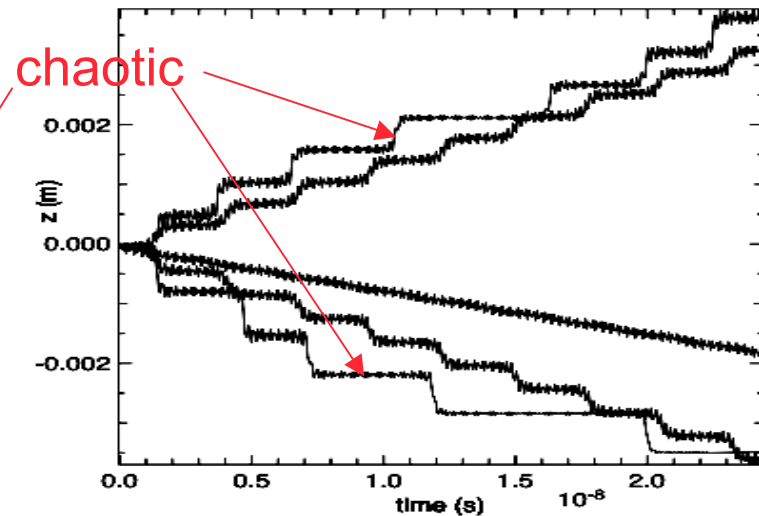
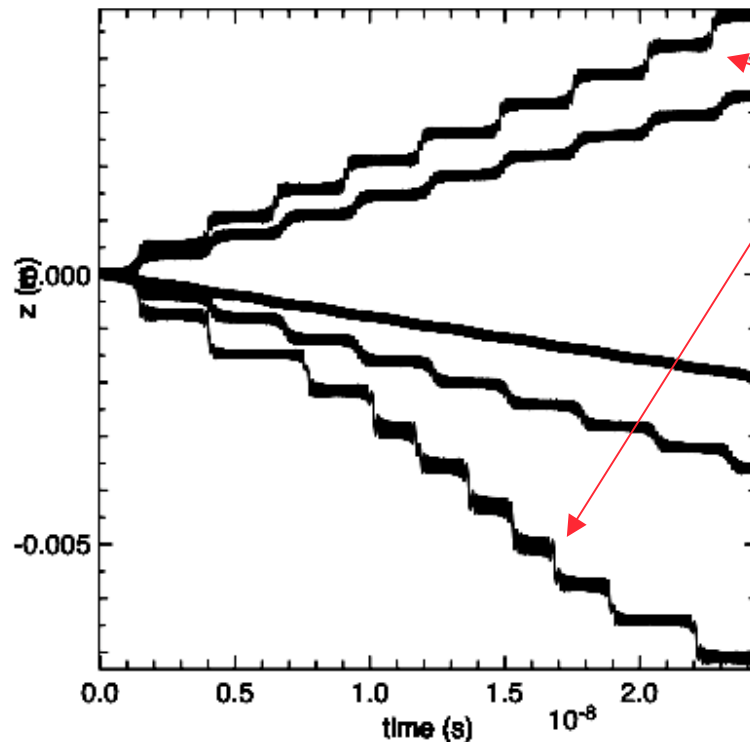
- Our solution: interpolation between full-electron dynamics (Boris mover) and drift kinetics (motion along B plus drifts).

$$\mathbf{v}_{new} = \mathbf{v}_{old} + \Delta t \left( \frac{d\mathbf{v}}{dt} \right)_{Lorentz} + (1 - \alpha) \left( \frac{d\mathbf{v}}{dt} \right)_{\mu \nabla B}$$
$$\mathbf{v}_{eff} = \mathbf{b}(\mathbf{b} \cdot \mathbf{v}) + \alpha \mathbf{v}_{\perp} + (1 - \alpha) \mathbf{v}_d$$

- Choice  $\alpha = 1/[1 + (\omega_c \Delta t / 2)^2]^{1/2}$  gives, at both small and large  $\omega_c \Delta t$ ,
  - physically correct “gyro” radius
  - correct drift velocity
  - Correct parallel dynamics.
- Incorrect “gyration frequency” at large  $\omega_c \Delta t$  (same as pure Boris mover)
- Time step constraint set by next longer time scale -- typically electron cross-beam transit time.

## Test problem: drift orbits in quadrupole field with a specified beam space-charge potential

- Compare full orbit ( $\omega_c \Delta t \sim 0.25$ ) to interpolated mover ( $\omega_c \Delta t \sim 2.5$ ).
- Single orbit comparisons of some regular and nonadiabatic (chaotic) orbits:
  - Chaotic orbits: ones launched on field lines that pass very close to field null.
  - Good agreement on drift & bounce velocity, orbit size for regular orbits
  - Expected non-agreement for chaotic orbits (expect similar statistics, but not tested).

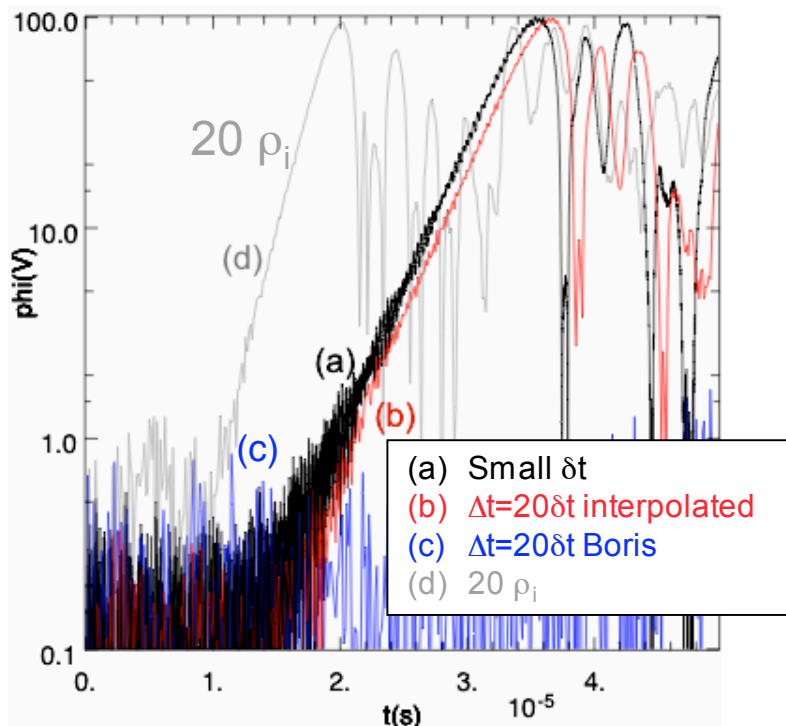


Average slope gives drift, frequency of stairsteps is bounce frequency

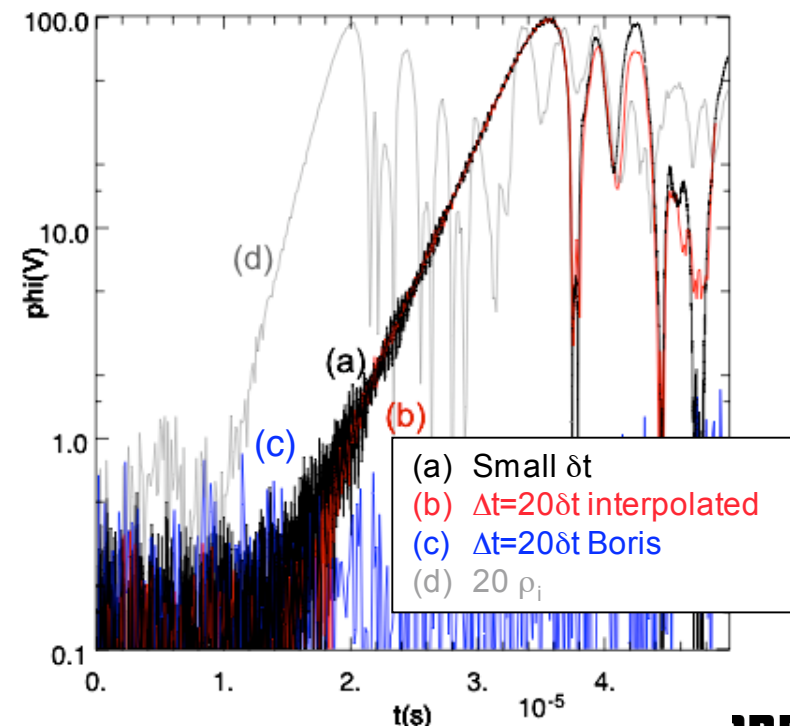


# Ion-ion two-stream instability test shows good agreement in time histories

- Test problem:
  - Uniform B field; counter-streaming proton beams along B,  $10 \rho_i$  across
  - $\omega_c/\omega_p = 48$ ;  $v_b/v_{th} = 0.1$ ;  $L/\rho_i \approx 60$
  - Compare: small  $\delta t$  ( $\omega_c \delta t = 0.6$ ), large  $\Delta t = 20 \delta t$  ( $\omega_c \Delta t = 12$ ) with interpolation;  $\Delta t$  with Boris mover (Parker-Birdsall)
  - Finite beam-size effect: comparison with  $20 \rho_i$  beam

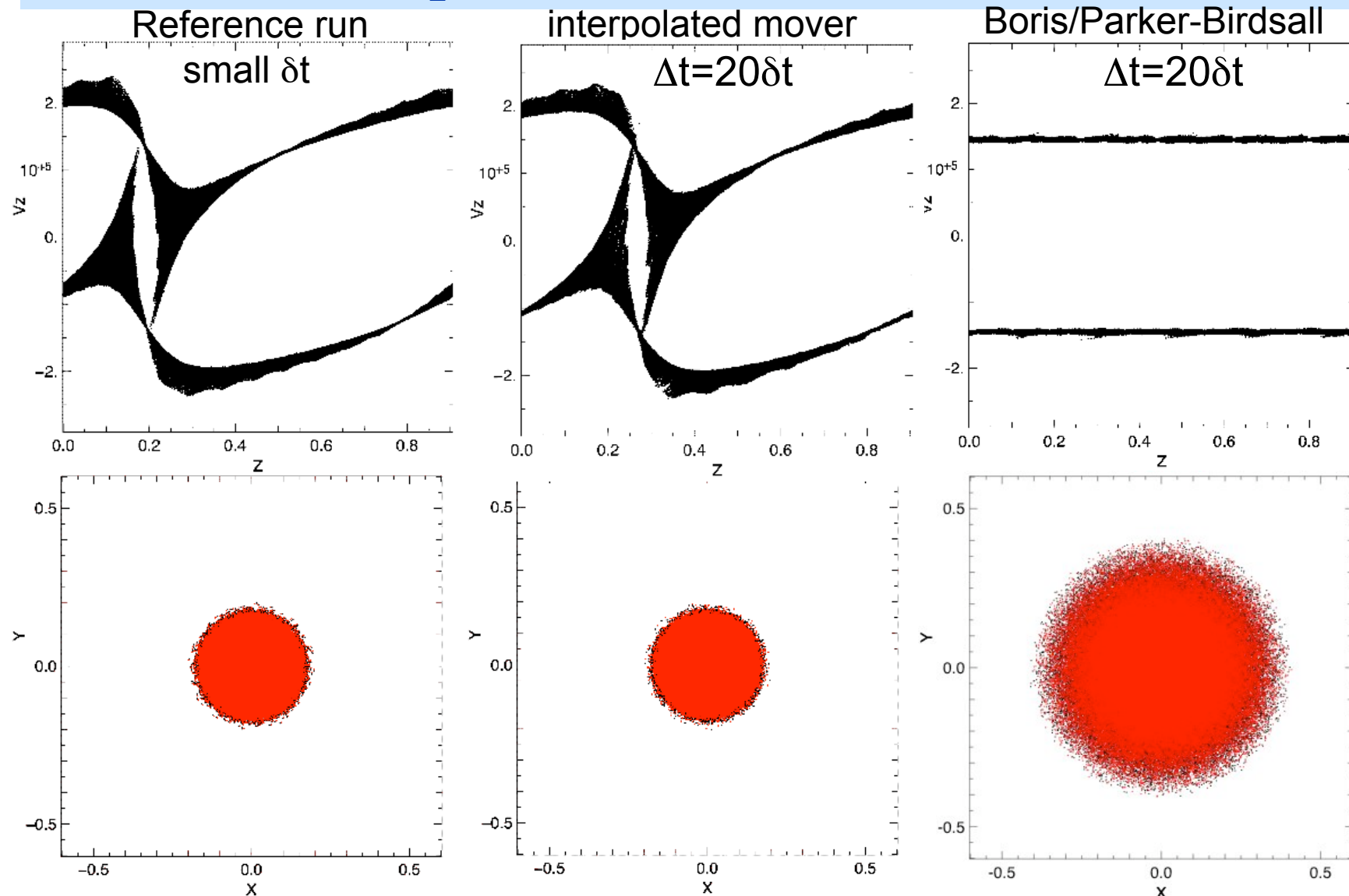


random seed => small time shift of (b)





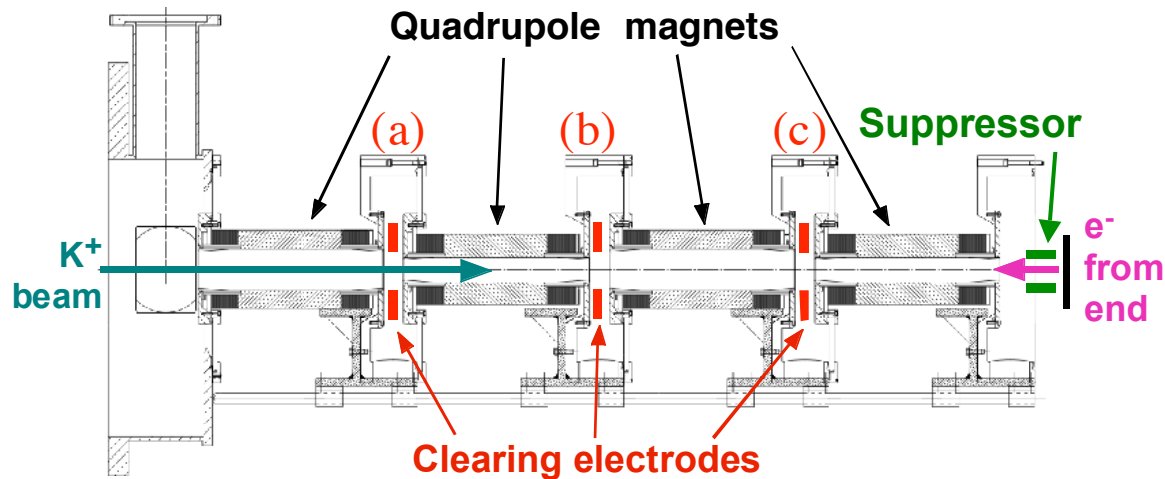
# Ion-ion two-stream instability test shows good agreement in $z$ - $v_z$ , $x$ - $y$ phase space plots



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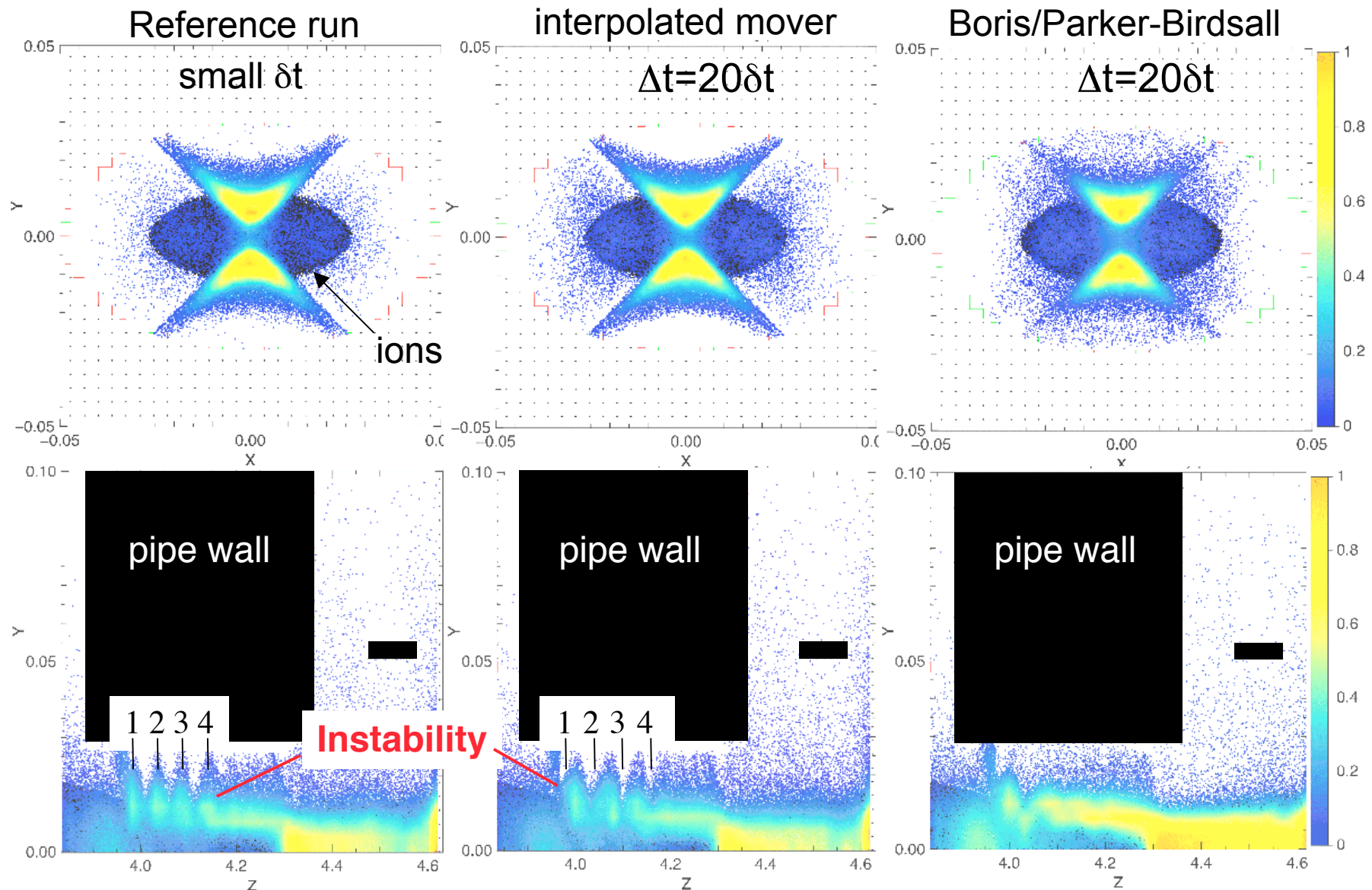


# Coupled electron-ion test problem: electrons desorbed at end plate upon ion bombardment



- Simulates experiment performed in High-Current Experiment (HCX) at LBNL
- Ion beam allowed to hit end plate
- Copious electrons produced
- Here: calculate electron cloud produced in fourth magnet
- We have also calculated the electron cloud in all 4 magnets and the resultant change in the ion phase space, and compared with experiment.

# Comparison simulations in 4th magnet are another demo that the long-timestep electron mover works



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## New mover: summary and plans

- Interpolation between Boris algorithm and drift kinetics enables particle simulation with large  $\omega_c \Delta t$  that preserves physically correct gyroradius, drifts, and motion parallel to B
- Several tests demonstrate validity of particle mover in situations where simple application of Boris at large  $\omega_c \Delta t$  fails.
- Enables simulation on next-longer time scale -- electron bounce motion for the accelerator examples; wave period for the instability example
- Future directions:
  - Bounce orbit averaging or projective integration to jump over electron bounce scales
  - Combine with implicitness and collisions for applications to high-density plasmas

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# Toward multiscale modeling of plasmas

- A multiscale approach to the modeling of plasmas should
  - advance particles, or group of particles, according to pre-established criteria of accuracy, on an adaptive basis
  - integrate methods that solve on different time scales in one single coherent scheme
- We will look at five methods:
  - Implicit Multiscale PIC (Friedman et al)
  - Discrete Event Simulation PIC (Karimabadi et al)
  - Relaxed Iterative Methods for Coupling Disparate Scales (Shestakov et al)
  - Equation Free Projective Integration (Shay et al)
  - Implicit/explicit solvers coupling (Adam et al)

# Implicit MultiScale PIC - IMSPIC

- Advance each particle using a timestep that resolves the local field variations (assumed to be at scale of the grid spacing)
- Implicitness to:
  - Afford stability with  $\Delta t > \tau_{\text{plasma}}$  and  $\Delta x > \lambda_{\text{Debye}}$   
in *selected* regions of phase space where that physics is deemed unimportant  
... requires judgment on part of user, and/or smart controls
  - afford a time-centered, second-order-accurate scheme
- Particle push is a variant of “d1” scheme, which allows time step adaptivity

$$v_{n+1} = v_n + \Delta t [(3/2)a_{n+1} + (1/2)\bar{a}_{n-1}]/2$$

$$x_{n+1} = x_n + \Delta t [v_n + (\Delta t/2)a_{n+1}]$$

where:

$$\bar{a}_{n-1} = (1/2)a_n + (1/2)\bar{a}_{n-2} \quad (\text{running sums})$$

- Poisson equation includes an “*implicit susceptibility*”  $\chi(x)$

$$\nabla \cdot [(1 + \chi)\nabla \phi] = \rho, \text{ with } \chi(x) = \frac{1}{2}\omega_p^2\Delta t^2.$$



## Timestep sizes are all multiples of some smallest “micro” step size; field-solve is done every micro-step

Particles are kept sorted into blocks. For every block  $k$ , there is an associated  $\Delta t_k$ ; the large timestep used for particles in large cells should help suppress the finite-grid instability. The electron blocks might be:

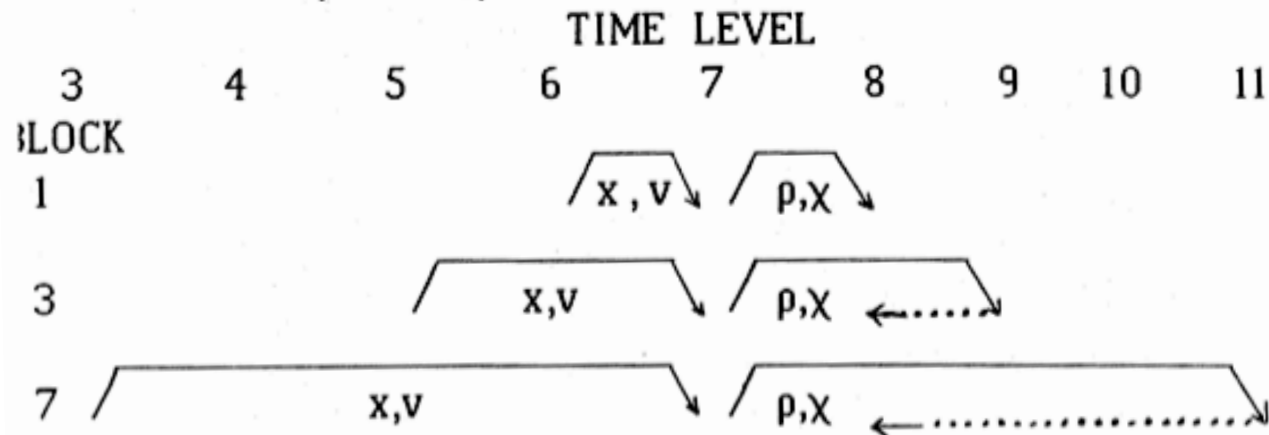
- Block e1: push every step
- Block e2: push on even-numbered steps
- Block e3: push on odd-numbered steps
- Block e4: push if (step number mod 4) = 0
- Block e5: push if (step number mod 4) = 1
- Block e6: push if (step number mod 4) = 2
- Block e7: push if (step number mod 4) = 3

As particles move about, it is necessary to change their  $\Delta t$ 's (move them from block to block), in order to preserve the accuracy of their orbits and the deposited charge density.

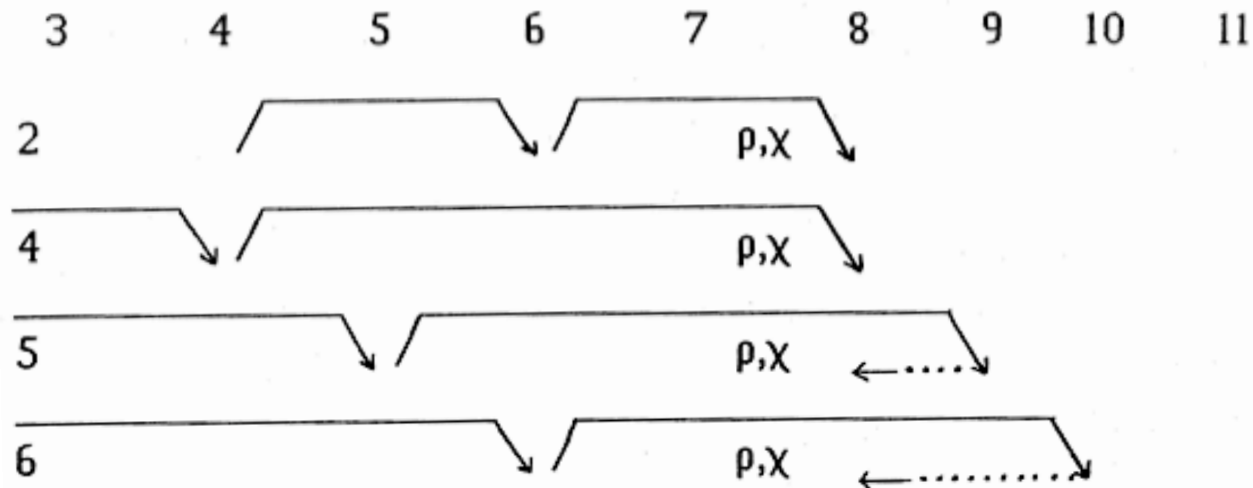


## A timeline shows the procedure for both active and inactive blocks

- Dots with a back-arrow denote interpolation in time of  $\rho$  and  $\chi$ .



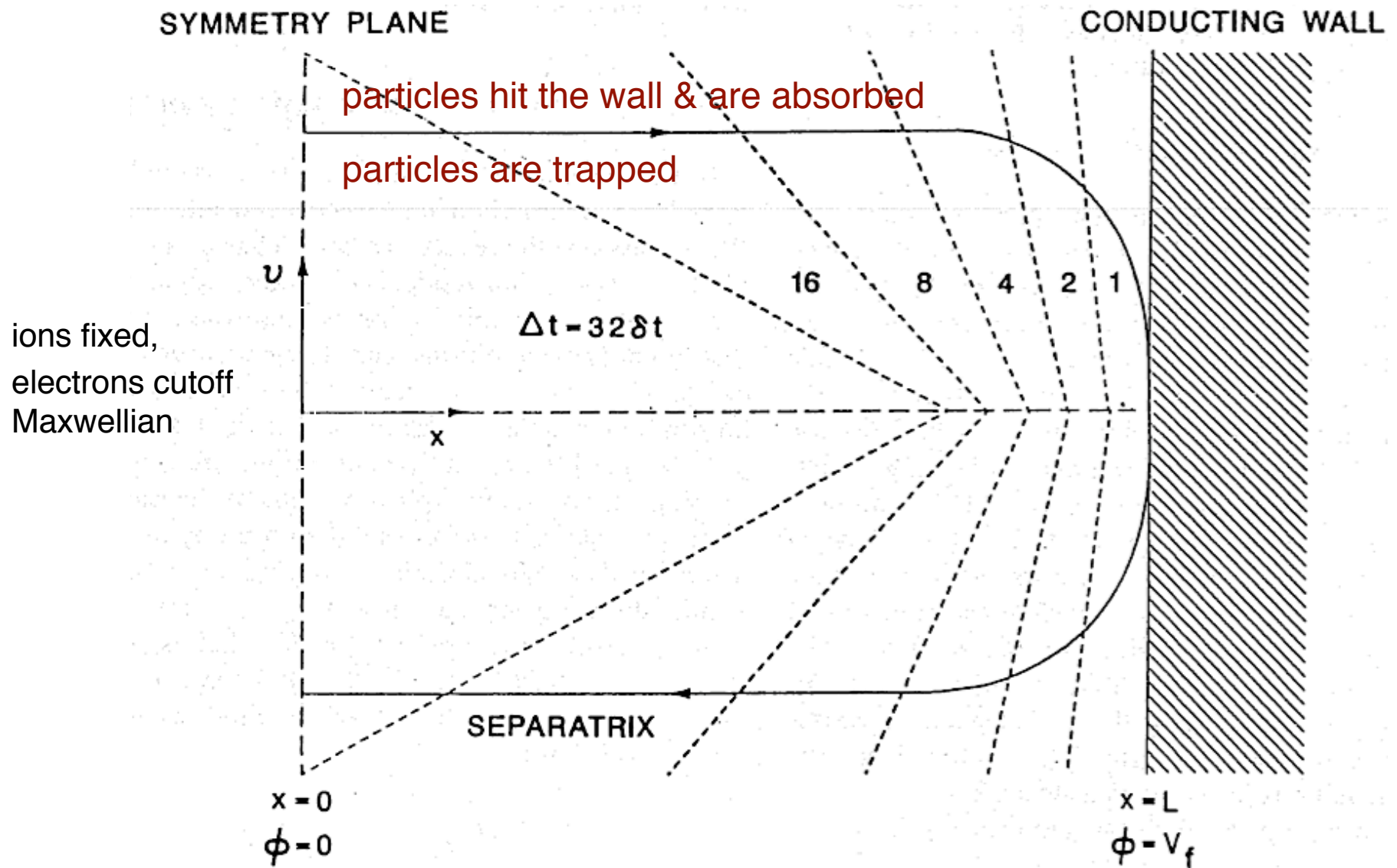
The other blocks were advanced on earlier steps, and we need only interpolate their contributions to  $\rho, \chi$  back to tl 8 before the field-solve:



## Timestep size control is an “art” as much as a “science”

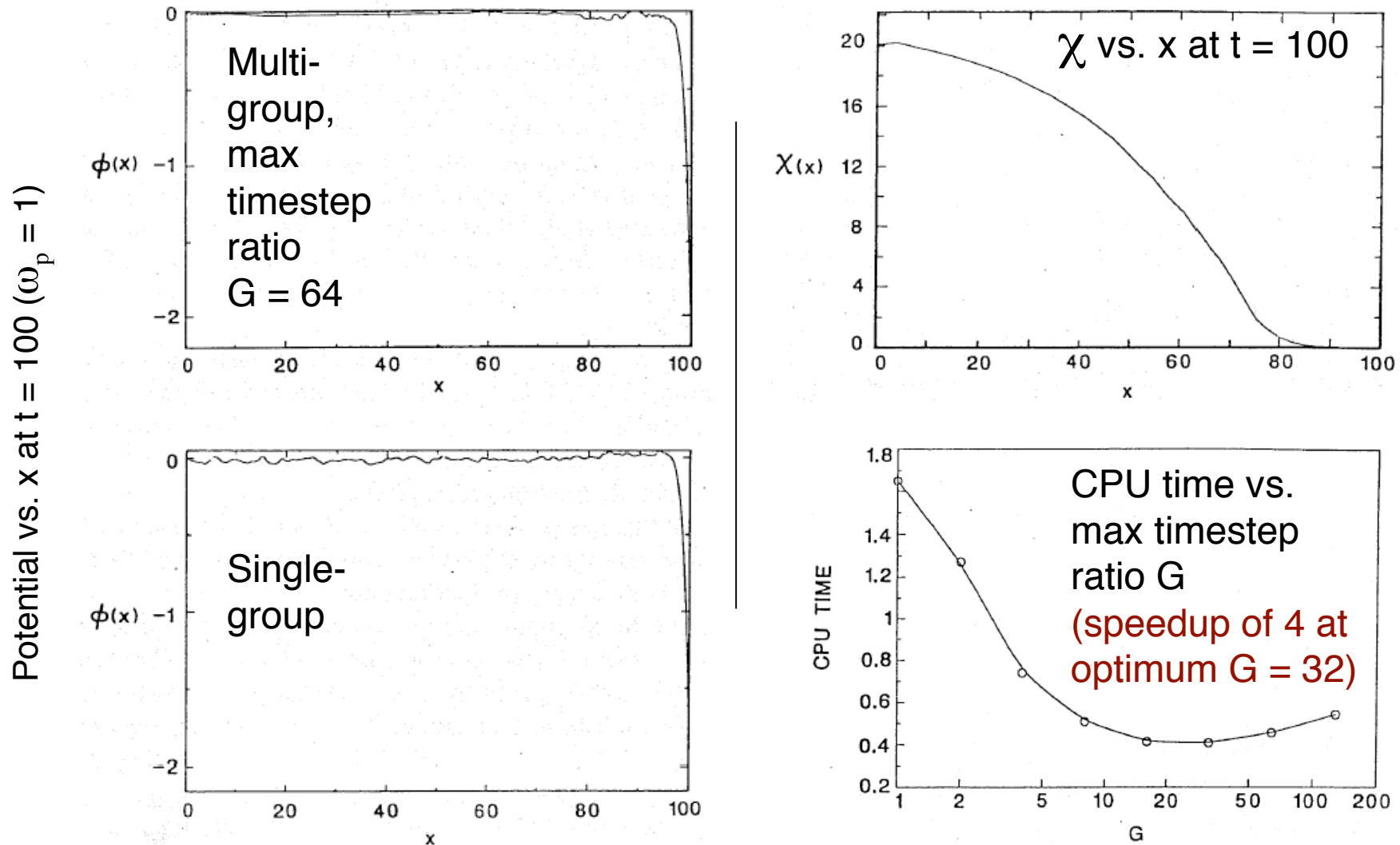
- Seek to control truncation error
  - Static control associates *ab initio* a step size  $\tau$  with each location in phase space
  - Dynamic control sets  $\tau$  based on evolving gradients, etc.
- In the sheath application (see next slides), particle travel through the sheath ( $\partial_x E$ ), rather than the time-dependent variation of  $E$ , is most limiting
  - Would like to limit  $|k v \Delta t| < \varepsilon_1$ , where  $k \sim \partial_x E / E$ . However, if  $E$  and  $\partial_x E$  are fluctuating about zero (as is often the case), then where  $E \sim 0$  there may be spuriously large values of  $k$
  - It's somewhat easier to limit  $\omega_{\text{trap}}^2 \Delta t^2 \equiv (q/m) |\partial_x E| \Delta t^2 < \varepsilon_2$  by computing  $|\partial_x E|$  on the grid
  - For our sheath work we used static control

## Application to the modeling of a sheath near a “floating” wall



\* S. E. Parker, A. Friedman, S. L. Ray, and C. K. Birdsall, “Bounded Multi-Scale Plasma Simulation: Application to Sheath Problems,” *J. Comp. Phys.* **107**, 388 (1993).

## Application to sheath showed effectiveness of method

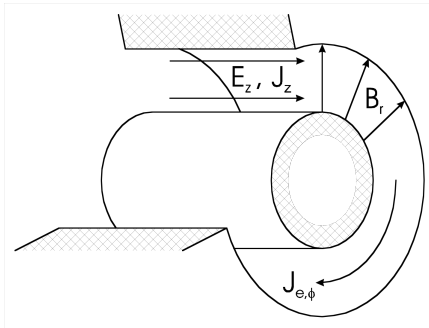


Another series of runs examined propagation of an ion acoustic shock front toward a conducting absorbing plate; see paper by Parker, *et al.*

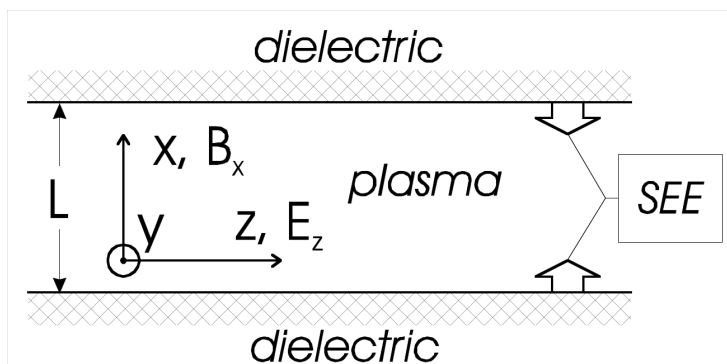
# Application of IMSPIC to secondary electron emission (SEE) effects in a plasma slab in crossed electric and magnetic fields

[Sydorenko, Smolyakov, 46<sup>th</sup> APS DPP, Savannah GA, 2004, NM2B.008]

Hall thruster, cylindrical geometry:



1D3V PIC simulations, plane geometry, approximation of accelerating region of a Hall thruster:



## Motivation:

Electron temperature in the accelerating region of a Hall thruster (40 eV) is higher than the temperature of charge saturation of SEE in Maxwellian plasma (17 eV).

[Staack, Raitses, Fisch, *Appl. Phys. Lett.* 84, 3028 (2004).]

## Objective:

The investigation of modification of electron velocity distribution function by SEE effects.

## Simulation requirements:

Both the sheath and the bulk plasma must be resolved.

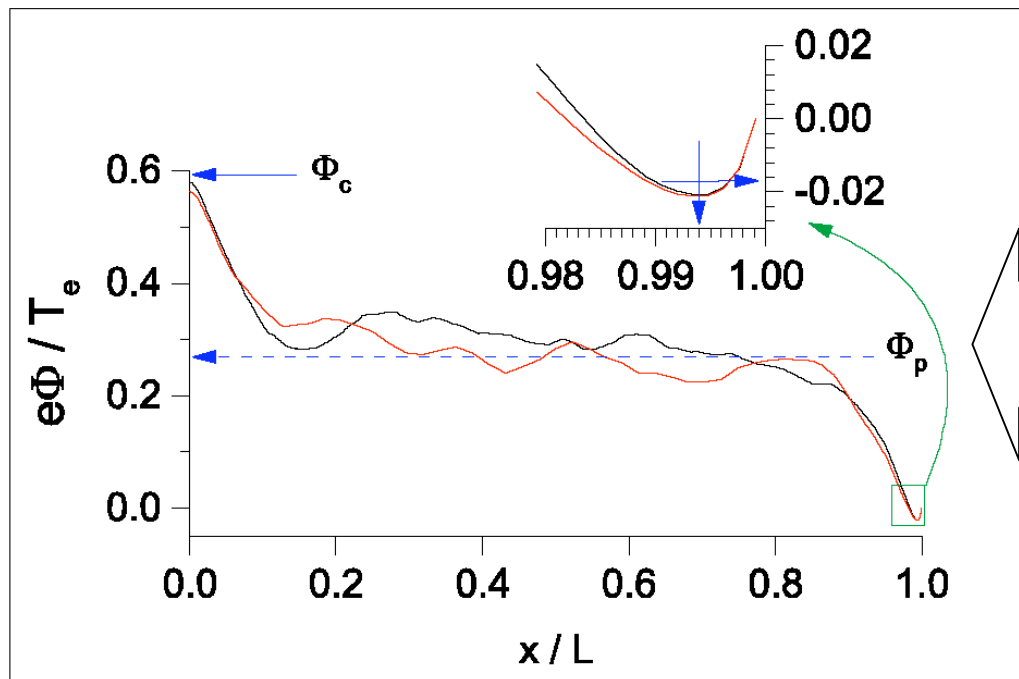
## PIC code:

Electrostatic implicit multi-scale with non-uniform grid constant in time. [Friedman, Parker, Ray, Birdsall, *J. Comput. Phys.* 96, 54 (1991).] The external fields  $B_x$  and  $E_z$  are assumed constant.

## Application of IMSPIC to secondary electron emission ... Benchmarking of the multi-scale code

The code was applied to simulations of the region between the Maxwellian plasma source (x=0) and the wall with SEE (x=L). No collisions, zero external fields.

Such a problem was considered by Schwager [*Phys. Fluids B* 5, 631 (1993)]



Snapshots of profile of potential.

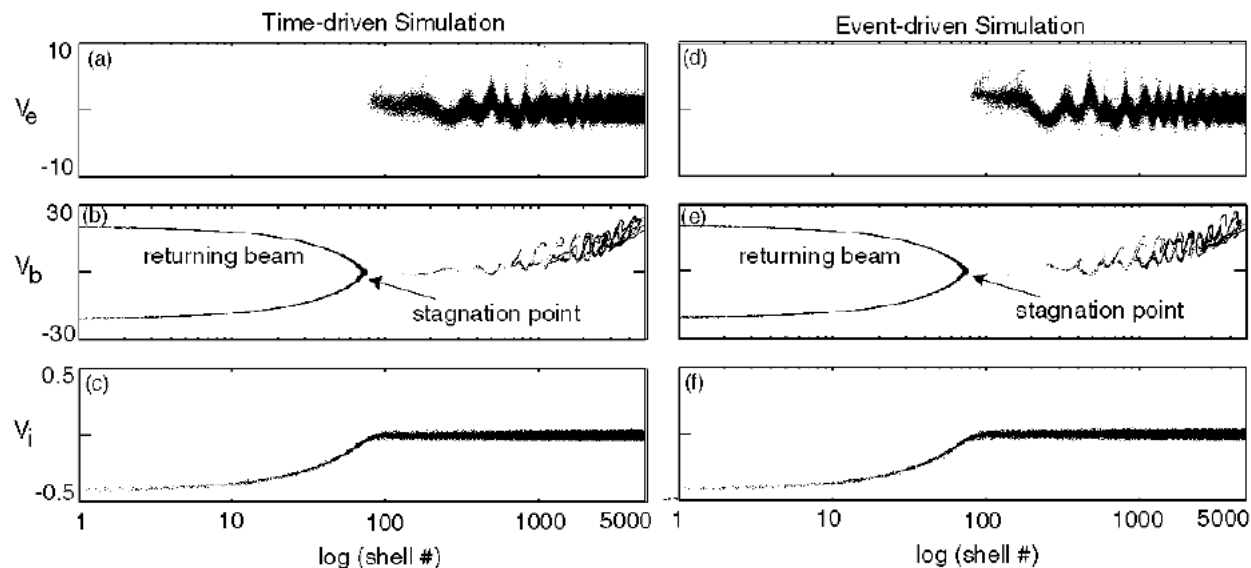
The insert figure zooms into the potential dip near the emitting wall.

- **Blue arrows** – Schwager's data.
- Black curves – uniform grid,  
 $\Delta x = \lambda_{De} / 32, \Delta t = 1/(4\omega_{pe})$
- **Red curves** – nonuniform grid,  
 $\Delta x_{\min} = \lambda_{De} / 32, \Delta x_{\max} / \Delta x_{\min} = 16;$   
 $\Delta t_{\min} = 1/(128\omega_{pe}), t_{\max} / \Delta t_{\min} = 64$

- The results of the single-scale and multi-scale simulations are close to each other and reproduce the results of Schwager.
- The multi-scale simulation is 8 times faster than the single scale simulation.

# Discrete Event Simulation is an alternative approach

- DES PIC has similar goals to Implicit Multi-Scale PIC but differs fundamentally
  - Event-driven, not time-driven
  - Particle timesteps fully independent, asynchronous
  - Not (necessarily) implicit
- Builds on established discrete-event methodology
- Incremental field solution may be a challenge
- Successfully applied to spacecraft charging in 1D spherical geometry\*:



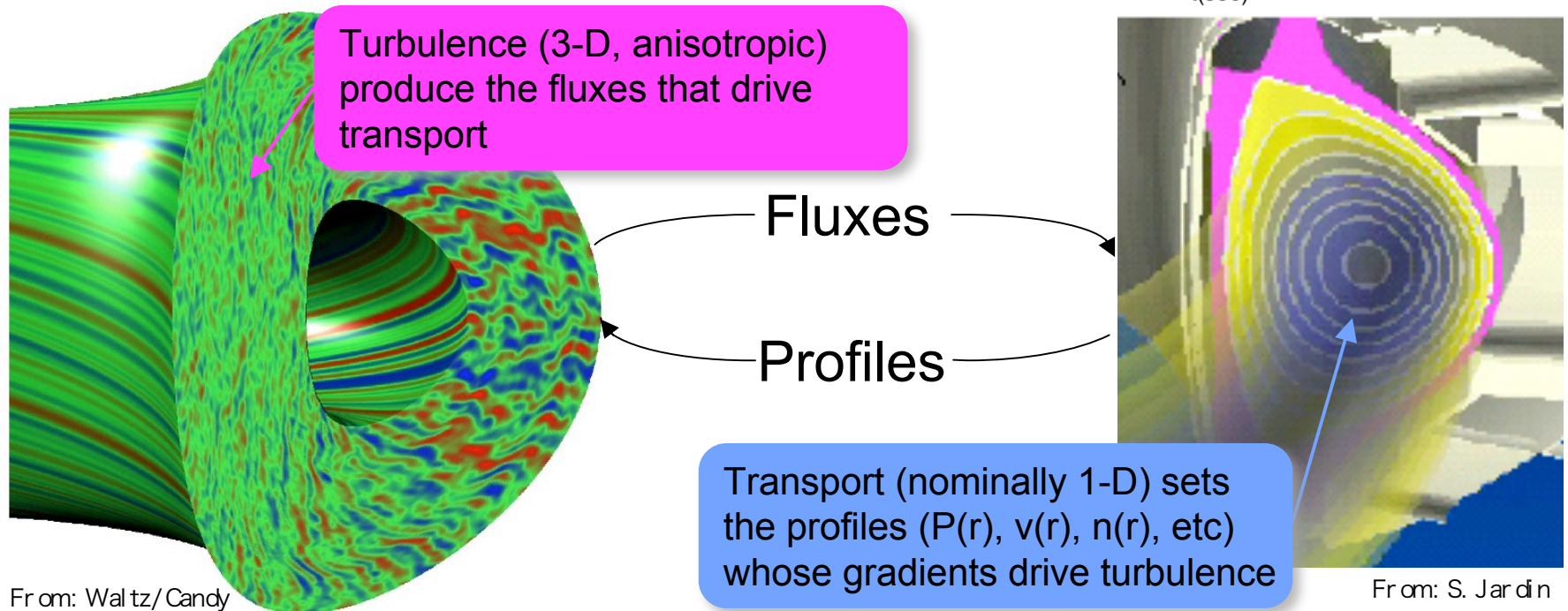
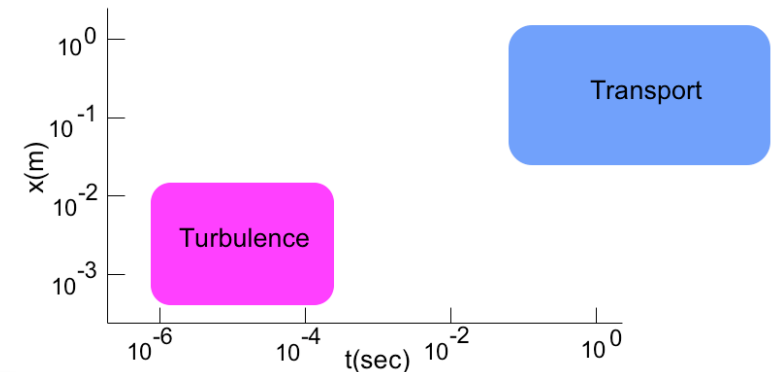
\*H. Karimabadi, J. Driscoll, Y. A. Omelchenko, and N. Omidi, to be publ. in *JCP*



# Relaxed Iterative Methods for Coupling Disparate Scales (RIC)

## Motivation:

- evolution of  $T$ ,  $n$ ,  $v$  in toroidal MFE devices governed by **transport** which is usually dominated by fluxes driven by plasma **turbulence**
- Significant spread of scales (especially time)





## RIC: Step 1, split the equations

- Consider nonlinear equations containing two timescales, of form:

$$\partial_t \mathbf{u} + \nabla \cdot \Gamma(\mathbf{u}) = S,$$

$\mathbf{u}$  = density, temperature, etc

- Define average & fluctuating parts  $\mathbf{u} = \langle \mathbf{u} \rangle + \tilde{\mathbf{u}}$ ,  $\langle \tilde{\mathbf{u}} \rangle = 0$
- Split equations into averaged (transport) and fluctuating (turbulence) parts:

$$\partial_t \langle \mathbf{u} \rangle + \nabla \cdot \langle \Gamma(\mathbf{u}) \rangle = \langle S \rangle, \quad \text{“transport”}$$

$$\partial_t \tilde{\mathbf{u}} + \nabla \cdot [\Gamma(\mathbf{u}) - \langle \Gamma(\mathbf{u}) \rangle] = S - \langle S \rangle \quad \text{“turbulence”}$$

- Notes:
  - $\langle \rangle$  denotes average over ensemble, spatial dimension, or time.
  - Method applies to systems where short and long timescales not derivable from single set of eqs.
  - Next step (2) is predicated on disparity of  $\langle \rangle$  and  $\sim$  timescales

# RIC: Step 2, solve coupled system via relaxed functional iteration -- fully implicit and Jacobian-free

- $\delta t$  and  $\Delta t$  = **turbulence** and **transport** code timesteps

- **For each iteration  $j$ :**

In the turbulence code:

- set input profile  $\langle \mathbf{u} \rangle = \langle \mathbf{u} \rangle^{n+1,j}$
- take turb. code timestep
- $\mathbf{u}^{j+1} \rightarrow \mathbf{\Gamma}^{j+1} \rightarrow \langle \mathbf{\Gamma} \rangle^{j+1}$

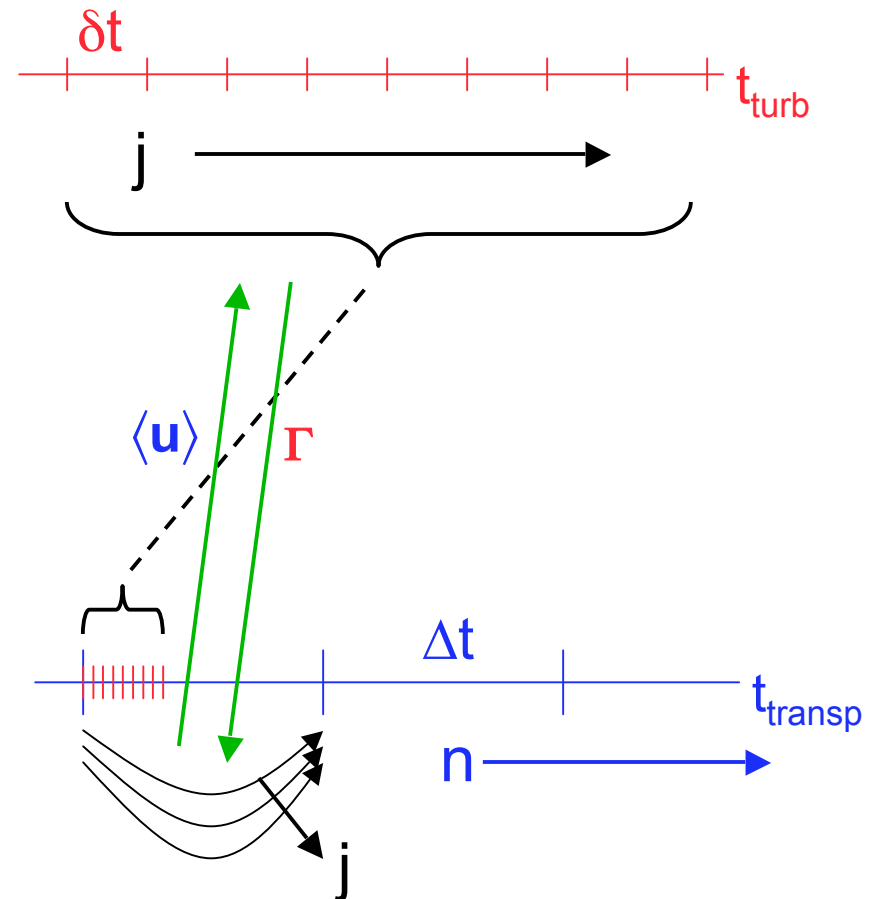
In the transport code:

- re-solve the same timestep with updated  $\langle \mathbf{\Gamma} \rangle^{j+1}$  from turb. code
- solve as if linear diffusion eqn for  $\langle \mathbf{u} \rangle^{n+1,j+1}$  by writing

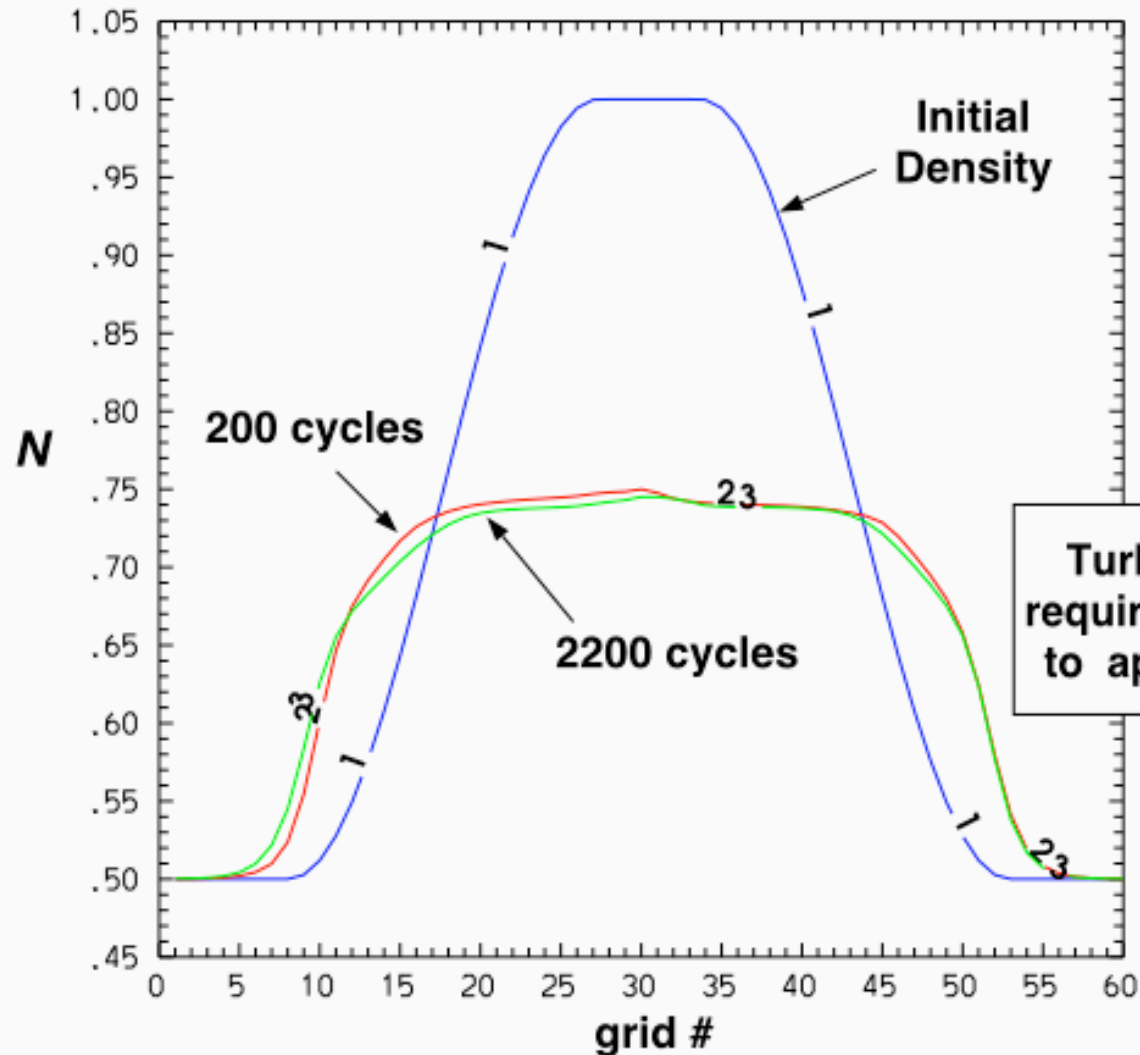
$$\langle \mathbf{\Gamma} \rangle = -\mathbf{D} \cdot \nabla \langle \mathbf{u} \rangle^{n+1,j+1} \text{ with}$$

$$\mathbf{D} = -\langle \overline{\mathbf{\Gamma}} \rangle^j / \nabla \langle \mathbf{u} \rangle^{n+1,j}$$

— denotes relaxed av. over iterates, required for stability.



# Coupling simple transport and (HW) turbulence codes achieves rapid relaxation to steady-state transport



## RIC summary

- RIC is method which allows running a turbulence code on transport timescale and thus obtain transport profiles self-consistent with turbulent fluxes
- It can be interpreted as an integration of a  $\Delta f$  and a  $f$  solver, which follows both  $f$  (transport) and  $\delta f$  (turbulence)
- Fully implicit transport timestepping -- no stability limit on transport timestep
  - one transport timestep (including  $\Delta t = \infty$ ) costs  $\sim$  saturated turbulence code run with fixed profiles
  - implies time savings  $\sim$  (turbulence timescale/transport timescale)
- A coupling that works for local and (with extensions) non-local transport
  - demonstrated solutions for cases where flux locally runs up-hill

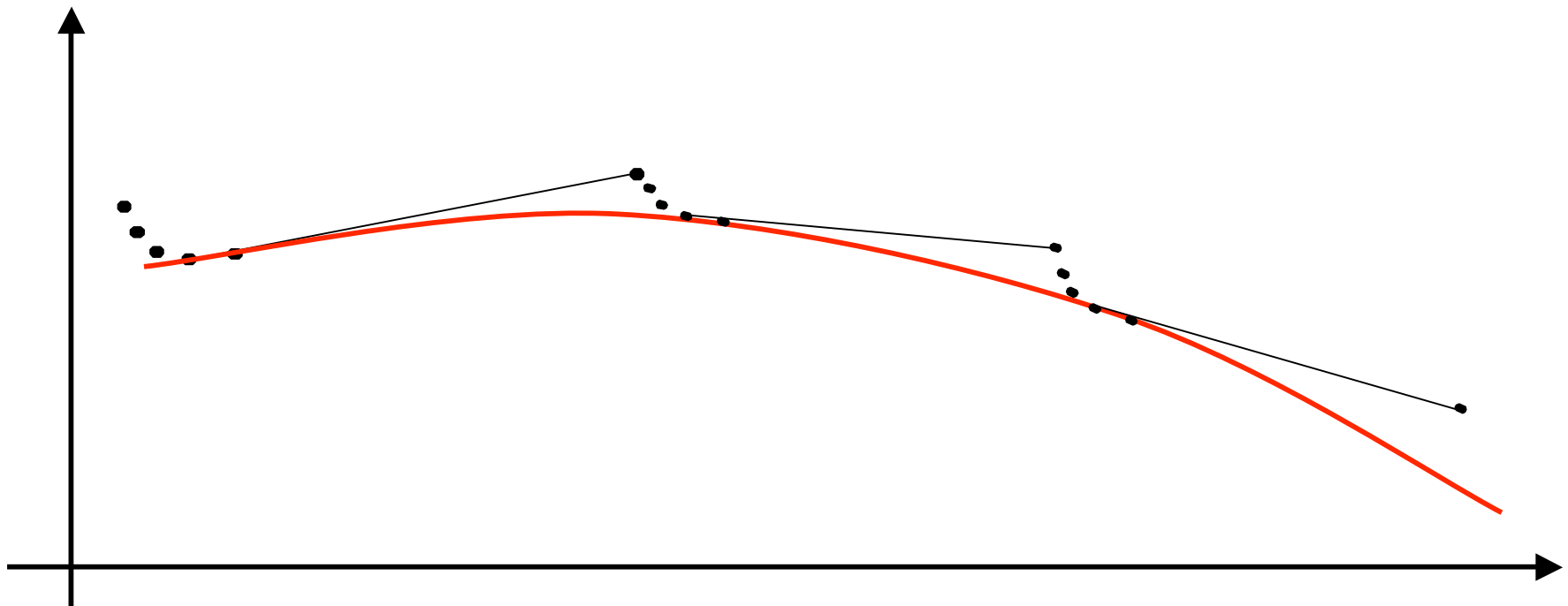
# Equation Free Projective Integration

- **Projective Integration**
  - A method for using a combination of a few small time step integrations to cover large time steps.
- **Restriction and Lifting**
  - Mappings between a high dimensional representation (microscopic or fine) and a low dimensional representation (macroscopic or coarse), for example:
    - Microscopic - a collection of particles in Monte Carlo simulations to a low-dimensional description
    - Macroscopic - finite element approximation to a *distribution* of the particles
- **Projection** done on macroscopic representation
- **“Experiment”** (kinetic code) evaluated on microscopic representation.

Kevrekidis et al., 2002

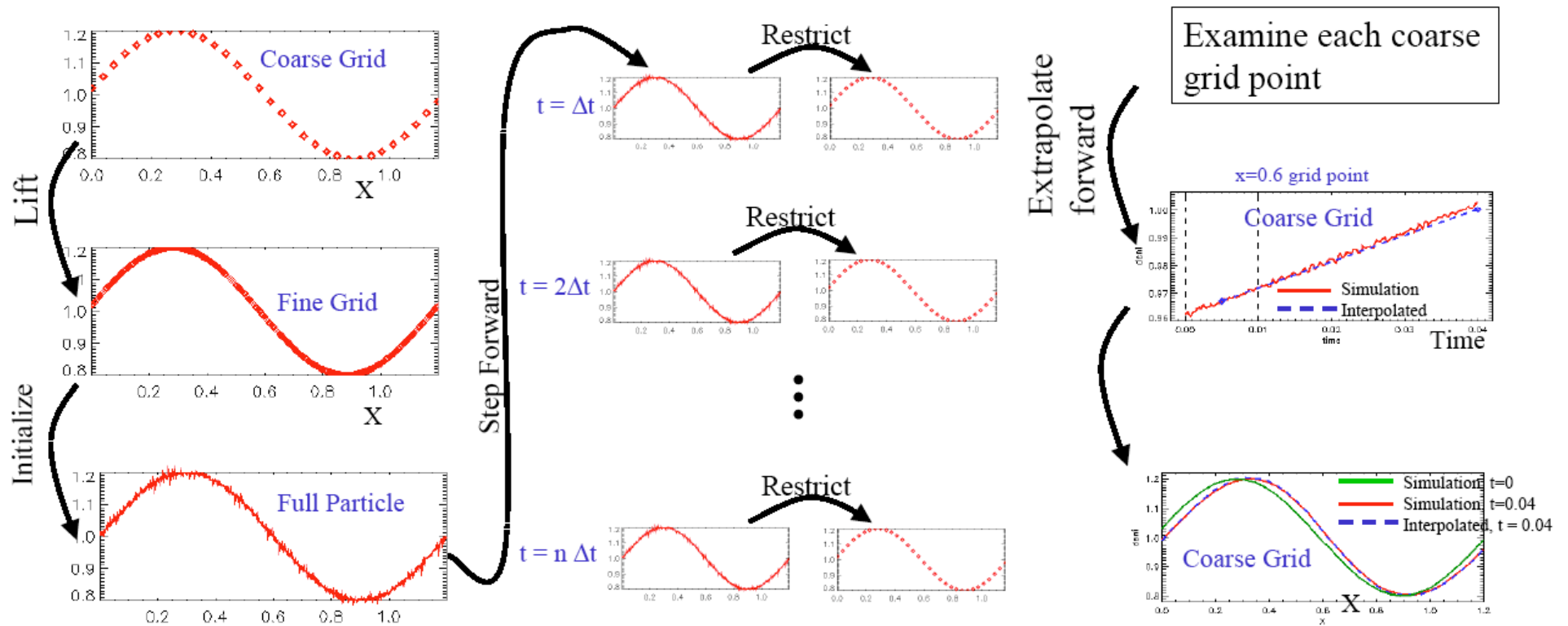
# Equation Free Projective Integration

Projective Integration - a sequence of outer integration steps:



Need to study the accuracy and stability of these methods

# Equation Free Projective Integration cycle

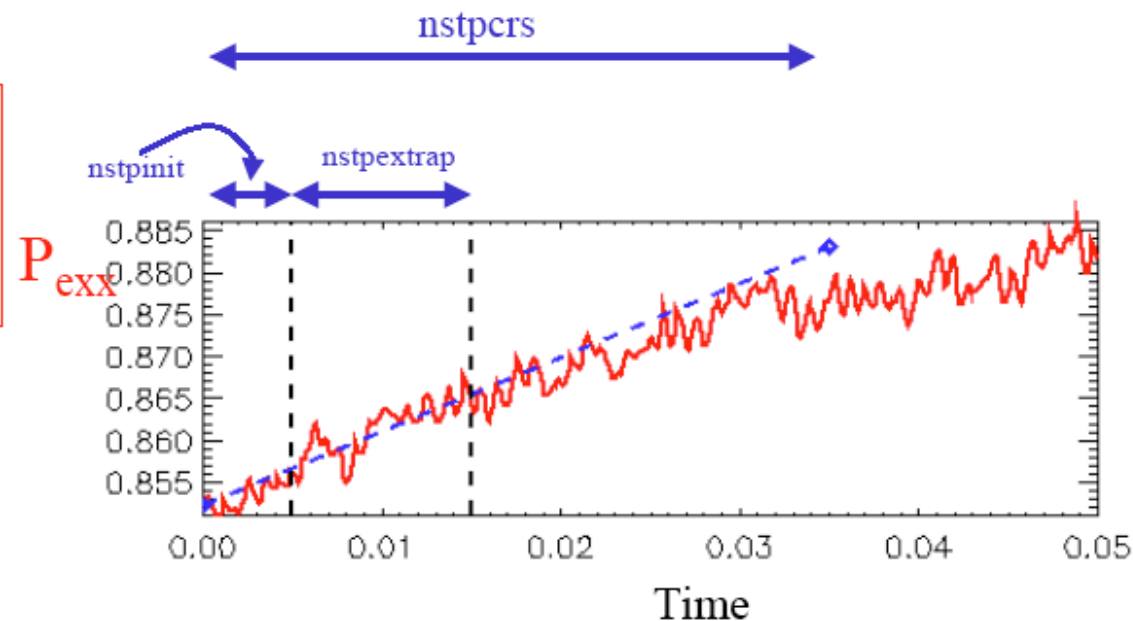


# Projecting forward in time

- Use least squares fit.
- Skip  $n_{\text{stpinit}}$  steps, fit to  $n_{\text{stpextrap}}$  steps
- Extrapolate forward  $n_{\text{stpcrs}}$  steps.
- Use predictor corrector (trapezoidal leapfrog)
  - 2nd order accurate in time

## Example

$n_{\text{stpinit}} = 50$   
 $n_{\text{stpextrap}} = 100$   
 $n_{\text{stpcrs}} = 350$





# Test: ion acoustic mode

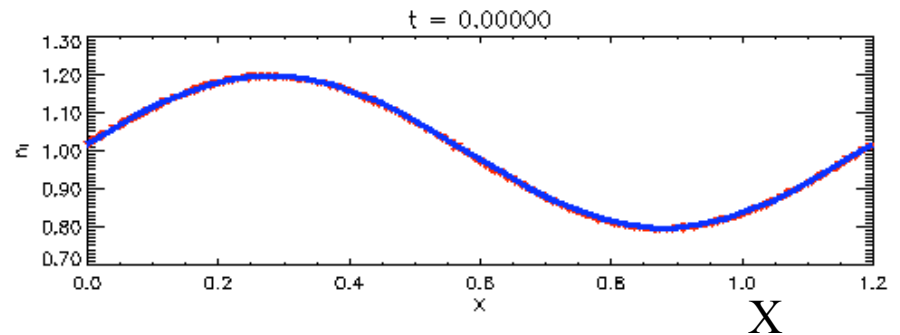
- Wave propagation speed matches exactly.
- $P_i$  diverges first.
- So far, x12 speed-up

## Parameters

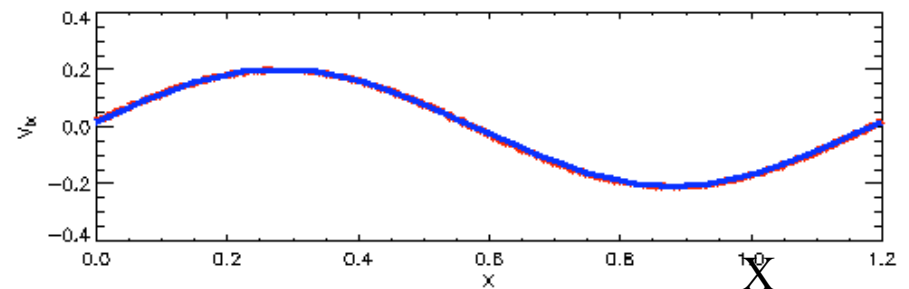
$nx_{\text{crs}} = 32$   
 $nx_{\text{micro}} = 512$   
 $lx = 1.2$   
 $N_{\text{extrap}} = 20$   
 $N_{\text{proj}} = 200$

— efree  
 — full particle

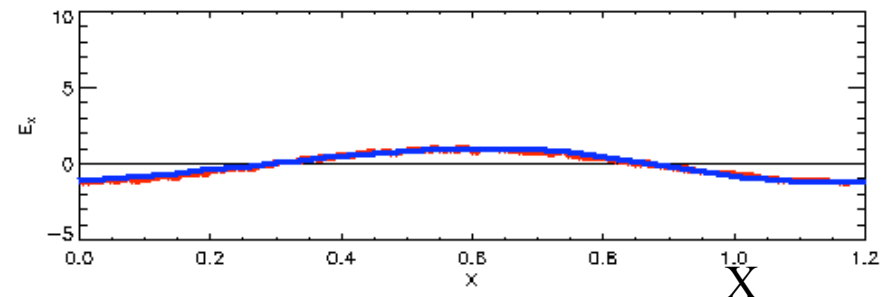
$n_i$



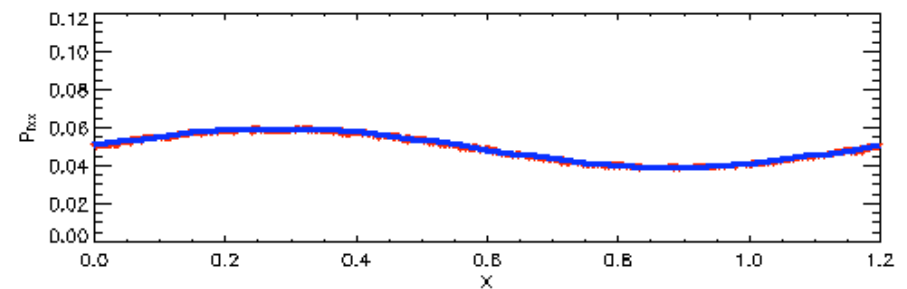
$V_{ix}$



$E_x$



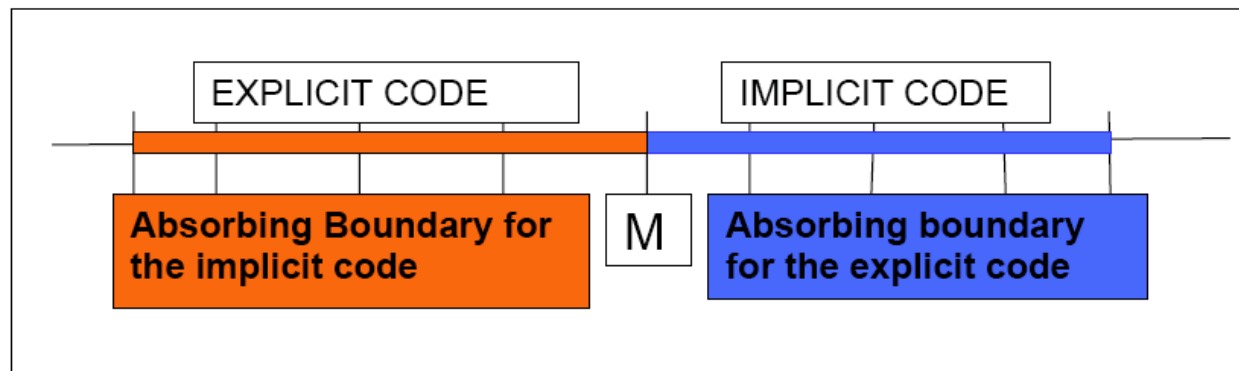
$P_i$



The Heavy Ion Fusion Virtual National Laboratory

# Coupling of explicit/implicit solvers

- Motivation: high/low density region better handled by implicit/explicit solver.
- J.-C. Adam and A. Héron have proposed to extend the new AMR techniques developed by J.-L. Vay to the coupling of explicit and implicit solvers.



- The left system would be terminated on the right by absorbing boundary conditions that will suppress the outgoing wave of the explicit part, and vice-versa for the right system.
- Particles move freely through the boundary and give the correct source terms in both regions.
- Because mesh size can be different on both sides of the boundary M, mesh refinement is de facto built into the method.

# Conclusion

- A lot of effort has been/is devoted to develop techniques to address multiscale issues in plasma modeling.
- AMR can be of great help for PIC/Vlasov multiscale plasma simulations but scheme must be derived with care (spurious self-force, conservation of charge, reflection of waves, non-cancellations due to numerical errors (dispersion), ...)
  - in electrostatic, ‘problem solved’ to some extent but cutoff of plasma modes at interface remains to be studied,
  - in electromagnetic, existing schemes can be successfully applied to some problems but more research is needed to get better scheme(s),
  - with irregular geometries, AMR on regular cartesian grids may not be enough: sometimes need to apply irregularly gridded patch which maps to conductors, field line, ...,
- We have developed a new solver that allows to jump over the cyclotron period.
- This is a very active field with several promising emerging methods.

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- M. Gutnic, M. Haefele, I. Paun, E. Sonnendrucker, “Vlasov simulations on an adaptive phase-space grid”, *Computer Physics Communications* **164**, 214

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- S. E. Parker, A. Friedman, S. L. Ray, and C. K. Birdsall, “Bounded Multi-Scale Plasma Simulation: Application to Sheath Problems,” *J. Comp. Phys.* **107**, 388 (1993).

## Discrete Event Simulations

- H. Karimabadi, J. Driscoll, Y. A. Omelchenko, and N. Omid, *J. Comp. Phys.*, to be published

## Relaxed Iterative Method for Coupling Disparate Scales

- A. I. Shestakov, R. H. Cohen, J. A. Crotinger, L. L. LoDestro, A. Tarditi, and X. Q. Xu, “Self-Consistent Modeling of Turbulence and Transport”, JCP March 2003

## Equation Free Projective Integration

- [http://www.cscamm.umd.edu/cmpd/projective\\_integration.htm](http://www.cscamm.umd.edu/cmpd/projective_integration.htm)